Application for Set-up / Deletion / Change of Securities Accounts and Clearer Cash Accounts

eurex clearing

Eurex Clearing AG
Member Services & Admission
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Applicant

Company Name of Applicant

Address

Street No | Address 1

Zip Code | City

Land

Contact person

First Name

Last Name

Phone no. | Fax no.

E-mail

ID of Clearing Member | BIC of Clearing Member

☐ Set-up effective — D D M M Y Y

☐ Deletion effective (after close of business) — D D M M Y Y

☐ Change effective (after close of business) — D D M M Y Y

1. Securities Accounts

1.1 As a Clearing Member we herewith request the following set-up / deletion / change of the Securities Accounts:

a) CBF

and / or

Main Account	Securities Account (Margin)	Securities Account (Clearing Fonds)	Securities Account (Company Capital)
0 0 0	5 0 0	5 0 1	5 8 0

b) CBF 6-Series

and / or

Main Account	Securities Account (Margin)	Securities Account (Clearing Fonds)	Securities Account (Company Capital)
6	6	6	6

c) CBL

and / or

Main Account	Securities Account (Margin)

d) SIS

Main Account	Securities Account (Margin)	Securities Account (Clearing Fonds)	Securities Account (Company Capital)

1.2 ☐ **As a Clearing Member we provide securities for**

☐ **Margin Collateral/Clearing Fonds**

☐ **Company Capital (set-up as needed)**

by Xemac Collateral Management Tool. The Xemac connection exists for the own Cascade Account:

☐ **Additionally, we wish to use the Reuse of GC Pooling ® Collateral for Eurex Clearing Margining (only applicable for GC Pooling Trading Participants):**

☐ **with the following account for Xemac**

☐ **for CBL international clients on the basis of the Eurex Clearing Pledge Agreement**

2. Cash Accounts

2.1 As a Clearing Member we herewith request the set-up of the following cash accounts for the purposes specified below:

a) "Margin-Cash Account": For daily settlement of margin according to chapter I, part 1 subsection 2.1.2 paragraph 4 (b) of the Clearing Conditions for Eurex Clearing AG

b) "Eurex Core-Cash Account": For regulation of transaction in Eurex cash settled products and XIM products

c) "Intraday Margin-Cash Account": For regulation of intraday margins calls

☒ **Euro (EUR)**
Information obligatory for all Clearing Members:

TARGET2 Account / (euroSIC)	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

☐ **Swiss Franc (CHF)[1]**

SNB- / SIC Account	
Name of Account Holder	
BIC Code of Account Holder	

[1] This CHF cash account is obligatory for Clearing Members who participate in the clearing of transactions concluded at Eurex Deutschland, Eurex Zürich and XIM Switzerland and for the Clearing of Securities Lending transactions in swiss securities. For OTC Clear interest rate derivative transactions this is optional.

☐ **British Pound (GBP)[2]**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	
Sort Code of Payment Bank	

[2] For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland, Eurex Zürich and XIM Euroclear UK & Ireland and OTC Clear interest rate derivative which settle in GBP.

☐ **U.S. dollar (USD)[3] [4]**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

[3] For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich which settle in USD.

[4] For clearing members who participate in the clearing transactions concluded at OTC Clear interest rate derivative, a USD cash account is for Margin Calls outside of Target2 and SNB business hours mandatory.

☐ **Korean Won (KRW)**[4]

Name of Payment Bank	Shinhan Bank
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

[4] For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich which settle in KRW. We acknowledge that Eurex Clearing AG will provide our KRW payment bank with the following information regarding transaction fees in KRW due to Eurex Clearing AG: Name of the Eurex Clearing Member, Exchange, Currency, Product ID and Total Monthly Fees.

2.2 Securities Lending
For the principal collateral in cash the following accounts have to be provided.
Information obligatory for all Clearing Members of Securities Lending:

☐ **Euro (EUR)**:

RTGS Account	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

☐ **U.S. dollar (USD)**

Name of Payment Bank	
Account No. at the Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

2.3 Buy-in Cash Account
For the settlement of cash differences originating from the buy-in procedure, one account per currency cleared has to be provided.

