clear to trade

e u r e x c l e a r i n g *circular 019/12*

Date: March 14, 2012
Recipients: All Clearing Members of Eurex Clearing AG and Vendors
Authorized by: Thomas Book

Introduction of Clearing Services for Bonds denominated in Danish Kroner and Adjustment of the Price List for Eurex Clearing AG

Contact: Member Services & Admission, tel. +49-69-211-1 17 00, e-mail: memberservices@eurexclearing.com

Content may be most important for:	**Attachments:**
Ü All departments	1. Eurex Bonds: List of introduced DKK Bonds 2. Updated Price List for Eurex Clearing AG as of April 2, 2012 3. Updated Eurex Bonds and Eurex Clearing forms

As of **April 2, 2012**, Eurex Clearing will start the clearing of bonds denominated in Danish kroner traded on Eurex Bonds. Initially, bonds issued in Danish kroner (DKK) by the Kingdom of Denmark, Byggereits Realkreditfon, Kommunekredit, Realkredit Danmark, Nykredit, Nykredit A/S and Nordea Kredit Realkredit will be introduced (see attachment 1).

Eurex Clearing and Eurex Bonds participants who have specified a DKK settlement account to Eurex Bonds will be entitled to clear and trade bonds issued in DKK.

The new bond products will be integrated in the existing bonds clearing processes. “DvP/RvP settlement” of the bonds will take place at Clearstream Banking (Luxembourg) and Euroclear Bank (Brussels).

With regard to the introduction of Danish Bonds we provide you with the updated price list for Eurex Clearing AG, which will become effective as of **April 2, 2012**.

The document will be available as of the effective date on the website of Eurex Clearing AG under the path:

www.eurexclearing.com > Documents > Rules & Regulations > Price Lists

For direct access to the document, please click on the following link:
http://www.eurexclearing.com/documents/regulations/price_list_en.html

Eurex Clearing AG
Mergenthalerallee 61
65760 Eschborn
Mailing address:
60485 Frankfurt/Main
Germany

T +49-69-211-1 17 00
F +49-69-211-1 17 01
memberservices@
eurexclearing.com
Internet:
www.eurexclearing.com

Chairman of the
Supervisory Board:
Prof. Dr. Peter Gomez

Executive Board:
Andreas Preuss (CEO),
Jürg Spillmann, Thomas Book,
Gary Katz, Michael Peters,
Peter Reitz

Aktiengesellschaft mit
Sitz in Frankfurt/Main
HRB Nr. 44828
Amtsgericht
Frankfurt/Main

Attachment 1 to Eurex Clearing Circular 019/12

Eurex Bonds: List of introduced DKK Bonds

Issuer	CCY	ISIN	Issue Date	Maturity
KINGDOM OF DENMARK	DKK	DK0009922320	14-Nov-08	15-Nov-39
KINGDOM OF DENMARK	DKK	DK0009918138	06-Apr-94	10-Nov-24
KINGDOM OF DENMARK	DKK	DK0009922676	14-Jan-11	15-Nov-21
KINGDOM OF DENMARK	DKK	DK0009922403	23-Jan-09	15-Nov-19
KINGDOM OF DENMARK	DKK	DK0009921942	31-Jan-06	15-Nov-17
KINGDOM OF DENMARK	DKK	DK0009922759	11-Feb-11	15-Nov-16
REALKREDIT DANMARK	DKK	DK0009276867	09-Jul-07	01-Jan-16
KINGDOM OF DENMARK	DKK	DK0009921439	12-Feb-04	15-Nov-15
REALKREDIT DANMARK	DKK	DK0009282832	25-Feb-10	01-Apr-15
NYKREDIT A/S	DKK	DK0009776916	01-Oct-09	01-Apr-15
KOMMUNEKREDIT	DKK	DK0008927189	16-Nov-09	01-Jan-15
REALKREDIT DANMARK	DKK	DK0009281867	11-Aug-09	01-Jan-15
REALKREDIT DANMARK	DKK	DK0009281784	11-Aug-09	01-Jan-14
BYGGEREITS REALKREDITFON	DKK	DK0009371429	04-Nov-09	01-Jan-14
NYKREDIT	DKK	DK0009767410	16-Nov-07	01-Jan-14
KINGDOM OF DENMARK	DKK	DK0009920894	19-Feb-02	15-Nov-13
NYKREDIT A/S	DKK	DK0009777054	01-Oct-09	01-Oct-13
REALKREDIT DANMARK	DKK	DK0009282675	25-Feb-10	01-Apr-13
NYKREDIT A/S	DKK	DK0009776759	01-Oct-09	01-Apr-13
NORDEA KREDIT REALKREDIT	DKK	DK0002022904	02-Nov-09	01-Jan-13
REALKREDIT DANMARK	DKK	DK0009276438	09-Jul-07	01-Jan-13
REALKREDIT DANMARK	DKK	DK0009281511	11-Aug-09	01-Jan-13
BYGGEREITS REALKREDITFON	DKK	DK0009371346	04-Nov-09	01-Jan-13
NYKREDIT A/S	DKK	DK0009776593	01-Oct-09	01-Jan-13
KINGDOM OF DENMARK	DKK	DK0009922593	28-Apr-09	15-Nov-12
NYKREDIT	DKK	DK0009775009	14-Jul-09	01-Oct-12

