clear to trade

eurex clearing *circular 030/11*

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Recipients: All Clearing Members of Eurex Clearing AG and Vendors
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High priority

Client Asset Protection Service: Further Documents

Related Eurex Clearing Circular: 006/11

Contact: Member Services & Admission, tel. +49-69-211-1 17 00, fax +49-69-211-1 17 01

Content may be most important for:

- Front Office/Trading
- Middle + Backoffice
- Auditing/Security Coordination

Attachments:
(only on the Eurex Clearing website)

1. Client Asset Protection - Customer Roadmap & Process Guide
2. Application for Client Asset Protection for Set-up/ Deletion/Change of Collateral Accounts for segregated NCMs
3. Request for additional A-accounts (A2-A9)

Summary:

As announced in Eurex Clearing circular 006/11, Eurex Clearing will introduce its Client Asset Protection service in the first half of 2011. With this circular, Eurex Clearing provides the "Client Asset Protection - Customer Roadmap & Process Guide" and the forms "Application for Client Asset Protection for Set-up/ Deletion/Change of Collateral Accounts for segregated NCMs" and the "Request for additional A-accounts (A2-A9)". Furthermore, Eurex Clearing now presents its Client Asset Protection service in a new section on the Eurex Clearing website under

www.eurexclearing.com > Member Section > Clearing Services > Client Asset Protection.

Should you have questions or require additional information, please contact our Member Services & Admission team at tel. +49-69-211-1 17 00.

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Client Asset Protection Service: Further Documents

As announced in Eurex Clearing circular 006/11, Eurex Clearing will introduce its Client Asset Protection service in close cooperation with Eurex Clearing Members in the second quarter of 2011. With this circular, Eurex Clearing provides the "Client Asset Protection - Customer Roadmap & Process Guide" and the form "Application for Client Asset Protection for Set-up/Deletion/Change of Collateral Accounts for segregated NCMs". Furthermore, Eurex Clearing now presents its Client Asset Protection service in a new section on the Eurex Clearing website.

Client Asset Protection - Customer Roadmap & Process Guide

The purpose of this document is to provide a general overview of the Client Asset Protection solution and to support Member firms in planning necessary activities. In addition to the functional impact on Member's side, the document outlines the preliminary forms and legal requirements to use the Client Asset Protection service. An updated version, describing final processes and forms, will be published in due time before launch.

Application and Set-up Forms for Simulation

In conjunction with the introduction of Client Asset Protection, the first application and set-up forms, which are also described in the Client Asset Protection - Customer Roadmap & Process Guide, have been adapted and published for download (further forms are currently being designed in consultation with the market and will be provided in due time):

- Application for Client Asset Protection for Set-up/Deletion/Change of Collateral Accounts for segregated NCMs
- Request for additional A-accounts

Members can already test the above mentioned service in the permanent Eurex simulation environment. Various test scenarios, providing an opportunity for Members to test the Client Asset Protection service, can be carried out during a specific Client Asset Protection simulation. This simulation is expected to take place in the second quarter of 2011. Members who wish to test the Client Asset Protection service and/or additional accounts in simulation can apply for participation using the above-mentioned forms.

The Client Asset Protection - Customer Roadmap & Process Guide and the forms have been published in the Member Section of the Eurex Clearing website. To download your personal copy, please visit

www.eurexclearing.com > Member Section > Clearing Services > Client Asset Protection

For direct access click on the following link:

https://www.eurexchange.com/members/clearing_services/cap/manuals/manuals_functional_en.html

New Section "Client Asset Protection" and Frequently Asked Questions

Under the headline "Ensuring Highest Protection for Our Customers" in the new section under the path

www.eurexclearing.com > Risk Management > Client Asset Protection

Eurex Clearing presents its new Client Asset Protection Service. Within this new section, a short description of the new service is given and key benefits are outlined.

Frequently asked questions, which have been arisen so far, are also published in this new section.

For direct access click on the following link:

http://www.eurexclearing.com/risk/cap_en.html

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