
CHAPTER I PART 1 IS BEING ADJUSTED.

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED.

DELETIONS ARE CROSSED OUT.

Chapter I General Provisions

Part 1 General Clearing Provisions

[...]

15 Transmission of information by Eurex Clearing AG; Outsourcing of Clearing Functions

[...]

15.2 Fulfilment and ~~partial~~ outsourcing of Clearing-related functions

[...]

15.2.3 Any Outsourcing shall fulfil the following requirements:

[...]

- (3) the orderly conduct of the Outsourced Functions is ensured; in this respect, the Outsourcer is required to:

[...]

- (b) establish and maintain throughout the term of the Outsourcing appropriate procedures documented in writing for supervising the performance of the Outsourced Functions by the Insourcer; in this respect, the Outsourcer is required to (i) ensure access at any time to the Outsourced Functions (except in the case of an Outsourcing by a Non-Clearing Member to its Clearing Member), (ii) monitor the Insourcer's capability to perform the Outsourced Functions on an ongoing basis, (iii) establish guidelines for each Outsourced Function that the Insourcer must follow in performing such Outsourced Function, and (iv) conduct audits at the Insourcer on a regular basis either by (a) checking, or by authorising an independent auditor to check, the documents and processes related to the Outsourced Functions in the business premises of the Insourcer, or by (b) obliging the Insourcer to certify and document the orderly performance of the Outsourced Functions in accordance with the guidelines for each Outsourced Function and the principles for Outsourcing set out in this Number 15.2.3;

[...]