****

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

****

Preamble

On the basis of the Clearing Agreements concluded with the Clearing Members, Non-Clearing Members and Registered Customers, and the respective current version of the ~~applicable~~ Clearing Conditions of Eurex Clearing AG (hereinafter referred to as "Clearing Conditions") as well as of~~,~~ the Conditions for Utilization of the OTC Trade Entry Facilities ("OTC Conditions") ~~respectively the General Conditions for Utilization of Eurex Clearing AG ("Conditions for Utilization") in effect at the respective time respectively the Agreement on Technical Connection to the Clearing EDP of Eurex Clearing AG ("Connection Agreement")~~, the Price List sets forth the fees individually assessed by Eurex Clearing AG (ECAG) for the matters set forth below and provided for in aforementioned Conditions. ~~Provided that the technical connection to the Clearing EDP is carried out pursuant to the Connection Agreement, Number 2 "Connection Fees" does not apply.~~

Basic Principles

The German version of the Price List in effect at the respective time is an integral part of the Clearing Conditions.

[…]

~~2.~~ ~~Connection Fees~~ [Cancelled]

~~In order to gain access to clearing services of ECAG, participants may choose between connections via a leased line or via internet, each with a bandwidth of 64 kbit/s. In order to gain access to the clearing services for derivatives and to the risk management facilities (Risk-Based Margining), participants may (if available) use their connection to Eurex Deutschland and Eurex Zürich as well.~~

~~The following chart gives an overview of the available connection components with the respective monthly fees:~~

~~Connection Component~~	~~Fee per Month~~
~~Per 128 kbit/s bandwidth of a leased line*~~	~~EUR 450.00~~

~~Connection Component~~	~~Fee per Month~~
~~Per 64 kbit/s bandwidth of a leased line~~	~~EUR 450.00~~
~~Per 128 kbit/s internet line*~~	~~EUR 200.00~~
~~Per 64 kbit/s internet line~~	~~EUR 200.00~~

~~* Connection will not be offered any more. Existing connections will continue to exist.~~

~~The total sum of the monthly connection fees results from the number of connection components installed with a participant, multiplied with the respective fee per connection component.~~

~~Irrespective of the number of installed connection components, an additional monthly fee of EUR 500 per participant for the services described in paragraph 4 of the Conditions for Utilization shall be charged.~~

~~Fees shall be charged plus the current statutory VAT.~~

[…]

34. Transaction Fees of Eurex Bonds GmbH

For transactions pursuant to Chapter I Part 1 S~~s~~ubsection ~~1.5.2~~5.1 of the Clearing Conditions in connection with transactions on Eurex Bonds GmbH pursuant to Chapter III subsection 2.1 of the Clearing Conditions ("Eurex Bonds Transactions"), ECAG shall charge fees for the settlement of transactions and positions as follows:

Volume of transactions per month in million: (EUR and USD)	**Fee based on the nominal value:**
≤ 2,000	0.00050 %
> 2,000 ≤ 4,000	0.00025 %
> 4,000	0.00002 %

Volume of transactions per month in million: (DKK)	**Fee based on the nominal value:**
≤ 2,000	0.00050 %
> 2,000 ≤ 4,000	0.00025 %
> 4,000	0.00015 %

The fees are calculated separately for every individual transaction currency. The transaction volumes of different transaction currencies are not added together.

