

## Application for Set-up / Deletion / Change of Collateral Accounts and Clearer Cash Accounts

Eurex Clearing AG  
 Client Services, Trading & Clearing  
 60485 Frankfurt am Main  
 Germany

**Member-ID**

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**BIC of Clearing Member**

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- Set-up effective \_\_\_\_\_ (DDMMYY)
- Deletion effective \_\_\_\_\_ (DDMMYY)  
(after close of business)
- Change effective \_\_\_\_\_ (DDMMYY)  
(after close of business)

**Applicant**

Name of the applying clearing member

Address 1	Street No
Address 2	
Zip Code	City
Country	

**Contact Person**

First name / Last name

Telephone
E-Mail

**1. The Clearing Currency for Elementary Proprietary Margin and Elementary Omnibus Margin (in case the Value Based Allocation is the Applicable Allocation Method) shall be:**

Euro (EUR)                      or                      Great Britain Pound (GBP) or                       Swiss franc (CHF)

**2. Provision of collateral for Elementary Proprietary Margin and Elementary Omnibus Margin (in case the Value Based Allocation is the Applicable Allocation Method)**

Cash only: We will provide collateral in cash only (in that case no securities account is needed)

or

Securities (and cash): We will provide collateral in securities (and cash)

**3. Securities Accounts**

**3.1 As a Clearing Member we herewith request the following Set-up / Deletion / Change of the Securities Accounts:**

a) CBF / Cascade  
and / or

Main Account	Securities Account (Margin)	Securities Account (Clearing Fonds)	Securities Account (Company Capital)
0 0 0	5 0 0	5 0 1	5 8 0

b) CBF / Creation linked 6-Series Account  
and / or

Main Account	Securities Account (Margin)	Securities Account (Clearing Fonds)	Securities Account (Company Capital)
6	6	6	6

c) CBL / Creation  
and / or

Main Account	Securities Account (Margin)

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d) SIX SIS and / or	Main account	Securities Account (Margin)	Securities Account (Clearing Fonds)	Securities Account (Company Capital)

- e) Xemac  As a Clearing Member we provide securities for  
and / or
- Margin Collateral
- Clearing Fonds
- Company Capital (set-up as needed)
- by Xemac Collateral Management Tool. The Xemac connection exists for the own Cascade Account:

- f) CBL / CmaX
- As a Clearing Member we provide securities for
- Margin Collateral
- Clearing Fonds\*
- Company Capital\* (set-up as needed)
- by CBL/CmaX Collateral Management Tool.

- 3.2  Additionally, we wish to use the Reuse of GC Pooling® Collateral for Eurex Clearing Margining (only applicable for GC Pooling Trading Participants):
- with the following account for Xemac
- for CBL international clients on the basis of the Eurex Clearing Pledge Agreement

### 4. Cash Accounts

4.1 As a Clearing Member we herewith request the set-up of the following cash accounts for the purposes specified below:

- "Margin-Cash Account": For daily settlement of margin according to Chapter I, Part 1 Subsection 2.1.2 Paragraph 4 (b) of the Clearing Conditions for Eurex Clearing AG
- "Eurex Core-Cash Account": For regulation of transaction in Eurex cash settled products
- "Intraday Margin-Cash Account": For regulation of intraday margins calls. For the second cash account, members must use the listed correspondence banks that are on the Eurex website.
  - all collateral pools (Prop and clients)
  - only standard pool (only Prop)
  - only for client pools
  - specific pools (please name the pools)

- Euro (EUR)** - Information obligatory for all Clearing Members:

Target 2 Account / (euroSIC)	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

or

Name of Payment Bank	
Account No. of Payment Bank	
IBAN of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

\* accounts in the name of Eurex Clearing AG

Please return the form via email to your Onboarding Manager/Key Account Manager or if not yet known send the form to [client.services@deutsche-boerse.com](mailto:client.services@deutsche-boerse.com).

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**Swiss franc (CHF)<sup>1</sup>**

SNB- / SIC Account	
Name of Account Holder	
BIC Code of Account Holder	

or

Name of Payment Bank	
Account No. of Payment Bank	
IBAN of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

<sup>1</sup> This CHF cash account is obligatory for Clearing Members who have CHF as clearing currency or who participate in the clearing of transactions concluded at Eurex Deutschland. For OTC Clear Interest Rate Derivative Transactions this is optional.

**British pound (GBP)<sup>2</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	
Sort Code of Payment Bank	

<sup>2</sup> For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and OTC Clear Interest Rate Derivatives which settle in GBP or have GBP as clearing currency.

**U.S. dollar (USD)<sup>3</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

<sup>3</sup> For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and OTC Clear Interest Rate Derivatives which settle in USD.

**Korean won (KRW)<sup>4</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

<sup>4</sup> For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland which settle in KRW. We acknowledge that Eurex Clearing AG will provide our KRW payment bank with the following information regarding transaction fees in KRW due to Eurex Clearing AG: Name of the Eurex Clearing Member, exchange, currency, product ID and total monthly fees.

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**YEN (JPY)<sup>5</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

<sup>5</sup> For Clearing Members holding a Clearing License for OTC Clear Interest Rate Derivatives which settle in JPY and clearing of transactions concluded at Eurex Deutschland

**New Zealand dollar (NZD)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Australian dollar (AUD)<sup>6</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

<sup>6</sup> For Clearing Member holding a Clearing License for clearing FX Futures and/ or FX Options and/ or Rolling Spot Futures transactions tradable under T7/FX concluded at Eurex Deutschland (23h Trading).

