Election Proposal / Declaration of Consent

for the election to the Exchange Council of Eurex Deutschland on 28 November 2019

I Election Proposal Company

Pursuant to section 10 (2) sentence 1 of the Exchange Regulation dated December 16, 2008 (Law Gazette of the State of Hessen GVBl I. page 1061), as most recently amended by the Regulation of 5 October 2018 (GVBl. I. page 642)

we, name of company _____

hereby propose as a candidate

Mr/Ms _____

as representative of the company: _____ ☐ of the above company

as a representative of the voter group of (please check):

1. credit institutions – including securities trading banks – admitted to exchange trading, for the sub-group of:
   a) cooperative credit institutions ☐
   b) credit institutions under public law ☐
   c) other credit institutions including the securities trading banks ☐
2. financial services providers and other companies admitted to participation in exchange trading ☐
3. the investors (shall be by-elected according to section 19 of the Exchange Regulation)

for the election to the Exchange Council of Eurex Deutschland on 28 November 2019.
The necessary documents for the assessment of the eligibility of the proposed candidate according to section 11 of the Exchange Regulation, e.g. excerpt from the commercial register, are enclosed.

I/we confirm, that I/we are authorized to represent the above mentioned company.

_____ _____

Place, date Signature

_____ _____

Company Stamp Second Signature if required

Election Proposal / Declaration of Consent

for the election to the Exchange Council of Eurex Deutschland
on 28 November 2019

II Declaration of consent candidate

I (first name surname) _____

hereby declare my consent to the nomination. I have no knowledge of any circumstances that preclude my eligibility, particularly according to section 11 (2) of the Exchange Regulation.

For the evaluation of my reliability and suitability, please find attached my complete and signed CV with the information necessary according to section 10 (3) sentence 2 in conjunction with section 1 (2) no. 2 of the Exchange Regulation as well as the declaration according to section 10 (3) in conjunction with section 1 (2) no. 1 of the Exchange Regulation.

_____ _____

Place, date Signature

Information and documents on the election proposal for election to the Exchange Council of Eurex Deutschland according to section 10 (3) sentence 2 and section 1 (2) of the Exchange Regulation

General Information	
Full name, name of birth:	
Date of birth:	
Place of birth:	
Address:	
Nationality:	
National identification number OR passport number:	
Curriculum vitae from which experience and knowledge arise:	☐ yes; current CV attached
Time Commitment of the member of the Exchange Council of Eurex Deutschland	
In an ordinary financial year, the Exchange Council meets for three ordinary meetings, which, in average, last approx. 3 hours. Taking into account the times for preparation and follow-up and the travel time an average time of about 4 hours / month (3 working days / year) is expected.	
Confirmation of time commitment:	☐ I hereby confirm that I can devote 4 hours /month to the role, including the possibility to devote additional time when the company is undergoing a period of particularly increased activity.
Honesty and Integrity	
I have been subject to an adverse decision in any criminal or fine proceedings instituted by any regulatory or public body, of whatever nature, or such decision is pending.	☐ Yes ☐ No If yes, please provide further information: _____
I have been subject to an adverse judicial finding in civil proceedings before a court in connection with the provision of financial services or misconduct or fraud in the management of a business.	☐ Yes ☐ No If yes, please provide further information: _____
I have been part of the management body of an undertaking which was subject to an adverse decision or penalty by a regulatory authority or whose registration or authorisation was withdrawn by a regulatory authority.	☐ Yes ☐ No If yes, please provide further information: _____
I have been refused the right to carry on activities, which require registration or authorisation by a regulatory authority.	☐ Yes ☐ No If yes, please provide further information: _____
I have been part of the management body of an undertaking, which has gone into insolvency or liquidation while I was employed by the undertaking or within a year of the person ceasing to be employed by the undertaking	☐ Yes ☐ No If yes, please provide further information: _____

I have been fined, suspended, disqualified, or been subject to any other sanction in relation to fraud, embezzlement or in connection with the provision of financial or data services, by a professional body.	☐ Yes ☐ No If yes, please provide further information: _____
I have been disqualified from acting as a director, disqualified from acting in any managerial capacity, dismissed from employment or other appointment in an undertaking as a consequence of misconduct or malpractice.	☐ Yes ☐ No If yes, please provide further information: _____
I agree that the Stock Exchange Supervisory Authority – State of Hessen is authorized to make further inquiries, especially from the competent authorities on the above mentioned points.	☐ Yes _____ Signature
Presentation of • excerpt(s) from the criminal records of the states in which the proposed person for election has been resident in the last ten years, • or, if such a document is not issued in the respective country, an official certificate of non-infringement • or, if such a document is not issued in the respective country, a self-declaration as to whether any criminal convictions have been committed in connection with the provision of financial services, fraudulent activities or misappropriation	☐ yes; the following document(s) are requested[1] _____ _____ _____
Confirmation that other members of the Exchange Council will be informed on any change of circumstances.	☐ I hereby confirm that I will inform the chairman / chairwoman of the Exchange Council without undue delay about any new circumstances which might be relevant for the aforementioned aspects.

[1] Please send the relevant document to the following address:
Mr Armin Winterhoff
Head of Division Exchange Supervisory Authority
Ministry of Economics, Energy, Transport and Housing – State of Hessen
Kaiser-Friedrich-Ring 75
65185 Wiesbaden
Germany

Statement of conflicts of interest that exist or may arise in the performance of the duties, and an explanation of which rules apply in the event of a conflict of interest	
Declaration of independence:	☐ I hereby confirm that I have truthfully and fully addressed the foregoing topic areas and provided relevant information. In particular, I have transparently disclosed all appropriate information relevant to the evaluation of conflicts of interest and/or taken applicable risk mitigation measures. I am aware that on an ongoing basis I am obliged to promptly disclose any matter that may result, or has already resulted, in a conflict of interest.

Date, name, signature of the nominated candidate