[…]

Reference Data Sheet for Eurex Bonds

Eurex Bonds GmbH
Member Services & Admission
60485 Frankfurt
Germany

Fax: +49 (0)69 - 211-11641

Eurex Member ID

* Eurex Bonds Member ID

* CCP Member ID

☐ Add
☐ Change
☐ Delete

* DD.MM.YY

Trading Participant

* Complete name of the company including the legal form

Address

* Street No * Address 1

* Zip Code * City

* Country

Central Contact

* First Name, last name

* Phone * Fax

* **Please select one of the above boxes. Please specify your selection.**

Reference Data of Trading Participant

Trading:

	Name of contact Trading	Function	Phone no.:	Fax no.:
1.				
2.				

Comments:

EXBonds-20120305-EN

* mandatory

Clearing (main contact and representatives):

	Name of contact Clearing (Cash)	Phone no.:	Fax no.:
1.			
2.			
	Name of contact Clearing (Futures)	Phone no.:	Fax no.:
1.			
2.			
	Name of contact Settlement (Cash)	Phone no.:	Fax no.:
1.			
2.			

Comments:

Security Administration:

	Name of contact Security Administration	Phone no.:	Fax no.:
1.			
2.			

Comments:

Emergency Procedure:

	Name of contact Emergency Procedure	Phone no.:	Fax no.:
1.			
2.			

Comments:

Accounting/ Billing:

	Name of contact Accounting/ Billing	Phone no.:	Fax no.:
1.			
2.			

Comments:

Settlement:

I. Non-Clearing Member (Trading Participant)	
Name of General Clearing Member	Member ID

II. Clearing Member
☐ Direct Clearing Member or ☐ General Clearing Member

Comments:

EXBonds-20120305-EN

Reference Data Sheet for Eurex Bonds

Required statement:

For security settlement

Account location abbreviations:
CBF = Clearstream Banking Frankfurt
6-series = Clearstream Banking Frankfurt Creation Account
CBL = Clearstream Banking Luxembourg
EOC = Euroclear Bank SA/NV

Please state an account of Clearstream Banking Frankfurt or an 6-series account or an account of Clearstream Banking Luxembourg or an Euroclear account:

Instrument	Issuing Country	Setl. Account No.	Setl. Account Location
Government Bonds	Germany		CBF, 6-series, CBL, EOC
	Italy*		6-series, CBL, EOC
	Denmark		6-series, CBL, EOC
	Other		6-series, CBL, EOC
Treasury Bills	Germany		CBF, 6-series, CBL, EOC
	Italy*		6-series, CBL, EOC
	Other		6-series, CBL, EOC
Covered Bonds	Germany		CBF, 6-series, CBL, EOC
	France		6-series, CBL, EOC
	Italy*		6-series, CBL, EOC
	Others		6-series, CBL, EOC
Corporate Bonds	Denmark		6-series, CBL, EOC
	Others		6-series, CBL, EOC

*For the participation in trading Italian Instruments: For automated processing of the Taxpayer Identification Number (TIN) within the delivery management for Italian bonds, we confirm our following Taxpayer Identification Number:

Blanks and special characters may have an effect on the effectiveness of the TIN Number.

Instrument	Instrument	Account No.	Account Location
German State Bonds	Germany		CBF, 6-series, CBL, EOC
Agencies	Germany		CBF, 6-series, CBL, EOC
	France		6-series, CBL, EOC
	Other		6-series, CBL, EOC
USD German Government Bonds	Other		6-series, CBL, EOC
USD Agencies	Other		6-series, CBL, EOC

14 March 2012			
* Date	* Place	* Signatory	Company stamp and authorized signature (Company name in print letters)

EXBonds-20120305-EN

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

Eurex Clearing AG
Member Services & Admission
60485 Frankfurt am Main
Deutschland