**Norwegian krone (NOK)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Swedish krona (SEK)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Danish krone (DKK)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

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**Polish Zloty(PLN)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

### 4.2 Buy-In Cash Account

For the settlement of cash differences originating from the Buy-In procedure, one account per currency cleared has to be provided.

**Euro (EUR)** - information obligatory for all Clearing Members:

RTGS Account / euroSIC Account	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Or optional for Eurex Repo Clearing Members only:**

6-Series Account, CBL Account or Euroclear Account	
Name of Account Holder	

**Swiss franc (CHF)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland, and FWB - Multi-Currency.

SNB / SIC Account	
Name of Account Holder	
BIC of Account Holder	

**Or optional for FWB - Multi-Currency Clearing Members**

6-Series Account	
Name of Account Holder	

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- British pound (GBP)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex GBP-products<sup>7</sup>, FWB - Multi-Currency

Name of Payment Bank	
Account No. at the Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	
Sort Code of Payment Bank	

**Or:**

6-Series Account	
Name of Account Holder	

**Or optional for Eurex Repo Clearing Members only:**

CBL Account or Euroclear Account	
Name of Account Holder	

<sup>7</sup> Otherwise, the form "Restriction in Admission to Derivatives Trading" will have to be submitted.

- U.S. dollar (USD)** - information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex USD-products<sup>8</sup>, FWB - Multi-Currency.

Name of Payment Bank	
Account No. at the Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Or:**

6-Series Account	
Name of Account Holder	

<sup>8</sup> Otherwise, the form "Restriction in Admission to Derivatives Trading" will have to be submitted.

- Danish krone (DKK)**

6-Series Account / CBL / Euroclear Account	
Name of Account Holder	

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### 4.3 Cash Fee Account

Used for the direct debit of Eurex fees according to the Price List of Eurex Clearing AG.

Per default, CCP transaction fees in the trading currencies Swedish krona (SEK), Japanese yen (JPY), Canadian dollar (CAD), Danish krone (DKK), Australian dollar (AUD) and Renminbi (CNY) are converted into Euro (EUR). Transaction fees resulting from transactions in Swiss franc (CHF) cannot be converted<sup>9</sup>.

For direct debiting of Eurex transaction fees, for technical and cash default payments and credits in KRW, the account mentioned under 4.1 will be used.

Optionally, also transaction fees in the currencies British pound (GBP) and U.S. dollar (USD) can be converted into EUR.

- We would like our CCP transaction fees in the currencies GBP and USD to be converted into EUR.

Please note that these settings will take effect on all markets. Should you wish to limit your decision for conversion, please contact your Key Account Manager.

- Euro (EUR)**

RTGS Account / euroSIC Account	
Name of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

or

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

- Swiss franc (CHF)**

- a) For a SNB- /SIC Account please submit the form "Eurex Clearing AG - Debit Authorization Transaction Fees, CCP Transactions and Service Fees, and Technical and Cash Default Payments in CHF"
- b) For any other cash account which allows the LSV<sup>+</sup> process

Name of Payment Bank	
Account No. of Payment Bank	
IBAN of Account Holder	
BIC of Account Holder	
SWIFT address (if other than BIC)	

\*If you use a Payment-bank account for fees, also the "Confirmation regarding activation of commercial bank Clearer Cash Accounts for all Clearing Models and/or CCP Cash Accounts" form is necessary.

- British pound (GBP)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	
Sort Code of Payment Bank	

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**U.S. dollar (USD)<sup>9</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT Address (if other than BIC)	

<sup>9</sup> Should you wish to convert the CCP transaction fees into Swiss franc (CHF), U.S. dollar (USD) or British pound (GBP), please contact your Key Account Manager.

**Yen (JPY)<sup>10</sup>**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

<sup>10</sup> For Clearing Members holding a Clearing License for OTC Clear Interest Rate Derivatives which settle in JPY.

**Taiwan dollar (TWD)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Danish krone (DKK)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Swedish krone (SEK)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Norwegian krone (NOK)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	

**Polish Zloty (PLN)**

Name of Payment Bank	
Account No. of Payment Bank	
BIC of Account Holder	
SWIFT address (if other than BIC)	



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### 5. CLS Settlement Setup

For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland, which settle FX products.

Please ensure to provide the necessary data on Cash Accounts under point 4.1 for all selected currencies (including base and quote currencies) unless you have done so already with a previously provided application form. **For 23 h Trading and Clearing the Australian dollar cash account is mandatory.**

Clearing Members need to ensure that they are in the position to settle all selected FX products related currencies within CLS

Name of Settlement Location	CLS
Legal Name of Settlement Member	
BIC used for Settlement in CLS (11 digits)	
BIC of Third Party in CLS (11 digits)*	
SWIFT address for receipt of MT300 messages (12 digits)**	

\* Field does not apply if a Clearing Member is a Settlement Member in CLS:

Field is to be populated with the BIC of the Clearing Member in case the Clearing Member is a CLS third party.  
Field is to be populated with the BIC of the CLS third party in case the Clearing Member is a CLS fourth party.

\*\*MT300 = CLS settlement instruction confirmation. Please note that the ninth character of the 12 digit SWIFT address is the logical terminal.

#### Contact person at the Clearing Member for CLS account issues:

- \* First name \_\_\_\_\_
- \* Last name \_\_\_\_\_
- \* Phone no. \_\_\_\_\_ Fax no. \_\_\_\_\_
- \* E-mail \_\_\_\_\_

\_\_\_\_\_  
Date Place First Name Last Name Authorized signature of Clearing Member

\_\_\_\_\_  
Date Place First Name Last Name Authorized signature of Clearing Member