

**Installation Manual for** 

T7 Trader GUI, T7 Admin GUI and T7 Clearer GUI

Version

10.1.0

Date 09. March 2022

#### Deutsche Börse Group

#### Installation Manual for T7 Trader-, Admin-, & Clearer GUI

Version 10.1.0

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## 1. Introduction

There are currently three different graphical user interfaces (GUIs) with different purposes for the T7 trading system:

- The T7 Trader GUI which is used for On-exchange trading and T7 Entry services
- The T7 Admin GUI which is used for trader maintenance, entitlement, and transaction size limit settings
- The T7 Clearer GUI allows clearing members to monitor the trades of their non-clearing members. Additionally, it implements a function, which allows clearing members to halt further trading of their non-clearing members.

These T7 GUIs are Java applications and require a locally installed Java Runtime SE (JRE) version 8 on the client computer.

Java Runtime environments can be obtained from various sources. Besides the JRE offered by Oracle, Deutsche Börse offers a dedicated JRE to run the T7 GUIs.

For users of the Oracle Java SE, please consider Oracle's Java SE Support Roadmap, available on Oracle's website:

#### https://www.oracle.com/technetwork/java/javase/eol-135779.html

A mechanism will ensure that the T7 GUIs will always update to the latest version automatically. While this mechanism was based on Java Web Start in the past, a proprietary solution based on an open source tool "getdown" by threerings<sup>1</sup> is offered too.

This mechanism is available in parallel to the existing Landing Pages and Java Web Start mechanism until further notice.

All T7 GUIs can be used via leased line connections (using a GUI-Channel) or alternatively through the Internet (native Internet connection).

Internet connections require an additional security layer which requires the trading participant to create SSH key pairs and to upload the public key to the Member Section before using the GUIs over an Internet connection.

### **1.1 Document structure**

Chapter 1 of this document outlines the general concept behind the T7 GUIs as well as the requirements on the client computer.

Chapter 2 outlines the steps to download and install the Java Runtime Environment provided by Deutsche Börse to run the T7 GUIs.

Chapter 3 describes the steps to download and install the T7 GUI Launcher.

<sup>&</sup>lt;sup>1</sup> See. <u>https://github.com/threerings/getdown</u> and <u>https://github.com/threerings/getdown/blob/master/LICENSE</u>

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Chapter 4 summarizes details, procedures, and configurations to run the T7 GUIs after the T7 GUI Launcher has been installed. Details about the connecting network can be found in this chapter too.

Chapter 5 applies only to Internet connections and describes in detail several approaches for SSH key creation and the procedure to upload a created public key to the Member Sections of Eurex (for derivatives markets) and Xetra (for cash markets)

Chapter 6 summarizes several scenarios for testing and troubleshooting, together with links to further documentation.

### 1.2 T7 GUI access via the T7 GUI Launcher

Since the initial launch of T7, the T7 GUIs were started using a mechanism called "Java Web Start" and most users have relied on the Java Runtime Environment SE distribution by Oracle.

Java Web Start was deprecated by Oracle in Java SE 9 and removed in Java SE 11.

Deutsche Börse has implemented an alternate solution so that trading participants are able to run and seamlessly update the T7 GUIs without the requirement for Oracle's Java Web Start.

Deutsche Börse has achieved a license agreement, which allows the deployment of a bundled Java SE 8 Runtime Environment that can be used by the T7 trading community to run the T7 GUIs without additional Java license requirements.

The "T7 GUI Launcher" replaces the Java Web Start and is based on the open source getdown application. The "T7 GUI Launcher" is a small software utility, which must be installed on all computers which will be used to run the T7 GUIs.

Once the "T7 GUI Launcher" has been installed it will ensure that the T7 GUIs are updated automatically and seamlessly when a newer version of the T7 GUIs become available, ensuring that all users of the T7 system are always on the same software level.

The installation packages of the T7 GUI Launcher as well as the JRE version can be downloaded in the Member Sections of Eurex (for derivatives markets) and Xetra (for cash markets). See 3.2 for details on the download.

Please note: The "T7 GUI Launcher" only supports Microsoft Windows and will not be available for Linux or Mac OS.

The installation packages are offered on a marketplace basis (i.e. Eurex, EEX, Xetra, Börse Frankfurt, Xetra Partner Exchanges, Xetra Vienna and Vienna Partner Exchanges). The corresponding installation packages differ in terms of the respective environment (Production, Simulation and Disaster Recovery). Each package contains selection options for the connection alternatives (Leased Line and Internet).

