

Chapter I of the Clearing Conditions of Eurex Clearing AG

General Provisions

As of 02.11.2021

THE CLEARING CONDITIONS WILL BE AMENDED.

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED,

DELETIONS ARE CROSSED OUT.

[...]

Part 1 General Clearing Provisions

1 General Rules

[...]

1.15 Limitations regarding the Clearing of OTC Derivative Transactions for Ontario Canadian Clients

In relation to OTC Interest Rate Derivative Transactions, the Clearing Member represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it will not clear OTC Interest Rate Derivative Transactions for (i) any Undisclosed Direct Client that is domiciled in Ontario (Canada) or Québec (Canada), (ii) any Indirect Client of a Disclosed Direct Client that is domiciled in Ontario (Canada) or Québec (Canada), and (iii) for any Indirect Client that is domiciled in Ontario (Canada) or Québec (Canada) of any Direct Client.

[...]
