

Chapter I of the Clearing Conditions of Eurex Clearing AG

General Provisions

As of 02.11.2020

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED,

DELETIONS ARE CROSSED OUT.

[...]

Part 1 General Clearing Provisions

1 General Rules

[...]

1.15 **Limitations regarding the Clearing of OTC Derivative Transactions for Ontario Clients**

In relation to OTC Interest Rate Derivative Transactions, the Clearing Member represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieversprechen*) to Eurex Clearing AG that it will not clear OTC Interest Rate Derivative Transactions for (i) any Undisclosed Direct Client that is domiciled in Ontario (Canada), (ii) any Indirect Client of a Disclosed Direct Client that is domiciled in Ontario (Canada), and (iii) for any Indirect Client that is domiciled in Ontario (Canada) of any Direct Client.

1.156 **FIC Board Advisory Committee**

1.156.1 Eurex Clearing AG will establish a FIC board advisory committee (the “**FIC Board Advisory Committee**”) for the purpose of consulting with and making recommendations to the executive boards of Eurex Clearing AG, Eurex Frankfurt AG and Eurex Repo GmbH (together the “**Eurex Group**”) with respect to the FIC Matters (as defined in Number 1.156.2), provided that this would not constitute a breach of law, a breach of an order of a court of a competent jurisdiction or of an applicable governmental, quasi-governmental or regulatory body.

1.156.2 “**FIC Matters**” with respect to the FIC Board Advisory Committee shall be exclusively the following:

[...]

1.156.3 The FIC Board Advisory Committee consists of up to 10 (ten) committee members (“**FIC Committee Members**”). The FIC Committee Members shall be appointed in accordance with the procedure set out in in the statutes for the FIC Board Advisory Committee.

[...]

[...]