☒ **Euro (EUR)** - information obligatory for all Clearing Members:

RTGS Account / euroSIC Account	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

Or optional for Eurex Repo and / or Eurex Bonds Clearing Members only:

6-Series Account, CBL Account or Euroclear Account	
Name of Account Holder	

☐ **Swiss Franc (CHF)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland, Eurex Zürich, XIM Switzerland and FWB - Multi-Currency as well as for clearing of Securities Lending transactions in Swiss securities.

SNB- / SIC Account	
Name of Account Holder	
BIC of Account Holder	

Or optional for FWB - Multi-Currency Clearing Members

6-Series Account	
Name of Account Holder	

☐ **British Pound (GBP)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex GBP-products[5], FWB - Multi-Currency and XIM Euroclear UK & Ireland

Name of Payment Bank	
Account No. at the Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	
Sort Code of Payment Bank	

Or:

6-Series Account	
Name of Account Holder	

☐ **U.S. Dollar (USD)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex USD-products[5], FWB - Multi-Currency, Eurex-Bonds USD Products.

Name of Payment Bank	
Account No. at the Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

Or:

6-Series Account	
Name of Account Holder	

Or optional for Eurex Bonds Clearing Members only:

CBL Account or Euroclear Account	
Name of Account Holder	

[5] Otherwise, the form “Restriction in Admission to Derivatives Trading” will have to be submitted.

☐ **Swedish Krona (SEK)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland, Eurex Zürich[6], FWB - Multi-Currency and XIM Sweden

6-Series Account	
Name of Account Holder	

[6] Otherwise, the form “Restriction in Admission to Derivatives Trading” will have to be submitted.

☐ **Danish Krone (DKK)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at XIM Denmark and all Clearing Members taking part in Clearing transactions at Eurex Bonds, which are settled in DKK.

6-Series Account	
Name of Account Holder	

Or optional for Eurex Bonds Clearing Members only:

CBL Account or Euroclear Account	
Name of Account Holder	

☐ **Norwegian Krone (NOK)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at XIM Norway

6-Series Account	
Name of Account Holder	

☐ **Australian Dollar (AUD), Kanadian Dollar (CAD), Japanese Yen (JPY)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at FWB - Multi-Currency (AUD, CAD, JPY).

6-Series Account	
Name of Account Holder	

2.4 Cash Fee Account

Used for debiting CCP transaction and service fees, transaction feed for Eurex as well as technical and cash default payments.
Per default, CCP transaction fees in the trading currencies Swedish Krona (SEK), Japanese Yen (YEN), Canadian Dollar (CAD), Danish Krone (DKK), Norwegian Krone (NOK) and Australian Dollar (AUD) are converted into Euro (EUR).
Transaction fees resulting from transactions in Swiss Franc (CHF) cannot be converted[7].
For direct debiting of Eurex transaction fees, for technical and cash default payments and credits in KRW, the account mentioned under 2.2 will be used.
Optionally, also transaction fees in the currencies British Pound (GBP) and U.S. dollar (USD) can be converted into EUR.

☐ We would like our CCP transaction fees in the currencies GBP and USD to be converted into EUR.

Please note that these settings will take effect on all markets. Should you wish to limit your decision for conversion, please contact your Key Account Manager.

☒ **Euro (EUR)**

RTGS Account / euroSIC Account	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

☐ **Swiss Franc (CHF)**

Please provide this account by submitting the form “Eurex Clearing AG - Debit Authorisation Transaction Fees, CCP Transactions and Service Fees, and Technical and Cash Default Payments in CHF” which you can find under the following link: http://www.eurexchange.com/exchange-en/resources/forms/

☐ **British Pound (GBP)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	
Sort Code of Payment Bank	

☐ **U.S. dollar (USD)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT Address (if other than BIC)	

[7] Should you wish to convert the CCP transaction fees into Swiss Franc (CHF), U.S. dollar (USD) or British Pound (GBP), please contact your Key Account Manager.

Date _____ Place _____ Company stamp and authorized signature (name in capital letters) _____