## **EUREX**



**To:**Eurex Clearing AG
Client Service, Trading & Clearing
60485 Frankfurt am Main
Germany

by email: client.services@deutscheboerse.com (or to the relevant Onboarding Manager/Key Account Manager)

From:1	
Legal Name:	
Member ID:	
Contact:	
Name:	
E-Mail:	
Phone:	
Date:	

Application for the activation of trading and clearing of Eurex Daily Futures Contracts On KOSPI Derivatives and/or USD/KRW Future Contracts; Notice of the commissioning of (further) KRX member(s)<sup>2</sup>

Dear Sir/Madam,

We intend to (or already do) participate in the trading and/or clearing of Eurex Daily Futures Contracts on KOSPI Derivatives<sup>3</sup> and/or on USD/KRW Futures Contracts and notify you in accordance with Chapter II Part 2 Number 2.15.3 (3) and 2.28.3 (3) of the Clearing Conditions of the commissioning of the KRX member(s) as set out in the annex with the opening of positions in the respective underlying KOSPI 200 derivatives and/or US Dollar Futures of KRX.

Further, we declare that we have taken note of the applicable rules of KRX that apply as a prerequisite for participation in trading and clearing of KRX products at KRX. In particular, we have taken note of the requirement to identify the end Part Subpart beneficiaries as set out in 1 1.14 of the Eurex Contract Specifications and in Section 118-2 paragraph 2 of Enforcement Rules of Derivatives Market Business Regulation of KRX.

Eurex Clearing AG may share the information provided in this document with Eurex Exchange, Eurex Frankfurt AG, KRX and any affiliates of these entities.

<sup>1</sup> The form may be handed in by (i) a Clearing Member on its on behalf or on behalf of its DC Market Participant(s) and/or Indirect Client Market Participant(s) or (ii) by a DC Market Participant or Indirect Client Market Participant on its own behalf if duly authorized by the Clearing Member. In case of (ii), a copy of the form should be provided to the Clearing Member by the sender.

<sup>&</sup>lt;sup>2</sup> Formerly referred to as 'Application for Participation for the Trading of Eurex KOSPI Daily Futures contracts'.

<sup>&</sup>lt;sup>3</sup> Unless context requires otherwise, capitalised terms used in this declaration but not defined herein have the meaning given to them in the Clearing Conditions of Eurex Clearing AG ("Clearing Conditions").





(signature)	(signature)			
(printed name)	(printed name)			
(title)	(title)			





## Annex to the Application for the activation of Eurex Daily Futures Contracts on KOSPI Derivatives and/or on USD/KRW Futures Contracts;

## Notice of the commissioning of KRX member(s)

Applies to Simu-	Relevant Eurex trading participant <sup>4</sup>		KRX member(s) commissioned by the Eurex trading participant <sup>5</sup>			
lation (S) and/or Produc- tion (P)	Legal name	Eurex / Eurex Clearing Member ID [5 digits]	Legal name	KRX member ID [3 digits]	As default KRX member? (optional) <sup>6</sup>	Default beneficiary owner 7 [9 digits] (optional, but must be provided if a default KRX member is chosen)
e.g. S/P	ABC firm LLC	ABCFR	ABD firm LLC	123	×	1A3B5C789

<sup>&</sup>lt;sup>4</sup> The relevant Eurex trading participant can be a Clearing Member, DC Market Participant or Indirect Client Market Participant of Eurex Clearing AG.

<sup>&</sup>lt;sup>5</sup> If more than one KRX member are to be commissioned per Eurex trading participant, please use one line per commissioned KRX member. If an already commissioned KRX member is to be decommissioned, please strike through the respective information. For the legal background, see also Part 1 Subpart 1 1.14.2 (4) and (5) of the Eurex Contract Specifications.

<sup>&</sup>lt;sup>6</sup> If a default KRX member and a default beneficiary owner are provided, Eurex orders flagged as 'lean orders' that do not contain such information will not be rejected and instead will be populated using this information. Only one default KRX member and one default beneficiary owner can be chosen per Eurex trading participant.

<sup>&</sup>lt;sup>7</sup> The beneficiary owner should be the same legal person with the relevant Eurex trading participant.