Appendix 1 to the FCM Regulations of Eurex Clearing AG:

FCM Clearing Agreement

with an FCM Clearing Member for the Clearing of FCM Client Transactions under the FCM Regulations of Eurex Clearing AG

As of 03.02.2020

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THE FCM CLEARING AGREEMENT WILL BE AMENDED.

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED,

DELETIONS ARE CROSSED OUT.

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[...]

6. Eurex Clearing AG and the FCM Clearing Member hereby enter into the following trust arrangements in relation to Initial Margin or Supplementary Margin for FCM Client Transactions in the form of cash:

[…]

(3) Eurex Clearing AG shall disclose each bank with which a Cash Trust Account is maintained (each an "**Account Bank**") that all amounts credited to such Cash Trust Account are held on trust (*treuhänderisch*) by Eurex Clearing AG. Eurex Clearing AG will inform the FCM Clearing Member of the account numbers of each Cash Trust Account to which any Initial Margin or Supplementary Margin for its FCM Client Transactions in the form of cash is credited. Eurex Clearing AG shall be entitled to provide the Account Bank on request with identifying information about the FCM Clearing Member, if the Account Bank proves to Eurex Clearing AG that such information are needed to comply with laws, rules regulations or executive orders (in particular those relating to funding of terrorist activities and money laundering) (the "**Relevant Laws**"). Eurex Clearing AG shall use reasonable endeavours to procure that the Account Bank treats such information confidential and only discloses the information to third parties as requested by the Relevant Laws.

[…]

7. Eurex Clearing AG and the FCM Clearing Member hereby enter into the following trust arrangements in relation to securities received as Deal Securities under repo transactions entered into between Eurex Clearing AG and commercial counterparties pursuant to Chapter I Number 3.1.3 (2) of the FCM Regulations:

[…]

(3) Eurex Clearing AG shall disclose each Account Bank that all amounts credited to such Trust Account are held on trust (*treuhänderisch*) by Eurex Clearing AG. Eurex Clearing AG will inform the FCM Clearing Member of the account numbers of each Securities Trust Account to which any Deal Securities are credited. Eurex Clearing AG shall be entitled to provide the Account Bank on request with identifying information about the FCM Clearing Member, if the Account Bank proves to Eurex Clearing AG that such information are needed to comply with laws, rules regulations or executive orders (in particular those relating to funding of terrorist activities and money laundering) (the "**Relevant Laws**"). Eurex Clearing AG shall use reasonable endeavours to procure that the Account Bank treats such information confidential and only discloses the information to third parties as requested by the Relevant Laws.

[…]

[...]

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