Fax: +49 - (0)69 - 211-1 16 41

Antragsteller (Clearing Mitglied) / *Applicant (Clearing Member)*

* Name des antragstellenden Unternehmens / *Company name of Applicant*

Anschrift/*Address*

* Straße/*Street* * Haus-Nr./*Str.-No.*

* PLZ/*ZiP-Code* * Ort/*City*

* Land/*Country*

Ansprechpartner/*Contact Person*

* Vorname/*Name*

* Nachname/*Surname*

* Telefon/*Phone-No.* * Fax/*Fax*

* E-Mail

☐ Einrichtung per /
Set up effective from _____
T T M M J J

☐ Löschung per /
Deletion effective from _____
T T M M J J nach Handelsschluss

☐ Änderung per /
Change effective from _____
T T M M J J nach Handelsschluss

CCP-Clearing-Mitglied-Kürzel
CCP-Clearing-Member-ID

☐ **Simulation** ☐ **Production**

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

I. CBF

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto Nr. (Zentralkonto)** *Account No. (Headquarter Account)*	**Kontoinhaber** *Account Holder*	**Geldverrech-nungsstelle** *Cash Settlement Location* **Zentralkonto** *Central Account*	**Geldkonto** *Cash Account*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*	**Konto Nr. (Filialkonto)** *Account (Branch Account No.)*	**Kontoinhaber** *Account Holder*
CBF			RTGS-Konto / *-Account*		EUR			
			euroSIC-Konto / -Account					
			For Eurex Deutschland only: SNB (Verrechnendes SIC-Konto / *SIC Settlement Account*)		CHF			
			Optional for Eurex Deutschland only: 6-Series-Account* Applicable for all products not eligible via CBF-SIX SIS Link**		CHF			
			For Eurex Deutschland, Eurex Repo GC Pooling + FWB/ Xetra Trading in Foreign Currency Securities only 6-Series Account		USD			
			For FWB/ Xetra Trading in Foreign Currency Securities 6-Series Account		CHF GBP SEK			

* Wird angesprochen für die Geldabwicklung (DvP) von Instrumenten, welche nicht vom CBF-SIS Link akzeptiert sind- siehe Merkblatt.
* *Is applied for cash payments (DvP) out of instruments not eligible for the CBF-SIS link - please check informarion memorandum.*

** Achtung: siehe Merkblatt 3b
** *Attention: see Information Memorandum point 3b*

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

Verknüpftes CBF-Creation-Konto *** *Linked CBF Creation Account ****		**Kontoinhaber** *Account Holder*				
For Eurex Deutschland (ADRs) only: Default Processing Method	gross					
Default Processing Method	□ gross □ net					
GC Pooling Default Processing Method	□ gross □ net					
Xetra Net Service Default Processing Method	□ gross □ net					
Netting Model	**Default Release Method**	**Cash Deferral**	**Settlement Netting Unit**	**FWB Cross Trading Location Netting**	**For Eurex Deutschland only: Derivatives/Equity Separation** (Origin type separation)	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation** (Contract type separation)
☐ Single Instruction Netting (Anglo-Saxon)	negative	not applicable	CM	not applicable	not applicable	no
☐ Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	□ CM □ All NCMs (A+P) + CM-A / CM-P □ Per NCM (A+P) / CM-A / CM-P	□ yes □ no (default)	yes (default)	yes (default)
☐ GC Pooling - Single Instruction Netting (Anglo-Saxon)	negative	not applicable	Per NCM (A+P) / CM-A / CM-P	not applicable	not applicable	yes
☐ GC Pooling - Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	Per NCM (A+P) / CM-A / CM-P	not applicable	not applicable	not applicable
☐ Xetra Extended Service only	□ positive □ negative	not applicable	not applicable	not applicable		
Unternehmensname des Bruttoliefermanagers / *Company name of gross delivery manager*						

*** Wird angesprochen im Falle von Kapitalmaßnahmen in Gattungen, welche nicht über CBF abgewickelt werden können- siehe Merkblatt.
**** Is applied in case of corporate action in securities which cannot be settled via CBF- please check Information Memorandum.*

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

II. 6-Series Account (CBF-Creation-Konto *CBF Creation Account*)

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No.*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location*	**Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*
6-Series Account (CBF Creation Account)			RTGS-Account (euroSIC) or CBF Creation Account		EUR	
			6-Series		SEK	
			6-Series for Eurex Bonds only		USD	
			6-Series for Eurex Bonds only		DKK	

Default Processing Method	□ gross □ net	

☐ **Netting Model**	**Default Release Method**	**Cash Deferral**	**Settlement Netting Unit**	**For Eurex Deutschland only: Derivatives/ Equity Separation** (Origin type separation)	**For Eurex Bonds/ Eurex Repo only: Bonds/Repo Separation** (Contract type separation)
Single Instruction Netting (Anglo-Saxon)	negative	not applicable	CM	not applicable	no
☐ Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	□ CM □ All NCMs (A+P) + CM-A / CM-P □ Per NCM (A+P) / CM-A / CM-P	yes (default) □ no	yes (default)
☐ Euro BTP Future - Single Instruction Netting (Anglo-Saxon)	negative	not applicable	CM	not applicable	no
☐ Euro BTP Future - Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	□ CM □ All NCMs (A+P) + CM-A / CM-P □ Per NCM (A+P) / CM-A / CM-P	yes (default) □ no	yes (default)