Once installed the T7 GUIs are started by means of desktop shortcuts, which will be created during the installation of the specific T7 GUI launcher.

These desktop shortcuts linked to the various T7 GUIs point to specific batch files, which themselves trigger the T7 GUI Launcher mechanism to download and start the latest T7 GUI binary on the client computer.

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## 1.3 Transition to the T7 GUI Launcher

The T7 GUI Launcher offers an alternative to the previously used mechanism of T7 GUI Landing Pages and Java Web Start for customers who no longer want or can use the Java Web Start included in the Oracle Java 8 Runtime.

The previously used mechanism is still offered in parallel to the T7 GUI launcher for a transition period. Additionally, DBAG is closely monitoring the progress of projects like i.e. <u>https://openwebstart.com</u> which may offer other alternatives in the future.

Further details about the decommissioning timeline will be announced with sufficient lead time.

The transition to the T7 GUI Launcher is driven by the announced obsolescence of Java Web Start.

The decision which Java Runtime Environment distribution to run the T7 GUIs on, is driven by entirely different factors. Oracle's Java SE Support Roadmap affects the way Oracle's Java Runtime environment can be used in commercial environments. For details, please see:

https://www.oracle.com/technetwork/java/javase/eol-135779.html

Please refer to Oracles Java SE Support Roadmap and your contractual relationship with Oracle to choose a suitable Java Runtime Environment distribution.

The choice of Java Runtime Environment distribution is independent of the switch of the GUI launching mechanism.

Please note: Neither the change of the local Java Runtime Environment nor the change from the previously used Java Web Start to the new launch mechanism results in any change in the T7 GUIs themselves. The Admin-, Trader-, and Clearer GUI are the same GUIs as they have always been in the past.

## 1.4 Client PC requirements

To run the T7 GUIs, the T7 GUI Launcher and the underlying Java Runtime Environment, the client computer needs to meet several minimum requirements regarding the operating system version and the hardware specification.

Configurations, which have been used in internal testing within Deutsche Börse AG, are labeled as "Supported". Additionally, there are configurations, which are considered "Possible" but were not tested by Deutsche Börse AG.

#### 1.4.1 Operating system

T7 supports the following operating systems<sup>2</sup> to be run the T7 GUIs:

- MS Windows (32-bit), x86 (MS Windows 10, MS Windows Server 2016)
- MS Windows (64-bit), x64 (MS Windows 10, MS Windows Server 2016)

<sup>&</sup>lt;sup>2</sup> Please note: Since January 2020 Windows 7 is no longer part of DBAG testing procedures and can no longer be considered a supported operating system. T7 GUIs may or may not run on Windows 7.

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#### 1.4.2 Hardware

Recommended requirements for desktop computers running one T7 GUI:

• A computer capable of running the above listed operating systems and Java Runtime Environment with at least 4 GB memory and a dual-core processer that runs at least with 2 GHz.

Please note: Display server solutions (i.e. Citrix) have not been tested but are considered possible.

#### 1.4.3 Installation destination

It is recommended to install the T7 GUIS onto local physical discs if possible.

If installation on local discs is not possible, it must be ensured that the remote drive is stable during the entire runtime of the T7 GUI.

Should the connection to the network drive be interrupted during the runtime of a T7 GUI, there is a risk that the GUI will fail/crash; typically, with a "Class not found" error message.

Depending on the installation method the installation path is either the configured Java cache (WebStart) or the selected installation directory (T7 GUI Launcher).

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## 2. Java Runtime Environment (JRE)

All T7 GUIs require a Java Runtime Environment Version 8 to be present on the computer to run the GUIs. Java Runtime Environments can be obtained from various sources with various support options.

Deutsche Börse achieved a contract with Azul Systems (see <a href="http://azul.com">http://azul.com</a>) which allows Deutsche Börse to offer Azul's Open Source Java Runtime "Zulu", to be used exclusively with the T7 GUIs and the T7 GUI Launcher.

Multiple different JREs can be installed on a client computer. Selection of a specific JRE is usually done by setting environment variables, either globally, on the operating system level, or specifically prior to running a specific Java application.

