X-pand into the Future

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e u r e x *circular 017/06*

Date: Frankfurt, January 20, 2006
Recipients:: All Eurex members and vendors, CCP members, Eurex Repo and Eurex Bonds members
Authorized by: Daniel Gisler

CCP Release 3.1: Technical and Functional Documents

Contact: Customer Support, tel. +49-69-211-1 17 00, Technical Helpdesk CCP, tel. +49-69-211-1 28 00

Content may be most important for:

- Middle + Backoffice
- IT / System Administration
- Auditing / Security Coordination

Attachments:

1. CCP Access Order Form: Order/Cancellation
2. Order Form for Setup/Change/Cancellation of a CCP Report Node
3. Order Form for Setup/Change/Cancellation of CCP Settlement Reports / File Transfer via z/OS (SNA) or SWIFTnet
4. Order Form for Setup/Change/Cancellation of a CCP Settlement Instruction Data Carrier / File Transfer via z/OS (SNA) or SWIFTnet
5. Order Form for Setup of CCP User IDs (@X-PERT)
6. Order Form for Setup/Change of CCP User IDs
7. Request for Settlement Account Settings for Eurex Derivatives Deliveries (Previous CSD Selection)
8. Fax Reply CCP Release 3.1 Coordinator

Only on Eurex website:

- CCP Release 3.1 VALUES API Member Front End Development Guide
- CCP 3.1 Header Files – Windows
- CCP 3.1 Header Files – Unix

Summary:

In connection with the introduction of CCP Release 3.1, we are sending you today further technical and functional forms as well as the VALUES API Member Front End Development Guide.

Production start of CCP Release 3.1 is planned for the third quarter of 2006.

With introduction of CCP Release 3.1, the existing Eurex Clearing License will continue to be used for deliveries originating from the derivatives market. This means that each Eurex Clearing Member may settle Eurex deliveries with its existing clearing license. However, each Eurex derivatives clearer must have a technical connection to the CCP to have access to CCP reports and Gross Delivery Management.

Optionally, Eurex derivatives clearers may source out Gross Delivery Management to a third party.

Furthermore, a fax reply form is attached to nominate your project coordinator for CCP Release 3.1. Kindly return this form **before February 3, 2006**.

CCP Release 3.1: Technical and Functional Documents

In connection with the introduction of CCP Release 3.1, we are sending you today further technical and functional forms as well as the VALUES API Member Front End Development Guide.

Production start of CCP Release 3.1 is planned for the third quarter of 2006.

Technical Forms

With introduction of CCP Release 3.1, the existing Eurex Clearing License will continue to be used for deliveries originating from the derivatives market. This means that each Eurex Clearing Member may settle Eurex deliveries with its existing clearing license. However, each Eurex derivatives clearer must have a technical connection to CCP to have access to CCP reports and Gross Delivery Management (see also www.eurexchange.com > Member Section > Documentation/Manuals CCP > CCP 2.0 > Technical Manuals > Common Front End Technical Overview).

Optionally, Eurex derivatives clearers may source out Gross Delivery Management to a third party (see also www.eurexchange.com > Member Section > Documentation/Manuals CCP > CCP 2.0 > Functional Manuals > Authorization Concept).

- **CCP Access Order Form: Order/Cancellation**
  By means of this form, CCP members can order their CCP connection alternative. A Eurex derivatives clearer, who currently has no connection to CCP, may choose between a line-based connection and a combination of line- and internet-based connections (see also www.eurexchange.com > Market Access > Technical Connections).
  Please submit your order no later than **February 15, 2006**.

z/OS-Forms

Intraday reports are received via the MISS. Members also have the option to receive intraday reports in S.W.I.F.T. format MT536 via different transmission ways and send delivery instructions to CCP.

Information about physical deliveries denominated in euro or delivery instructions for euro-denominated products cannot be transmitted via SWIFTNet.

All members who use an existing SNA connection for receiving CCP reports and who will need to change this setup for CCP Release 3.1 are required to hand in the z/OS forms. Even if there will be no change, these forms have to be returned to the specified fax number **before March 15, 2006.**

The following ways of transmission may be selected by means of the forms listed below (see also www.eurexchange.com > Member Section > Documentation/Manuals CCP > CCP 3.1 > Technical Manuals > Member File Based & SWIFT Interface - Programming Version):

For reports sent via z/OS (SNA):

Members agree individual file names with Deutsche Börse Systems (z/OS) for transmission.

