Eurex Circular 081/18

MiFIR/MiFID II – Trading Participant Due Diligence

Summary

With this circular, we would like to inform you that Eurex Deutschland will shortly send out a questionnaire that encompasses the regulatory requirements of Commission Delegated Regulation (EU) 2017/584 of 14 July 2016 (previously known as RTS 7) supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards specifying organizational requirements of trading venues, Article 7 Due Diligence of members of trading venues.

This questionnaire is mandatory for all Trading Participants and its response is due by **15 November 2018**.

Attachment:

- Due Diligence Questionnaire for Eurex Deutschland Trading Participants

⚠ Action required

⚠ High priority

Date: 1 November 2018

Recipients: All Trading Participants of Eurex Deutschland

Authorized by:
Randolf Roth

Target group:
- All departments

Contact:
Your Key Account Manager Trading

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This questionnaire will be sent to Trading Participants henceforth on an annual basis.

All Eurex Deutschland Trading Participants are required by the Exchange Rules of Eurex Deutschland, Paragraphs 25 and 26, to complete the questionnaire. The questionnaire shall be completed to the best of their knowledge and responses shall be submitted to Eurex Deutschland by 15 November 2018.

The Central Coordinators of the Trading Participants will receive an e-mail in due course with more detailed information on the process. The questionnaire is attached to this circular and can also be retrieved from the Eurex website www.eurexchange.com under the following link:

Resources > Forms > Due Diligence Questionnaire

If you have any questions or need further information, please contact your Key Account Manager Trading.

1 November 2018