The following version of the Java Virtual Machine is supported and needs to be present on the client computer:

 Deutsche Börse's exclusively packaged Java SE 8 Runtime Environment (only for T7 GUI applications)

Oracle Java Runtime Environment version 8 is considered possible and has been used to run the T7 GUI in the past but is no longer part of the internal software tests anymore.

## 2.1 Downloading Deutsche Börse's packaged JRE

The latest version of Deutsche Börse's packaged JRE Version 8 can be downloaded from the member section under the following paths:

Xetra / Cash markets:

https://membersection.deutsche-boerse.com > Resources > Cash Market >

Documentation & Files > Xetra Software > Java Runtime

Eurex / Derivatives markets:

<u>https://membersection.deutsche-boerse.com</u> > Resources > Eurex >

Documentation & File > Eurex Software > Java Runtime

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® <sub>a</sub> Technical Connection ☐ Resources	Resou	rces T7 Tools View and download documentation	Cash Market View and download documentation	Eurex View and download documentation
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	Eurex Trading Information - Emergency Procedure	Files (2)           Image: Constraint of the state of the st

## 2.2 Installing Deutsche Börse's packaged JRE

The JRE offered by Deutsche Börse is available in form of a single ZIP archive allowing manual extraction to a directory of choice.

Just download the ZIP archive and unpack it into a desired destination directory.

#### 2.2.1 Post installation steps, selecting a specific JRE

Any locally installed JRE can be specified by environment variables. Three environment variables have relevance in specifying the desired JRE. Two of them are commonly used, independent of the application in question, and one, which is used only by the T7 GUIs.

- PATH contains a list of directories used by operating system to look for ' executables of any kind
- JAVA\_HOME commonly used variable to specify the location of a locally installed JRE or JDK. Applications like the T7 GUI Launcher batch files (i.e. EurexTraderLauncher.bat) use this a reference to the JRE/JDK

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• T7\_JAVA\_HOME T7 GUI specific variable used only by the T7 GUI Launcher batch files (i.e. EurexTraderLauncher.bat) to reference the JRE to run the T7 GUIs with

To switch between JRE installations or to assign different JRE installations to different Java applications, these variables can be used.

- 1. The T7 GUI will first check if T7\_JAVA\_HOME points to a JRE. If it does, this JRE will be used to run the T7 GUIs.
- 2. If it does not, the T7 GUI will look for a JRE specified in JAVA\_HOME.
- 3. If no JRE can be found there, or JAVA\_HOME is not set, the first JRE (java.exe) which can be found in the directories of PATH will be used.

With this it is possible to run the T7 GUIs with the Deutsche Börse provided JRE, by setting T7\_JAVA\_HOME to that JRE, and to run other Java applications with another locally installed JRE, which is referenced by JAVA\_HOME.

The T7 GUI Launcher installs a batch file setenv.bat (see 3.3.3) which is the intended place to set T7\_JAVA\_HOME.

## 2.3 Future updates of Deutsche Börse's packaged JRE

The Java Runtime Environment will not update automatically when new updates of the JRE become available. Instead, Deutsche Börse will announce regular updates of the JRE via Implementation news on the public web pages of Eurex (derivatives markets) and Xetra (cash markets) under the following links:

<u>www.eurex.com</u> > Support > Information Channels > Implementation news

Direct link: https://www.eurex.com/ex-en/support/information-channels/implementation-news

www.xetra.com > Technology > Implementation news

Direct link: https://www.xetra.com/xetra-en/technology/implementation-news



Implementation news provide RSS feeds to allow users to easily keep up with updates either in an RSS reader, or in the web browser of their choice.

In case of a <u>mandatory update</u> of the JRE, which needs to be installed on all clients to ensure availability of the T7 GUIs, circulars will be published too.

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## 3. T7 GUI Launcher



After downloading the market place specific installation package from the Member Section, the T7 GUI Launcher "launcher.jar" needs to be executed on each local machine where the T7 GUI is to be run. Each selection will install the respective desktop shortcuts (T7 Admin GUI, T7 Trader GUI and T7 Clearer GUI).

The T7 GUI launcher can be installed manually or in an unattended mode. During a manual installation, a configuration XML file can be created which can then be used for further unattended installations on other computers.

## 3.1 T7 GUI Launcher application as replacement for Java Web Start

The T7 GUI launcher is based on an open source tool "getdown" and consists of a single jar file (the respective T7 GUI Launcher installation package). The installation package contains the code for downloading and validating files along with images and configuration provided by the deploying application to brand the display shown to the user while downloading and installing files. A JRE must also be deployed on the machine that uses getdown (see chapter 2).