For reports sent via SWIFTNet:

S.W.I.F.T. messages are sent via SWIFTNet to the member-specific S.W.I.F.T. addresses.

- **Order Form for Setup/Change/Cancellation of a CCP Report Node**
  With this form, setup, change or cancellation of a CCP Member ID linked to a specific delivery KV may be applied for. The delivery KV serves to replace intraday settlement reports (CE260 - Pending Deliveries, CE270 - Settled Deliveries) and settlement instruction data carriers via one of the z/OS File Transfer (SNA) applications.

Eurex Clearing AG
D-60485 Frankfurt am Main
www.eurexchange.com

Customer Support
Tel. +49-69-211-1 17 00
Fax +49-69-211-1 17 01

Executive Board:
Daniel Gisler, Thomas Lenz, Peter Reitz,
Jürg Spillmann

- **Order Form for Setup/Change/Cancellation of CCP Settlement Reports File Transfer via z/OS (SNA) or SWIFTnet**
  With this form, members may apply for setup, change or cancellation of intraday settlement reports (CE260 - Pending Deliveries, CE270 - Settled Deliveries) via z/OS File Transfer (SNA) applications.

- **Order Form for Setup/Change/Cancellation of a CCP Settlement Instruction Data Carrier File Transfer via z/OS (SNA) or SWIFTnet**
  With this form, members may apply for setup, change or cancellation of the z/OS File Transfer (SNA) application for submission of settlement instruction data carriers to CCP.

Functional Forms

- **Application for the Set-Up of a User in the CCP-System (@X-PERT)**
  This form has to be returned by derivatives clearers whose accounts in CCP have not yet been set up. It serves as basis for assignment of read-only or modification rights in CCP and assignment of settlement accounts on member - user level. Users assigned to a particular member may only be assigned accounts that have already been assigned to the same member. Authorization for Gross Delivery Management transactions and additional system functions (login, selection of reports etc.) is granted subject to member roles.

  For simulation, it is planned to delete all previously set up users in CCP and replace them by new standard users. These standard users will be detailed in the Member Simulation Guide.

- **Request for Deletion/Modification/Password reset of User IDs in the CCP-System**
  This form serves to apply for deletion, change or assignment of read-only or writing access rights in CCP and change or deletion of settlement accounts on member - user level.

  This form needs to be handed in only if changes to users already set up become necessary.

- **Request for Settlement Account Setting for Eurex Derivatives Deliveries (Previous CSD Selection)**
  This form allows Clearing Members to modify a CM-defined security (ISIN) - CSD relationship. For each specific product, the Clearing Member can specify a CSD for settlement. Under CCP Release 3.1., the CSD selection window in the X-tract Clearing GUI will no longer be available in the Eurex® system.

  This form must be submitted by all derivatives clearers to the given fax number **until March 15, 2006** at the latest to select the default setup or, where necessary, a defined setup.

Technical Document

- **VALUES API Member Front End Development Guide for CCP Release 3.1 including Windows and Unix Header Files**
  This document is a guideline for development and implementation of applications which use the VALUES API interface for running Gross Delivery Management (GDM) features. The new OTC Requests described in this document (chapters 5.6 and 5.7) are not relevant for CCP 3.1 but for future extensions.

The aforementioned documents were published in the Member Section of the Eurex website. To download your personal copies, please visit

www.eurexchange.com > Member Section > Documentation/Manuals CCP > CCP 3.1. > Legal Documents / Setup Accounts or Technical Documents.

Please also fill out the attached fax reply form which is designed to nominate your project coordinator for upcoming activities in connection with the introduction of CCP Release 3.1. Kindly return your fax reply **before February 3, 2006** to the fax number **+49-69-211-1 17 01**.

Frankfurt, January 20, 2006

Eurex Clearing AG
D-60485 Frankfurt am Main
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CCP Zugangsformular: Bestellung / Kündigung

Access Order Form: Order / Cancellation

X-pand into the Future

For CCP 3.1 launch only!

