Xetra Circular 040/07

DEUTSCHE BÖRSE

Action required!

To all Trading Participants admitted at Frankfurter Wertpapierbörse and Vendors

Recipients: Traders, Security Administrators, Project Coordinators, System Administrators, Technical Contacts, General

Deutsche Börse AG

Neue Börsenstraße 1
60487 Frankfurt/Main

Mailing address
60485 Frankfurt/Main

Phone
+49-(0) 69-2 11-1 93 33

Fax
+49-(0) 69-2 11-1 16 41

Internet
deutsche-boerse.com

February 27, 2008

Xetra Release 9.0
Checklist for Preparation of Production

Dear Sir or Madam,

With this circular, we are providing a list of required activities for preparation of the Xetra Release 9.0 production. **Only completion of all action items relevant for your company will ensure your participation in Xetra Frankfurt 2 from the first trading day (April 28, 2008)**.

The items on this list have also been published as a checklist in the Xetra/Floor Members Only area on the website of Deutsche Börse Group www.deutsche-boerse.com. To download your personal copy, please visit:

Trading & Clearing > Members Only > Xetra/Floor > Xetra Information > Xetra Documentation > Xetra 9.0 > Functional

The Xetra Release 9.0 Simulation Helpdesk will be available to support you during simulation on telephone +49-(0) 69-2 11-1 93 33. For inquiries with technical background please call Customer Technical Support Xetra on telephone +49-(0) 69-2 11-1 84 00.

Yours faithfully,

Holger Patt

Axel Birk

Enclosure

Chairman of the Supervisory Board
Kurt F. Viermetz

Executive Board
Reto Francioni
(Chief Executive Officer)
Thomas Eichelmann
Frank Gerstenschläger
Michael Kuhn
Andreas Preuß
Jeffrey Tessler

Aktiengesellschaft
mit Sitz in
Frankfurt/Main
HRB Nr. 32232
Amtsgericht
Frankfurt/Main

Enclosure

Period / to be completed until	Target Group - Activity	Description
Xetra User Set-up		
until March 10, 2008	All Xetra Members – additional users	The Members have to set up all additionally required users for Xetra Frankfurt 2 in the Xetra 8.1 production environment and assign the corresponding accounts.[1]
until March 10, 2008	Members in the role of Specialists – set-up of Specialist subgroup ID	In Xetra 8.1 production environment, Specialists have to set up one senior trader with user ID "001" for each Specialist subgroup (normally "VR1").
until March 10, 2008	Members in the role of Issuers/Quote Providers or Specialists – set-up of Quote Provider subgroup ID	In Xetra 8.1 production environment, Specialists who intend to act as Quote Providers as well as all Issuers/Quote Providers have to set up one senior trader with user ID "001" for each Quote Provider Subgroup ID (normally "QPR"). Otherwise, entering quotes will not be possible.[1]
until March 10, 2008	Members in the role of Issuers/Quote Providers or Specialists – allocation of attributes	User IDs used by Members in the roles of Quote Providers or Specialists have to be allocated the corresponding attributes (Issuer, Quote Provider = attribute "Issuer"; Specialist = "Issuer", Liquidity Provider", "Agent" and "Proprietary") in user administration.
April 1 – April 14, 2008	All Xetra Frankfurt 2 participants – user set-up	Starting April 1, 2008, activation of users exclusively used for Xetra Frankfurt 2 (mainly VR_; QP_; OR_) has to be applied for by means of the "User ID's Xetra Activation/Deactivation" form. All users set up in Xetra Frankfurt will be copied to Xetra Frankfurt 2 with their settings as of March 31, 2008.. Starting April 1, 2008, deactivation of users in Xetra Frankfurt 2 which are no longer required may be applied for by means of the "Xetra User ID's Activation/Deactivation" form.
XQS Connection		
until March 5, 2008	Members in the role of Issuers with XQS connection - configuration	Members (Issuers) with an XQS connection are asked to supply specific information regarding their future processes (number of Quote Machines, fail-over handling) in relation to configuration of their connection (IP addresses and ports) via fax reply. The fax reply needs to be returned by March 5, 2008.

[1] After March 31, 2008, the users can be deleted in the Xetra Frankfurt 1 production environment. Starting April 1, 2008, activation of newly created users may be applied for Xetra Frankfurt 2 by means of the "User ID's Xetra Activation/Deactivation" form. Also, deactivation of users active in Xetra Frankfurt 1 which are no longer required, may be applied for in this way.