Using getdown the T7 GUI Launcher ensures that the latest version of a T7 GUI is always being used without the need for repeated update installations or upgrade procedures.

For more information about the getdown application, please refer to:

https://github.com/threerings/getdown/wiki

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### 3.2 Download and installation steps of the T7 GUI Launcher

The latest version of the T7 GUI Launcher packages can be downloaded from the member section under the following paths:

Xetra / Cash markets:

https://membersection.deutsche-boerse.com > Resources > Cash Market >

Documentation & Files > Xetra Software > Java Runtime

#### Eurex / Derivatives markets:

https://membersection.deutsche-boerse.com > Resources > Eurex >

#### Documentation & File > Eurex Software > Java Runtime

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	Eurex Trading Information - Emergency Procedure V Eurex Software	Files (6)
	Eurex T7 GUI Launcher	EEX_DR.jar - EEX_DR.jar
	Java Runtime	File Size: 8.526501 MB
		EEX_Prod.jar - EEX_Prod.jar File Size: 8.528484 MB
		EEX_Simu.jar - EEX_Simu.jar File Size: 8.527025 MB
		Eurex_DR.jar - Eurex_DR.jar File Size: 8.478019 MB
		Eurex_Prod.jar - Eurex_Prod.jar File Size: 8.480077 MB
		Eurex_Simu.jar - Eurex_Simu.jar File Size: 8.478561 MB

#### 3.2.1 List of available Installation Packages

The following installation packages for cash and derivatives market places are currently available:

Market Place	Environment	File Name
Eurex	Production	Eurex_Prod.jar
Eurex	Simulation	Eurex_Simu.jar
Eurex	Disaster Recovery	Eurex_DR.jar
EEX Energy	Production	EEX_Prod.jar
EEX Energy	Simulation	EEX_Simu.jar
EEX Energy	Disaster Recovery	EEX_DR.jar
Xetra	Production	Xetra_Prod.jar
Xetra	Simulation	Xetra_Simu.jar
Xetra	Disaster Recovery	Xetra_DR.jar
Xetra Partner Exchanges	Production	Xetra_Partner_Ex_Prod.jar
Xetra Partner Exchanges	Simulation	Xetra_Partner_Ex_Simu.jar

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Xetra Partner Exchanges	Disaster Recovery	Xetra_Partner_Ex_DR.jar
Xetra Vienna + Partner Exchanges	Production	Xetra_Vienna_Prod.jar
Xetra Vienna + Partner Exchanges	Simulation	Xetra_Vienna_Simu.jar
Xetra Vienna + Partner Exchanges	Disaster Recovery	Xetra_Vienna_DR.jar
Börse Frankfurt	Production	Boerse_Frankfurt_Prod.jar
Börse Frankfurt	Simulation	Boerse_Frankfurt_Simu.jar
Börse Frankfurt	Disaster Recovery	Boerse_Frankfurt_DR.jar

The packages for "Xetra Partner Exchanges" contain the GUIs for

- Bulgarian Stock Exchange
- Malta Stock Exchange

The packages for "Xetra Vienna" contain the GUIs for

- Vienna Stock Exchange
- Budapest Stock Exchange
- Ljubljana Stock Exchange
- Prague Stock Exchange
- Zagreb Stock Exchange

#### 3.2.2 Package selection

Each T7 GUI Launcher package contains all T7 GUIs (Trader-, Admin-, and Controller GUI) for a given environment and Market Place.

Each T7 GUI Launcher package contains options to install the GUIs for Leased Line configuration or for Internet Access – or a combination of both.

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	Eurex T7 GUI Launcher	EEX_DR.jar - EEX_DR.jar
	Java Runtime	File Size: 8.526501 MB
		EEX_Prod.jar - EEX_Prod.jar File Size: 8.528484 MB
		EEX_Simu.jar - EEX_Simu.jar File Size: 8.527025 MB
		Eurex_DR.jar - Eurex_DR.jar File Size: 8.478019 MB
		Eurex_Prod.jar - Eurex_Prod.jar File Size: 8 480077 MB
		Eurex_Simu.jar - Eurex_Simu.jar File Size: 8.478561 MB

For example: download the T7 GUI Launcher package "Eurex Simulation" (Eurex\_Simu.jar) to install Eurex Trader-, Eurex Admin- and Eurex Controller GUIs for T7 Simulation for your connection type.