Eurex Clearing AG
Customer Support

D-60485 Frankfurt am Main

Fax: +49-(0)69-211-1 17 01

Auftraggeber / *Applicant*

Name des Unternehmens / *Name of Company*

Straße oder Postfach / *Street or Post Box*

Land, PLZ und Ort / *Country, Postal Code and Town*

Telefon / *Phone* Fax

E-Mail

☐ **Equity CCP (Frankfurt Stock Exchange)**
oder / *or*
☐ **Fixed Income CCP (Eurex Bonds/Eurex Repo)**
oder / *or*
☐ **Eurex Derivatives CCP (Eurex Exchanges)**

CCP Member ID / Provider ID: ☐☐☐☐☐

Hiermit erteilen wir den Auftrag zur Anbindung an einen lokalen Access Point der Gruppe Deutsche Börse.
We hereby apply for the connection via a local access point of Deutsche Börse Group.

I. Mitbenutzung / *Connection Sharing*

☐ Bestellung des Zugangs über: *Order of access via:* ☐ Kündigung des Zugangs über: *Cancellation of access via:* Wunschtermin (TT.MM.JJJJ) *Requested Date (DD.MM.YYYY)* ☐ Schnellstmöglich *As soon as possible*

Vorhandene Anbindung / *Existing Connection*

☐ Xetra
oder / or
☐ Eurex

Circuit-ID für die mitzunutzende Anbindung angeben / *Please provide the circuit ID for the connection to be shared:*
1.:
2.:

Bei Kündigung: Leitungsbezeichnung der mitgenutzten Leitung / *For cancellation: circuit ID of shared lines:*
1.:
2.:

Technischer Ansprechpartner / *Technical Contact Person*

Vorname und Name / *First Name and Name*	Vertreter: Vorname und Name / *Representative: First Name and Name*
Telefon / *Phone* Fax	Telefon / *Phone* Fax
E-Mail	E-Mail

Uns ist bekannt, dass bei nicht hinreichender Leitungskapazität Verzögerungen und sonstige Beeinträchtigungen auftreten können, die zu Einschränkungen der Handelstätigkeit im Eurex- oder Xetra-Handelssystem sowie zu einer Beeinträchtigung der Nutzung des CCP-Systems führen können, und dass die Eurex Clearing AG eine hinreichende Kapazität unserer bestehenden Leitungen für die hier beantragte zusätzliche Nutzung nicht überprüft oder gewährleistet.

Für FWB Equity CCP- und Eurex Derivate CCP-Teilnehmer ist eine Nutzung der bestehenden Leitungen nur unter der Voraussetzung möglich, dass Intra-day Reports über z/OS (SNA) -File Transfer mit Nutzung der entsprechenden File-Transfer-Anwendungen (Connect:Direct, NJE, FTMSP, Netview FTP, ONGUM oder CFT) bezogen werden.

We are aware that insufficient line capacity may lead to delays and other impairments which may result in limitations in trading activities on the Eurex or Xetra trading systems as well as impairments regarding the usage of the CCP system and that Eurex Clearing AG does not check whether nor guarantee that the capacity of our existing lines is sufficient for performance of the additional usage applied for hereby.

For FWB Cash Market CCP- and Eurex Derivatives CCP-Participants the usage of existing lines is only possible if intra-day reports are received via z/OS (SNA) file transfer using the relevant file transfer applications (Connect:Direct, NJE, FTMSP, Netview FTP, ONGUM or CFT).

II. Dedizierte CCP Anbindung / *Dedicated CCP Connection*

☐ Anbindungsbestellung *Connection Order* ☐ Anbindungskündigung *Connection Cancellation* Wunschtermin (TT.MM.JJJJ) *Requested Date (DD.MM.YYYY)* ☐ Schnellstmöglich *As soon as possible*

Anzahl *No.* ☐ 128kbit/s Bandbreite auf einer Standleitung [1] *128kbit/s bandwidth on a leased line[1]*

Anzahl *No.* ☐ 64kbit/s Bandbreite auf einer Standleitung [1] *64kbit/s bandwidth on a leased line[1]*

Anzahl *No.* ☐ 128kbit/s Internetanbindung(en) [1] *128kbit/s Internet connection(s) [1]*

Anzahl *No.* ☐ 64kbit/s Internetanbindung(en) [1] *64kbit/s Internet connection(s) [1]*