<table>
<tr><th>Period / to be completed until</th><th>Target Group - Activity</th><th>Description</th></tr>
<tr><td>As of April 21, 2008</td><td>Members in the role of Issuers with XQS connection – connection test</td><td>Performing a connection test, followed by a test on application server level of the newly configured XQS connection.</td></tr>
<tr><td colspan="3">SAKI Connection</td></tr>
<tr><td>ASAP, by March 10, 2008</td><td>Xetra Members using order routing via SAKI (system connection banks) – specification of an order routing ID</td><td>For migration of your active SAKI connection to Xetra Frankfurt 2, we require one order routing ID, consisting of subgroup and user ID (with user code 001), per KV number (system connection). This order routing ID is reserved exclusively for routing to Xetra Frankfurt 2 via the SAKI/Xentric Order interface (production and simulation).
If you have not yet provided your data, kindly submit them via fax reply[2] (Order-Routing-ID for Members with connection “System connection banks“ (SAKI)) to the Member Services & Admission team.</td></tr>
<tr><td>ASAP, by March 10, 2008</td><td>Xetra Members using order routing via SAKI – provision of Xetra password for production</td><td>All Xetra Members who use SAKI as order routing channel for Structured Products must provide Deutsche Börse AG with the Xetra user password (production). For this purpose, you have received from Deutsche Börse AG your password for the member area “Xentric Inside” on www.deutsche-boerse.com/xentric.
On this internet page, submenu Password upload > Xentric Order Frankfurt 2, please enter the corresponding Xetra password for the defined order routing ID(s).</td></tr>
<tr><td>until March 10, 2008</td><td>Xetra Members using order routing via SAKI – set-up of order routing ID</td><td>Please set up in the Xetra trading system the corresponding subgroup(s) and user ID(s) you intend to use for production. All user IDs must be set up by March 10, 2008 in today’s Xetra Frankfurt environment (see above). Without this set-up, order routing will not be possible as of the first day of production. Also, users’ access rights (RALs) for user IDs used for Xentric Order have to be set to “1”:
<table>
<tr><th>ResCode</th><th>ResName</th></tr>
<tr><td>6</td><td>Inquire Order</td></tr>
<tr><td>7</td><td>Enter Order</td></tr>
<tr><td>8</td><td>Modify Order</td></tr>
<tr><td>9</td><td>Delete Order</td></tr>
<tr><td>14</td><td>Login</td></tr>
<tr><td>32</td><td>Inquire Inside Market</td></tr>
<tr><td>54</td><td>Enter Stop Order</td></tr>
<tr><td>55</td><td>Modify Stop Order</td></tr>
<tr><td>56</td><td>Delete Stop Order</td></tr>
<tr><td>58</td><td>Inquire Instrument Group List</td></tr>
<tr><td>59</td><td>Inquire Instrument Group</td></tr>
<tr><td>60</td><td>Inquire Subgroup List</td></tr>
</table></td></tr>
</table>

[2] The fax reply form can be obtained from the Member Services & Admission team.

Period / to be completed until	Target Group - Activity	Description
Miscellaneous Activities for Preparation of Production		
until March 10, 2008	Xetra/XONTRO Members who receive or wish to receive Xetra contract note data carrier	The currently used BrainTrade application form has been enhanced to serve for applying for an additional Xetra-Frankfurt-2-SNO-DTA for production. The updated form is available in the attachment to XONTRO Newsletter 33, published for downloading on the XONTRO website www.xontro.de. If you wish to receive the Xetra-Frankfurt-2-SNO-DTA as separate data carrier, please fill in this form (as "Change Application") and submit it to BrainTrade to the specified fax number by March 10, 2008.
until March 28, 2008	Members in the role of Issuer/Specialist – instrument data	Please check the data stored with WM for Quote Providers (Member ID + Subgroup ID), trading model and Specialist KV number for your instruments. If they are not correct or incomplete, please provide us with the correct data by March 28, 2008. Otherwise, trading in affected instruments will not be possible as of the production start. Changes in the assignment of ISINs and KV numbers will not be possible between March 31 and April 28, 2008.
April 25, 2008/April 28, 2008/	All Xetra Members – order deletion in Xetra and re-entry in Xetra Frankfurt 2	Orders in structured products have to be deleted on Friday, April 25, 2008. after close of trading and re-entered in Xetra Frankfurt 2 on April 28, 2008.
April 26, 2008	All Xetra Members – installation of front-end software	The Xetra Release 9.0 front-end software including installation notes will be distributed on Friday, April 18, 2008, after close of trading to the Members' report nodes. Should receipt fail or be incomplete, another transmission will be initiated on April 21, 2008, after close of trading. Thereafter, the front-end software can be downloaded from the website of Deutsche Börse Group under **www.deutsche-boerse.com > Trading & Clearing > Members Only > Xetra/Floor > Xetra Information > Xetra Software > Xetra 9.0**
ASAP	XONTRO Members without SAKI or Xetra connection – participation in production	XONTRO Members without existing SAKI connection and without existing Xetra connection are reminded that participation in production with this set-up is not possible. Should you wish to be connected to the Xetra system, please contact the Member Services and Admission team.