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#### 3.2.3 Installation procedure

Execute downloaded T7 GUI Launcher .jar file on each local machine where the T7 GUI should run by either

- double clicking on the .jar file
- using a java command java -jar Eurex\_Simu.jar

After starting the installer, the license agreement needs to be accepted to continue.

VIzPack - Installation of Eurex Simu	_		$\times$
Licensing Agreements			
Please read the following license agreement carefully:			
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This software is furnished under license and may be used and copied only in accordance with the to and with the inclusion of the above copyright notice. This software or any other copies thereof may otherwise made available to any other person. No title to, or ownership of, the software is hereby tr information in this software is subject to change without notice and should not be construed as a c Deutsche Boerse AG.	/ not be   ansferred	provided d. The	
By Installing the software you accept the License Agreement stated above.			
I accept the terms of this license agreement.			
I do not accept the terms of this license agreement.			
(Made with IzPack - http://izpack.org/)	<u>N</u> ext	0 🔇	uit

On the next screen, select the desired target path for the installation

• The target path points to the directory where the software will be installed

IzPack - Installation of Eurex Simu	-		$\times$
Target Path			
Select the installation path: C:\Program Files (x86)\T7-GUI-Launcher		Brow	vse
(Made with IzPack - http://izpack.org/)	Previous		uit

On the next screen, select the installation package for the desired connectivity option. The main choice is between connecting the T7 GUIs over the Internet (communication will be encrypted by certificates), or via leased line(s).

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See chapter 4.4 for network details.			
HzPack - Installation of Eurex Simu	_		×
Select Installation Packages			
Select the packs you want to install: Q Note: Grayed packs are required.			
Eurex-Simu-LeasedLine		4.4	47 MB
M Eurex-Simu-Internet		4.4	47 MB
Description			
T7 GUi Launcher for Eurex-Simu-LeasedLine			
Total space required:		8.	.93 MB
Available space:		819	.43 GB
(Made with IzPack - http://izpack.org/)	Previous Next	🛛 🙆	uit

Clicking on "Next" will run the actual installation and copy the files to the designated target directory.

On the next screen, the location and the name of the Program Group for the T7 GUI shortcuts in the Windows Start-Menu can be selected as well as the option to display the Shortcuts to all users using the computer, or only to the one running the installation.

W IzPack - Installation of Eurex Simu		- 🗆 ×
Setup Shortcuts		
🗹 Create shortcuts in the Start-Menu		
Create additional shortcuts on the desktop		
Select a Program Group for the Shortcuts:		
(Default)	^	create shortcut for:
Accessibility		current user
Accessories		<ul> <li>all users</li> </ul>
Administrative Tools	~	
T7 GUIs		Default
(Made with IzPack - http://izpack.org/)		Previous Next Quit

Finalize installation routine by clicking on "Next"

I I Release IU.I	Τ7	Release	10.1
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#### 3.2.4 Create xml file for unattended installation

In the final installation window (see picture below), the installer offers an option to generate an automatic installation script to be able to install on several further computers in an unattended mode.

The unattended installation will take all choices made during the initial manual installation from this XML file and apply them to the new installation.

HIZPack - Installation of Eurex Simu		_		$\times$
Installation Finished				
	Installation has completed successfully.			
<b>@</b> /	An uninstaller program has been created in:			
	C:\Software\T7-GUI-Launcher\Uninstaller			
[2	Generate an automatic installation script			
(Made with IzPack - http://izpack.org/)			📀 Do	one

Click on "Generate an automatic installation script".

Select file name and location of the XML file to be created.

Save In: 🔒	T7 GUI Launcher	
🍶 Simu		
🃙 Uninstalle	r ninformation	
ile Name:	auto-install.xml	

#### 3.2.5 Performing unattended installations

During installation in unattended mode, the installation program reads the configuration settings from an XML file created in a previous manual installation (see previous step). The installation program does not display any configuration options during the installation process Installation Manual for T7 Trader-, Admin-, & Clearer GUI

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Follow these steps to start the unattended installation:

- Create the XML file (see 3.2.4) mentioned above.
- Place the XML file next to the T7 GUI Installer .jar
- Launch the installation by entering the following command:
  - o java -jar .\Eurex\_Simu.jar .\auto-install.xml
- An Installer window is displayed, indicating that the files are being extracted
- Once the installation completes, the setup will be identical to the one described in the referenced XML file, including shortcut location and binary/application directory