Bei Kündigung Angabe der Leitungsbezeichnung / *For cancellation indication of Circuit ID*
1.:
2.:

Location
Neu *New*: ☐ Trading Location ☐ Back Office Location
Bisher *Existing*: ☐ Trading Location ☐ Back Office Location
☐ Disaster recovery

Bitte mit Hardware-Voraussetzungen abgleichen! / *Please double-check with hardware prerequisites!*

[1] Anbindungen auf Standleitungen müssen redundant, entweder durch mindestens eine zusätzliche Bandbreite auf einer separaten Standleitung oder durch einen Internetzugang, ausgelegt sein. Die Back-up Anbindung ist mit der gleichen Bandbreite auszustatten. Die Festverbindung zum Internet Service Provider (ISP) wird vom Auftraggeber selbst beauftragt. Die vom ISP bereitgestellte IP-Adresse ist der Eurex Frankfurt AG mitzuteilen.
Connections on leased lines must be construed redundant, either by at least one additional bandwidth on a separate leased line or by an Internet Access. Back-up connections must have the same bandwidth as the original connection. A dedicated connection between the customer and his Internet Service Provider (ISP) must be ordered by the applicant. The applicant must name Eurex Frankfurt AG the IP-Address which is provided by the ISP.

CCP Zugangsformular: Bestellung / Kündigung

Access Order Form: Order / Cancellation

Expand into the Future

For CCP 3.1 launch only!

Standort der Endeinrichtung / *Location of End Facility*

Name des Unternehmens / *Name of Company*

Straße / *Street*

Land, Postleitzahl und Ort / *Country, Postal Code and Town*

Gebäudeteil, Etage und Raum-Nr. / *Part of Building, Floor and Room No.*

☐ Router und Endeinrichtung befinden sich im selben Raum
Router and end facility are located in the same room

☐ Router und Endeinrichtung befinden sich in unterschiedlichen Räumen
Router and end facility are located in different rooms

☐ Tischgerät
Desktop Device

☐ Einschubtechnik
Rack-mountable

Ihre Anbindung wird mit einer G.703 Schnittstelle und einem RJ45 Stecker geliefert, wenn eine neue E1 oder T1 benötigt wird.
Your connection will be delivered with a G.703 interface and a RJ45 plug if a new E1 or T1 is required.

Lokation der Hauseinführung bzw. des Übergabepunktes (Bezeichnung durch den lokalen Netzwerk-Provider)
Location of Building Entry or of the Separate Cable Entry (Description by the Local Network Provider)

Beschreibung der Hauseinführung / *Description of Building Entry*

Technischer Ansprechpartner vor Ort / *Technical Contact Person at location*

Vorname und Name / *First Name and Name*	Vertreter: Vorname und Name / *Deputy: First Name and Name*
Telefon / *Phone* Fax	Telefon / *Phone* Fax
E-Mail	E-Mail

Zur näheren Beschreibung der Hardwarekonfiguration, Internet Router etc. verwenden Sie bitte den System Configuration Questionnaire.
To describe briefly the hardware configuration, Internet Router, etc. please use the System Configuration Questionnaire

Falls nicht identisch mit der 1. Leitung / *If not identical with the 1st line:*
Standort der Endeinrichtung / *Location of end facility*

Name des Unternehmens / *Name of Company*

Straße / *Street*

Land, Postleitzahl und Ort / *Country, Postal Code and Town*

Gebäudeteil, Etage und Raum-Nr. / *Part of Building, Floor and Room No.*

☐ Router und Endeinrichtung befinden sich im selben Raum
Router and end facility are located in the same room

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Lokation der Hauseinführung bzw. des Übergabepunktes (Bezeichnung durch den lokalen Netzwerk-Provider)
Location of Building Entry or of the Separate Cable Entry (Description by the Local Network Provider)

Beschreibung der Hauseinführung / *Description of Building Entry*

Falls nicht identisch: Technischer Ansprechpartner vor Ort / *If not identical: Technical contact person at location*

Vorname und Name / *First Name and Name*	Vertreter: Vorname und Name / *Deputy: First name und Name*
Telefon / *Phone* Fax	Telefon / *Phone* Fax
E-Mail	E-Mail

Zur näheren Beschreibung der Hardwarekonfiguration, Internet Router etc. verwenden Sie bitte den System Configuration Questionnaire.
To describe briefly the hardware configuration, internet router, etc. please use the System Configuration Questionnaire.