Unternehmensname des Bruttoliefermanagers / *Company name of gross delivery manager*	

Optional: Taxpayer Identification Number (TIN) des Kontoinhabers und Clearing Mitglied benötigt für eine Clearing Lizenz für die Abwicklung der Transaktionen an der Eurex Deutschland und Eurex Bonds:
Optional: Taxpayer Identification Number (TIN) of Account Holder and Clearing Member required for Clearing License for Clearing of Transactions at Eurex Deutschland and Eurex Bonds:

Für die automatische Bearbeitung der TIN für italienische Bonds innerhalb des Brutto-Liefermanagements geben wir unsere folgende TIN**:
*For automated processing of the TIN within the delivery management for Italian bonds, we advise our following TIN**:*

TIN des Kontoinhabers: / *TIN of Account Holder:*

TIN des Clearing Mitglieds: / *TIN of Clearing Member:*

III. SIS

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto** *Account (BP-ID)*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* **Konto** *Account*	**Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*
SIS			**RTGS-Konto** / *-Account*		EUR	
			For Eurex Deutschland and XIM CHF only: SNB (Verrechnendes SIC-Konto / *SIC Settlement Account)*		CHF	

Default Processing Method	□ gross □ net				
Default Processing Method for XIM CHF Extended Service only	□ gross □ net			**For Eurex Deutschland and XIM only: Derivatives/Equity Separation** (Origin type separation)	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation** (Contract type separation)
Netting Model	**Default Release Method**	**Cash Deferral**	**Settlement Netting Unit**		
☐ Single Instruction Netting (Anglo-saxon)	negative	not applicable	CM	not applicable	no
☐ Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	□ CM □ All NCMs (A+P) + CM-A / CM-P □ Per NCM (A+P) / CM-A / CM-P	yes (default) □ no	yes (default)
for XIM CHF Extended Service only	□ positive □ negative	not applicable	not applicable	not applicable	no
Unternehmensname des Bruttoliefermanagers / *Company name of gross delivery manager*					

** Leerzeichen und Sonderzeichen können eine Auswirkung auf die Wirksamkeit der TIN Nummer haben.
** *Blanks and special characters may have an effect on the effectiveness of the TIN Number.*

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

IV. Euroclear

Wertpapierabwicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No.*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* **Konto** / *Account*	**Geldkonto Nr.** *Cash account no.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*
Euroclear			**RTGS-Konto** / *-Account* or Euroclear		EUR	
			Euroclear for Eurex Bonds only		USD	
			Euroclear for Eurex Bonds only		DKK	
Default Processing Method		□ gross □ net				
Netting Model		**Default Release Method**	**Cash Deferral**	**Settlement Netting Unit**	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation** (Contract type separation)	
☐ Single Instruction Netting (Anglo-Saxon)		negative	not applicable	CM	no	
☐ Dual Instruction Netting (German)		□ positive □ negative	□ yes □ no	□ CM □ All NCMs (A+P)+CM-A/CM-P □ Per NCM (A+P)/CM-A/CM-P	yes (default)	yes (default)
Unternehmensname des Bruttoliefermanagers / *Company name of gross delivery manager*						

Optional: Taxpayer Identification Number (TIN) des Kontoinhabers und Clearing Mitglied benötigt für eine Clearing Lizenz für die Abwicklung der Transaktionen an der Eurex Bonds:
Optional: Taxpayer Identification Number (TIN) of Account Holder and Clearing Member required for Clearing License for Clearing of Transactions at Eurex Bonds:

Für die automatische Bearbeitung der TIN für italienische Bonds innerhalb des Brutto-Liefermanagements geben wir unsere folgende TIN**:
*For automated processing of the TIN within the delivery management for Italian bonds, we advise our following TIN**:*

TIN des Kontoinhabers: / *TIN of Account Holder:*

TIN des Clearing Mitglieds: / *TIN of Clearing Member:*

** Leerzeichen und Sonderzeichen können eine Auswirkung auf die Wirksamkeit der TIN Nummer haben.
** *Blanks and special characters may have an effect on the effectiveness of the TIN Number.*

