X-pand into the Future

e u r e x *circular 004/00*

Date: Frankfurt, 12th January 2000
Recipients: All Eurex members and vendors
Authorised by: Otto E. Nägeli

⚠ **Action Required (Optional)**

Clearer Test – Availability of Example Questions

Related Eurex Circulars: 61/99, 78/99

Contacts: **Training Department**: Tel. +49 (0)69-2101-3767 **Clearing Help-desk:** Tel. +49 (0)69-2101-1250

Content may be most important for:

- ➲ Middle + Back Office
- ➲ Auditing / Security Administration

Attachments:

1. Full text of circular
2. Fax-reply - order form

Summary:

Eurex has compiled a set of example questions to assist with preparation for the Clearer Test.
They are expected to be available by the end of February 2000, and will cost EUR 50.
They can be ordered by returning the attached Fax-reply to the Training Department.

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Eurex Member Readiness
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Management Board:
Dr. Jörg Franke, Otto E. Nägeli
Andreas Preuß, Jürg Spillmann

Clearer Test – Availability of Example Questions

Clearer Test Preparation

In Eurex Circulars 61/99 and 78/99 we announced the introduction of a qualification test for back office staff. Taking account of the experience of the first three months of the test we would like to inform you of a forthcoming improvement to the Clearer Test preparation.

Preparation Documents

Currently, the following documents are sent automatically when registering for the Clearer Test:

- Eurex Member Manuals – Rules and Regulations (English or German) and Member Manuals (English Only) on CD-ROM
- The 'Risk-Based Margining' Brochure (German or English)

The Member Manuals can also be found on the Eurex web-site "www.eurexchange.com" under "info for members/ manuals".

In future, the following (in German and English) will also be sent automatically on registration:

- Sections 2 and 9 of the Securities Trading Act (Wertpapierhandelsgesetz - WpHG).

- § 17 Ordinance on the Reporting Requirements Relating to Trades in Securities and Derivatives (Wertpapierhandel-Meldeverordnung - WpHMV).

In addition to those, Eurex has now compiled an extensive set of example questions which should provide an improved focus for preparations for the qualification test. This sample question list will most likely be available by the end of February 2000 and a fee of EUR 50.00 will be charged for each set. The example questions will be available in English, German and French. Members wishing to obtain them should complete and return the attached Fax-reply order form. They will then be dispatched by our Training Department when available.

At the same time, Members applying for the example questions will receive copies of the extracts from the securities legislation referred to above.

From July 2000, the option to purchase sets of the example questions will be included on the Clearer Test Registration form in the next issue of the Training and Education brochure.

If you have any questions relating to organisation of training please contact the Training Department on Tel. +49 (0)69-2101-3767. For assistance with functional questions, our Clearing Help-desk is available on Tel. +49 (0)69-2101-1250.

Frankfurt 12th January 2000

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eurex training

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Fax Order Form

F +49 (0)69-21 01-37 63

Company Details

Institution/Company

Address

Postal/ZIP Code | Country

Contact Person

Name | Telephone

Fax | e-Mail

Participant

Member ID

Please provide me:

English copies

German copies

French copies

☐ total copies of example questions for the Eurex Clearer Test (EUR 50 each) Total euro

Payment

☐ Invoice Credit Card: ☐ American Express ☐ MasterCard ☐ Visa

Credit Card Number /Valid until

Place | Printed name

Date | Signature