CCP Zugangsformular: Bestellung / Kündigung

Access Order Form: Order / Cancellation

Expand into the Future

For CCP 3.1 launch only!

Mit Unterzeichnung dieses Zugangsformulars verpflichtet sich der Auftraggeber, sämtliche Kosten für die Installation und Bereitstellung der Anbindungen zu tragen. Dies gilt auch für den Fall, dass

- die Bestellung vom Antragsteller zurückgezogen wird;
- die Anbindungen trotz Bereitstellung nicht vom Auftraggeber in Betrieb genommen werden.

Der Grundpreis beträgt monatlich EUR 500 unabhängig von der Anzahl und Art der Anbindung(en). Das Entgelt für die Anbindung beträgt monatlich EUR 1.500 für 128kbit/s Bandbreite auf einer Standleitung, EUR 1.000 für 64kbit/s Bandbreite auf einer Standleitung. Das Entgelt für die Nutzung einer Internet Anbindung beträgt monatlich EUR 750 für 128kbit/s Bandbreite bzw. EUR 500 für 64kbit/s Bandbreite. Preise zzgl. gesetzliche Mehrwertsteuer.
Die Kosten werden einen Monat nach Bereitstellung der Anbindung fällig. Für CCP-Multi-Member-Frontend-System Betreiber, die weder Clearing Member, Non-Clearing Member noch Settlement Institut sind, werden die Anbindungskosten fällig ab Anbindungsbereitstellung.
Bei Kündigung der Zulassung bzw. Anbindung werden die Kosten bis einschließlich des Kündigungsmonats erhoben. Alle vorstehenden Preise richten sich nach dem jeweils gültigen Preisverzeichnis.

By signing this access order form the applicant is committed to bear all costs for the installation and provision of the connection.
This also applies where:

- *the order is withdrawn by the applicant*
- *connections provided are not put into operation by the applicant*

The basic fee is EUR 500 per month, regardless of the number or type of connection(s). Fees for connection(s) are EUR 1,500 per month for 128 kbit/s bandwidth on a leased line and EUR 1,000 per month for 64 kbit/s bandwidth on a leased line. The cost of using an internet connection is EUR 750 per month for 128 kbit/s bandwidth or EUR 500 for 64 kbit/s bandwidth. All prices are exclusive of VAT.
Fees are due one month after the connection has been supplied. CCP Multi-Member Frontend Service Provider who are neither Clearing Members, Non-Clearing Members nor Settlement Institutions are subject to line charges immediately after their connection has been provided.
When a connection or admission is cancelled, fees are charged until and including the month when the connection or admission was cancelled. All prices stated above are in accordance with the price list valid at that time.

Ort und Datum / *Place and date*

Firmenstempel, Unterschrift des Auftraggebers (Name in Druckbuchstaben)
Company stamp and signature of Applicant (Name in capitals)

Order Form for Setup / Change / Cancellation of a CCP Report Node

For CCP 3.1 launch only!



Eurex Clearing AG

Customer Support

D-60485 Frankfurt am Main

Fax: +49 (0)69-211-1 17 01

Applicant

Company name

Contact Person

Phone Fax

E-mail

CCP Member ID: ☐☐☐☐☐

☐ **CCP Production** ☐ **CCP Simulation**

Please Note:

- Please complete all fields in the table below specifying the existing and the new Logical Nodes, Delivery KV and SWIFTnet option, please verify data before submission.
- Please use only one designated Report Node per location.
- Please return the completed form to Customer Support at the latest two business days prior to the planned change date.
- A stand alone Installation is a must for CCP Intraday Report transmission to a MISS.
- The Report Node Change will be performed in the evening prior to the requested effective date.
- For setup or cancellation of a Delivery KV, please provide the CCP z/OS file transfer (SNA) application forms.
- For the change or addition of a Delivery KV, please check the impact on the CCP z/OS file transfer (SNA).