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

V. CBL

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No.*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* Konto / *Account*	**Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*
CBL			**RTGS-Konto** / *-Account* / **CBL-Konto** / *-Account*		EUR	
			CBL-Konto / *-Account* for GC Pooling / for Eurex Bonds only		USD	
			CBL-Konto / *-Account* for Eurex Bonds only		DKK	

Default Processing Method	□ gross □ net
GC Pooling Default Processing Method	□ gross □ net

Netting Model	**Default Release Method**	**Cash Deferral**	**Settlement Netting Unit**	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation** (Contract type separation)
☐ Single Instruction Netting (Anglo-Saxon)	negative	not applicable	CM	no
☐ Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	□ CM □ All NCMs (A+P) + CM-A / CM-P □ Per NCM (A+P) / CM-A / CM-P	yes (default)
☐ GC Pooling Single Instruction Netting (Anglo-saxon)	negative	not applicable	Per NCM (A+P) / CM-A / CM-P	yes
☐ GC Pooling Dual Instruction Netting (German)	□ positive □ negative	□ yes □ no	Per NCM (A+P) / CM-A / CM-P	not applicable

Unternehmensname des Bruttoliefermanagers / *Company name of gross delivery manager*

Optional: Taxpayer Identification Number (TIN) des Kontoinhabers und Clearing Mitglied benötigt für eine Clearing Lizenz für die Abwicklung der Transaktionen an der Eurex Bonds:
Optional: Taxpayer Identification Number (TIN) of Account Holder and Clearing Member required for Clearing License for Clearing of Transactions at Eurex Bonds:

Für die automatische Bearbeitung der TIN für italienische Bonds innerhalb des Brutto-Liefermanagements geben wir unsere folgende TIN**:
*For automated processing of the TIN within the delivery management for Italian bonds, we advise our following TIN**:*

TIN des Kontoinhabers: / *TIN of Account Holder:*

TIN des Clearing Mitglieds: / *TIN of Clearing Member:*

** Leerzeichen und Sonderzeichen können eine Auswirkung auf die Wirksamkeit der TIN Nummer haben.
** *Blanks and special characters may have an effect on the effectiveness of the TIN Number.*

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)

Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

VI. EUI (Euroclear UK & Ireland)

For the following products on Eurex:

☐ UK Options
☐ Irish Options
☐ ETCs

Account Type: Principal

* Der BIC des Bruttoliefermanagers ist nur anzugeben, wenn dieser vom Clearer abweicht!/
The BIC of the gross delivery manager is only required if the GCM is another Company than the Clearing Member!

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Kontoinhaber (EUI ID und Unternehmensname des Abwicklungsteilnehmers)** *Account Holder (EUI Settlement Participant ID and Company name)*	**Geldverrechnungsstelle** *Cash Settlement Location*	**Sort Code + Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*	**EUI Clearing Member ID** *EUI Clearing Member ID* (CM Undertaking/ Sponsered CM Undertaking)
EUI		Barclays Bank plc, Citibank N.A., Deutsche Bank London Branch, HSBC Bank plc, The Royal Bank of Scotland plc, JPMorgan Chase Bank London		GBP		
		RTGS-Konto / *Account* euroSIC-Konto / *Account*		EUR		
		Bank of America, Citigroup, Deutsche Bank Trust Company Americas (DBTCA), HSBC Bank, USA, JPMorgan Chase Bank N.A., JPMorgan Chase Bank London		USD		
Default Processing Method	gross					
Netting Model	**Default Release Method**		**Cash Deferral**	**Settlement Netting Unit**	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation** (Contract type separation)	**For Eurex Deutschland only: Derivatives/Equity Separation** (Origin type separation)
Dual Instruction Netting (German)	negative		no	CM	no	no
Unternehmensname des Bruttoliefermanagers/ *Company name of gross delivery manager*				BIC des Bruttoliefermanagers/ BIC of gross delivery manager*		

