

Chapter I of the Clearing Conditions of Eurex Clearing AG

## General Provisions

As of 02.11.2020

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED,

DELETIONS ARE CROSSED OUT.

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[...]

## Part 1 General Clearing Provisions

### 1 General Rules

[...]

#### 1.15 Limitations regarding the Clearing of OTC Derivative Transactions for Ontario Clients

In relation to OTC Interest Rate Derivative Transactions, the Clearing Member represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieversprechen*) to Eurex Clearing AG that it will not clear OTC Interest Rate Derivative Transactions for (i) any Undisclosed Direct Client that is domiciled in Ontario (Canada), (ii) any Indirect Client of a Disclosed Direct Client that is domiciled in Ontario (Canada), and (iii) for any Indirect Client that is domiciled in Ontario (Canada) of any Direct Client.

#### **1.156 FIC Board Advisory Committee**

1.156.1 Eurex Clearing AG will establish a FIC board advisory committee (the “**FIC Board Advisory Committee**”) for the purpose of consulting with and making recommendations to the executive boards of Eurex Clearing AG, Eurex Frankfurt AG and Eurex Repo GmbH (together the “**Eurex Group**”) with respect to the FIC Matters (as defined in Number 1.156.2), provided that this would not constitute a breach of law, a breach of an order of a court of a competent jurisdiction or of an applicable governmental, quasi-governmental or regulatory body.

1.156.2 “**FIC Matters**” with respect to the FIC Board Advisory Committee shall be exclusively the following:

[...]

1.1~~56~~.3 The FIC Board Advisory Committee consists of up to 10 (ten) committee members (“**FIC Committee Members**”). The FIC Committee Members shall be appointed in accordance with the procedure set out in in the statutes for the FIC Board Advisory Committee.

[...]

[...]

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