	Existing Logical No.	Host Name	New Logical No.	Host Name	Intraday	Delete
Report Node 1	☐☐☐☐		☐☐☐☐		☐	☐
Report Node 2	☐☐☐☐		☐☐☐☐		☐	☐
Report Node 3	☐☐☐☐		☐☐☐☐		☐	☐
Report Node 4	☐☐☐☐		☐☐☐☐		☐	☐
Report Node 5	☐☐☐☐		☐☐☐☐		☐	☐
Delivery KV	☐☐☐☐		☐☐☐☐		☒	
SWIFTnet	☐ YES (Please submit "Order Form for CCP Settlement Report" - File Transfer via SWIFTnet)				☐ NO	

I hereby confirm that the above information is correct and has been verified.

Place and Date Company stamp and signature of applicant (Name in capitals)

Order Form for Setup/Change/Cancellation of
CCP Settlement Reports
File Transfer via z/OS (SNA) or SWIFTnet

For CCP 3.1 launch only!



Eurex Clearing AG

Customer Support

D – 60485 Frankfurt am Main

Fax: +49 (0) 69-211-1 17 01

CCP Participant (Clearing Member /Gross Delivery Manager)

Company name

CCP contact person

Phone Fax

E-mail

Application for:

- ☐ **Equity CCP (Frankfurt Stock Exchange)**
  File transfer via z/OS (SNA) only –> go to 1
  or
- ☐ **Fixed Income CCP (Eurex Bonds/Eurex Repo)**
  File transfer via z/OS (SNA) or SWIFTnet –> go to 1 or 2
  or
- ☐ **Eurex Derivatives CCP (Eurex Exchanges)**
  File transfer via z/OS (SNA) and/or SWIFTnet –> go to 1 and/or 2

CCP Member ID: ☐☐☐☐☐

For the initial setup for CCP 3.1 launch

☐ We are CCP Participant already and for the initial setup of CCP 3.1 we will use our existing CCP File Transfer setup without any change.

☐ We are CCP Participant already and apply for changes in our current CCP File Transfer to receive CCP settlement reports as follows.

☐ We are no CCP Participant so far and apply for the initial setup as follows.

1. Receipt of CCP Settlement Reports via z/OS File Transfer (SNA)*

Delivery KV No.: ☐☐☐☐

☐ **Setup** ☐ **Change** ☐ **Cancellation**

Please choose the appropriate protocol and provide the relevant technical information:

☐ **Internet download (for simulation only)**

☐ **z/OS File transfer (SNA)** **Destination address:** _____

File tansfer application: ☐ Connect: Direct ☐ NJE ☐ RJE ☐ FTMSP ☐ Netview FTP ☐ ONGUM ☐ CFT

Technical contact person

Phone Fax E-mail

* The following reports are available via z/OS File Transfer (SNA):
"Pending Delivery" (RAWCE260, RAWCE261, RAWCE262), "Settled Delivery" (RAWCE270, RAWCE271, RAWCE272), and "Eurex Deliveries" (RAWCE290, RAWCE291, RAWCE292).

Order Form for Setup/Change/Cancellation of
CCP Settlement Reports
File Transfer via z/OS (SNA) or SWIFTnet

For CCP 3.1 launch only!

2. Receipt of CCP Settlement Reports via SWIFTnet File Transfer**

Please note: CCP Settlement Reports via SWIFTnet do not include physical deliveries in Euro denominated Eurex equity instruments in collective safe custody

☐ **Setup** ☐ **Change** ☐ **Cancellation**

SWIFT address / BIC Code: /
For administrative reasons, it is compulsory that participants perform a bilateral key exchange to use SWIFTnet. Please nominate an appropriate contact person and indicate the date on which you wish to perform this key exchange.
Contact person for SWIFT/Bilateral Key Exchange
Phone Fax E-mail

** The following Reports are available via z/OS File Transfer (SNA) or SWIFTnet:
"Pending Delivery" (RAWCE260, RAWCE261, RAWCE262), "Pending Delivery before NTP" (RAWCE265, RAWCE266, RAWCE267), "Settled Delivery" (RAWCE270, RAWCE271, RAWCE272), and "Pending Delivery Instructions" (RAWCE280).

Place and date Company stamp and signature of applicant (Name in capitals)

Order Form for Setup/Change/Cancellation of a CCP Settlement Instruction Data Carrier/ File Transfer via z/OS (SNA) or SWIFTnet

For CCP 3.1 launch only!