Account Type: Agency

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Kontoinhaber (EUI ID und Unternehmensname des Abwicklungsteilnehmers)** *Account Holder (EUI Settlement Participant ID and Company name)*	**Geldverrechnungsstelle** *Cash Settlement Location*	**Sort Code + Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*	**EUI Clearing Member ID** *EUI Clearing Member ID* (CM Undertaking/ Sponsered CM Undertaking)
EUI		Barclays Bank plc, Citibank N.A., Deutsche Bank London Branch, HSBC Bank plc, The Royal Bank of Scotland plc, JPMorgan Chase Bank London		GBP		
		RTGS-Konto / *Account* euroSIC-Konto / *Account*		EUR		
		Bank of America, Citigroup, Deutsche Bank Trust Company Americas (DBTCA), HSBC Bank, USA, JPMorgan Chase Bank N.A., JPMorgan Chase Bank London		USD		
Default Processing Method	gross					
Netting Model	**Default Release Method**		**Cash Deferral**	**Settlement Netting Unit**	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation** (Contract type separation)	**For Eurex Deutschland only: Derivatives/Equity Separation** (Origin type separation)
Dual Instruction Netting (German)	negative		no	CM	no	no
Unternehmensname des Bruttoliefermanagers/ *Company name of gross delivery manager*				BIC des Bruttoliefermanagers/ BIC of gross delivery manager*		

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

For Xetra International Market only

Account Type: Principal & Agency

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Kontoinhaber (EUI ID und Unternehmensname des Abwicklungsteilnehmers)** *Account Holder (EUI Settlement Participant ID and Company name)*	**EUI Default Member Account ID** (optional)	**BIC vom Abwick-lungsteilnehmer** / *BIC of the Settlement Participant*	**BIC vom Kontoinhaber beim Local Custodian** *BIC of the Account Holder at Local Custodian****	**Geldverrechnungsstelle** *Cash Settlement Locations*	**Sort Code + Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*
EUI					**Accepted Cash Settlement Locations** Barclays Bank plc,Citibank N.A., Deutsche Bank London Branch, HSBC Bank plc, The Royal Bank of Scotland plc, JPMorgan Chase Bank London	Sort Code + Cash account No.	GBP	
					XIM UK			
					RTGS-Account / euroSIC	Cash account No. only	EUR	
					XIM Ireland			
Als Bruttoliefermanager wird der Kontoinhaber des Abwicklungskontos bei EUI (Euroclear UK & Ireland) hinterlegt. / *The account holder at EUI (Euroclear UK & Ireland) will be set up as Gross Delivery Manager.*								

*N*ir wählen das folgende Netting Level für das o.g. Abwicklungskonto****/
*We select the following netting level for the previous mentioned settlement account.*****

- ☐ NCMs (A) / NCMs (P) / CM (A) / CM (P)
- ☐ NCMs (A) + CM (A) / NCMs (P) + CM (P)
- ☐ TM (A) / TM (P) - default

** Benötigt wird ein Firmen-BIC für die Identifizierung der Buyer/Seller-Ebene für die Abwicklung in CREST./
** *This is the Member Firm's BIC used for the identification of the Buyer/Seller Level for settlement in CREST.*

*** Das ausgewählte Netting Level gilt für alle UK-Geschäfte (A + P) jedoch nur für alle irischen P-Geschäfte. Irische A-Geschäfte werden weiterhin "gross" genettet./
*** *The chosen netting level effects all UK trades (A + P) but only the P-trades for Ireland. The Irish A-trades will be still netted "gross".*

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten (CBF, SIS, Euroclear, CBL, EUI)
Application for Set up/Deletion/Change of CCP Settlement Accounts (CBF, SIS, Euroclear, CBL, EUI)

Kontakt beim Abwicklungsteilnehmer / *Contact at the Settlement Participant*

* Vorname / *Name*

* Nachname / *Surname*

* Telefon / *Telephone* * Fax

* Hauptadresse / Address of Head Office (Street+no., Postal Code, City, Country)

Ansprechpartner für Euroclear UK & Ireland für Kapitalmaßnahmen
Corporate actions operations unit contact for Euroclear UK & Ireland

* Vorname / *Name*

* Nachname / *Surname*

* Telefon / *Telephone* * Fax

* E-Mail

Ansprechpartner für Euroclear UK & Ireland für Income Operation
Income *operations unit contact for Euroclear UK & Ireland*

* Vorname / *Name*

* Nachname / *Surname*

* Telefon / *Telephone* * Fax

* E-Mail

Ansprechpartner für Euroclear UK & Ireland für die Abwicklungsadministration
Settlement operations unit contact for Euroclear UK & Ireland

* Vorname / *Name*

* Nachname / *Surname*

* Telefon / *Telephone* * Fax

* E-Mail

* 14. March 2012 * _____ _____

Datum / *Date* Ort / *Place* Firmenstempel und Unterschrift des Clearing Mitglieds (Name in Druckbuchstaben) / *Company stamp and authorized signature of Clearing Member (name in block letters)*