Eurex Clearing AG

Customer Support

D – 60485 Frankfurt am Main

Fax: +49 (0) 69-211-1 17 01

CCP Participant

Company name

CCP contact person

Phone Fax

E-mail

Application for:

- ☐ **FWB Equity CCP (Frankfurt Stock Exchange)**
  File transfer via z/OS (SNA) only --> go to 1
  or
- ☐ **Fixed Income CCP (Eurex Bonds/Eurex Repo)**
  File transfer via z/OS (SNA) or SWIFTnet --> go to 1 or 2
  or
- ☐ **Eurex Derivates CCP (Eurex Exchanges)**
  File transfer via z/OS (SNA) or SWIFTnet --> go to 1 or 2

CCP Member ID: ☐☐☐☐☐

For the initial setup for CCP 3.1 launch

☐ We are CCP Participant already and for the initial setup of CCP 3.1 we will use our existing CCP Data Carrier File Transfer setup without any change.

☐ We are CCP Participant already and apply for changes in our current CCP Data Carrier File Transfer Transfer setup as follows.

☐ We are no CCP Participant so far and apply for the initial setup as follows.

1. Transmission of CCP Settlement Instruction Data Carrier via z/OS File transfer (SNA)

Delivery KV No.: ☐☐☐☐

☐ **Setup** ☐ **Change** ☐ **Cancellation**

of CCP Settlement Instruction Data Carrier (Lieferinstruktionsdatenträger), including CCP rejection messages, via z/OS File transfer (SNA).

Please provide the relevant technical information for the file transfer:

z/OS File transfer (SNA) **Destination address :** _____

File transfer application: ☐ Connect: Direct ☐ NJE ☐ RJE ☐ FTMSP ☐ Netview FTP ☐ ONGUM ☐ CFT

Technical contact person (operator at destination)

Phone Fax E-mail

Order Form for Setup/Change/Cancellation of a
CCP Settlement Instruction Data Carrier/
File Transfer via z/OS (SNA) or SWIFTnet

For CCP 3.1 launch only!

2. Transmission of CCP Settlement Instruction Data Carrier via SWIFTnet for transactions out of Eurex Bonds, Eurex Repo and the Eurex Exchanges*

* Please note: The transmission via SWIFTnet is **not** possible for physical deliveries in EUR denominated Eurex equity instruments in collective safe custody.

☐ **Setup** ☐ **Change** ☐ **Cancellation**

of CCP Settlement Instruction Data Carrier (Lieferinstruktionsdatenträger), including CCP rejection messages, via SWIFTnet.

Please provide the relevant technical information for the file transfer:

SWIFT address / BIC Code: /
For administrative reasons, it is compulsory that participants perform a bilateral key exchange to use SWIFTnet. Please nominate an appropriate contact person and indicate the date on which you wish to perform this key exchange.
Contact person for SWIFT/Bilateral Key Exchange
Phone Fax E-mail

Place and date

Company stamp and signature of applicant (Name in capitals)

Application for the Set-Up of a User in the CCP - System (@X-PERT)

For CCP 3.1 Launch only

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211 11701

CCP Participant

CCP Member ID:

Setup

☐ **as soon as possible**

☐ **effective from:**

☐ Clearing Member (only REA user)

☐ Settlement Institution / Settlement Agent (MOD or REA user)

☐ Fixed Income ☐ Equities[3])

Contact Person

Surname, First Name

Phone-No. Fax-No.

Function	User-ID	Surname, First Name	Phone	Signature
☐ LS[1]	**M O D**			
☐ L	**R E A**	Authorized to select reports: ☐ YES ☐ NO		

The user has access to the following accounts[2]:

CBF[3]	CBL	Euroclear Bank	SIS	CCP internal remarks
				Set-Up (inactiv):
				Check & Activation:

Function	User-ID	Surname, First Name	Phone	Signature
☐ LS[1]	**M O D**			
☐ L	**R E A**	Authorized to select reports: ☐ YES ☐ NO		

The user has access to the following accounts[2]:

CBF[3]	CBL	Euroclear Bank	SIS	CCP internal remarks
				Set-Up (inactiv):
				Check & Activation:

Function: LS = Access read and modify (MOD) / L = Access read only (REA)

1. **Function „Modify" can only be used for accounts where member acts as Settlement Institution / Settlement Agent (no access as MOD user to „clearing only" accounts possible). In case access to clearing-only-accounts is requested, an additional User Id for „Read only" has to be applied for as well.**
2. If more settlement accounts should be used, please enclose an additional free form list with this form.
3. Only CBF accounts may be used for GDM of Equity Trades. User rights can either be assigned for GDM of FI CCP trades or Equity CCP trades or both in combination. Please choose the rights according to your requirements.

Place and Date Company Stamp and authorized signature

Request for Deletion / Modification / Password reset
of User ID´s in the CCP - System

For CCP 3.1. Launch only

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211 11701

CCP Participant

CCP Member ID:

Deletion/ Modification UserID

- ☐ **as soon as possible**
- ☐ **effective from:**

☐ immediate reset of password

☐ Clearing Member (only REA user)
☐ Settlement Institution / Settlement Agent (MOD or REA user)
☐ Fixed Income ☐ Equities[3])

Contact Person

Surname. First Name

Phone-No. Fax-No.

☐ User Deletion

User-ID	Surname, First Name	**CCP internal remarks:**
M O D		Inactivation & Deletion:
R E A		Check & Approval:

☐ Modification of User Name

User-ID	Surname, First Name (new)	Surname, First Name (old)	**CCP internal remarks:**
M O D			Input:
R E A			Check & Approval:

☐ Modification of Settlement Accounts

User-ID	Surname, First Name
M O D	
R E A	

☐ Add of Settlement Accounts

CBF	CBL	Euroclear Bank	SIS	**CCP internal remarks:**
				Set-Up (inactiv):
				Check & Aktivation:

☐ Deletion of Settlement Accounts

CBF	CBL	Euroclear Bank	SIS	**CCP internal remarks:**
				Set-Up (inactiv):
				Check & Aktivation:

☐ Reset of password (Defaultpassword : MemberID & Subgroup)

User-ID	Surname, First Name	**CCP internal remarks:**
M O D		Reset:
R E A		Check & Approval:

Place and Date	Company Stamp and authorised signature

Stand 12/2004

Request for Settlement Account Settings for
EUREX Derivatives Deliveries (Previous CSDSelection)

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211-1 17 01
(for CCP 3.1 launch)

Change Settlement Account Settings

with effect from:
(at the earliest next business day)

Name of CCP Participant

Please select only one of below tick boxes:

☐ We select for our own legal entity as Clearing Member or

Clearing Member ID EUREX:

Clearing Member ID CCP:

☐ We select for following affiliated Non-Clearing Member

Non-Clearing Member ID EUREX:

Non-Clearing Member ID CCP:

Clearing Member Contact

Name

Tel. No. Fax No.

1. Initial Account Set Up for CCP 3.1 (Default Settlement Account per Settlement Currency)

Settlement Currency (EUR, CHF)	Settlement account	Settlement account location (CBF,SIS,CBL (6-series account))

2. Settlement Accounts for certain underlyings in CCP 3.1 deviating from EUREX

☐ **Yes** (fill out point 3.) ☐ **No** (Ignore "Specific Account Setup")

3. Specific Account Set Up for CCP 3.1

Account Type (A, P)	ISIN	Settlement Account	Settlement Account Location (CBF, SIS, CBL(6-series acc.))	Settlement Currency (EUR, CHF)

Pending further instructions each new Eurex product will automatically be set up with "Default Account" settings.

Date	Company stamp and authorized signature

Stand 01/2006

Fax Reply

CCP Release 3.1 Coordinator

Please return this form to the number given below by **February 3, 2006**

CCP Release 3.1 Customer Support Team **Fax +49 - (0)69 - 211-1 17 01**

Sender:

Member name and ID _____ _____

Name _____

Function _____

Telephone _____

E-Mail _____

We nominate the following employee(s) as coordinator for the introduction of CCP Release 3.1.

The Coordinator will serve as Single Point of Contact regarding all aspects of the CCP implementation for the Customer Support Team:

Coordinator

Name _____

Function _____

Telephone _____

Fax _____

E-Mail _____

Backup

Name _____

Function _____

Telephone _____

Fax _____

E-Mail _____

Thank you for your support!

_____

Signature