## 3.3 Local files – T7 GUI Launcher

Local files can be divided into two general and quite distinct categories:

- Files belonging to the T7 GUI Launcher. These files control the mechanism for updating and starting the various T7 GUIs (i.e. log files written from the update mechanism, configuration files pointing to the Deutsche Börse software update servers, batch files setting environment variables to choose a specific Java Runtime)
- Files belonging to the T7 GUIs themselves. These files relate to aspects of the T7 GUI running (i.e. log files written by the GUI, configuration files containing GUI connection details)

This chapter describes the files required by the T7 GUI Launcher. Please see chapter 4.3 for a description of local files required by or written by the T7 GUIs themselves.

#### 3.3.1 T7 GUI Launcher, Directory overview

The installation path for most T7 GUI Launcher packages defaults to C:\Program Files\T7-GUI-Launcher but can be changed during the installation. The following descriptions assumes an installation into this default installation path.

Default installation path
Launcher for Disaster Recovery GUIs
Launcher for Production GUIs
Launcher for Simulation GUIs
Files for uninstalling the launcher

Within the directory for a given environment (Prod, Simu, DR) the individual market and connection option packages will be installed. Example for Simu:

C:\Program Files\T7-GUI-Launcher\Simu	Simulation environment packages
.\Eex-Simu-Internet	Package for EEX, Internet access
.\Eurex-Simu-Internet	Package for Eurex, Internet access
.\Xetra-Simu-LeasedLine	Package for Xetra, Leased Line access

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.\BoerseFrankfurt-Simu-LeasedLine BoerseFrankfurt-Simu-LeasedLine

These directories are created, as they are needed depending on the packages being installed. The directory ".\Prod" will only be created when at least one package for the production environment is installed. The directory ".\Eex-Simu-Internet" will only be created, when the EEX package for Simulation is installed and the connection option "Internet" has been selected for this package.

Within the directory for each specific package, several files will be created:

C:\Program Files\T7-GUI-Launcher\Simu\Eurex-Simu-Internet	Specific package
.\ <market><gui>Launcher.bat</gui></market>	Batch script to start a specific GUI
.\setenv.bat	Batch script to set a specific JRE
.\proxy.txt	Proxy details if not detected automatically
.\launcher.log	Logfile of the launcher
.\getdown.txt	
.\digest.txt	Internal config files
.\digest2.txt	
.\gui-launcher.jar	Actual GUI launcher application
.\images	directory with image resources (expect 300- 400 KB per GUI and market)

As the purpose of the GUI Launcher is to keep all these files up to date, the user running the GUI Launcher needs full read and write access to all these files.

Each of these files are described in detail in the following chapters.

Once the T7 GUI launcher has been run the first time and actual T7 GUI binaries are downloaded, these binaries will be downloaded to a directory ".\lib" in the environment specific directory:

C:\Program Files\T7-GUI-Launcher\Simu\lib	T7 GUI binaries
.\et7guis.jar	Multiple Java
	packages (.jar files)

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expect around 60 MB per GUI type and market.

.\.... .jar

#### Please note:

As the OS user, running the GUI Launcher will be used to download updated components of the GUI and the Launcher itself, this OS user needs full read and write access to the directory "T7-GUI-Launcher" in the example above.

All files described above will be read and updated (= written) over time by the T7 GUI Launcher.

#### 3.3.2 EurexTraderLauncher.bat (Launcher.bat for each market and GUI type)

Several batch files will be used to launch the actual T7 GUIs. The desktop shortcuts created by the installer link to these batch files. For example, for Eurex the following batch files will be created:

- EurexTraderLauncher.bat
- EurexAdminLauncher.bat
- EurexControlLauncher.bat

These batch files create some prerequisites localizing the default Java Runtime Environment installed on the client computer. These files should not be edited.

Furthermore, these batch files include a customizable batch file "setenv.bat" (see next chapter), which allows to specify a dedicated JRE.

#### 3.3.3 setenv.bat

This batch file allows a Java Runtime Environment dedicated for the T7 GUIs which differs from the default JRE to be specified:

```
SET T7_JAVA_HOME=<path to JRE>
```

Edit setenv.bat to add the path to the desired JRE installation.

**Important:** when assigning a path containing spaces <u>do not</u> encapsulate the path with quotation marks!

In such a case the assignment has to be done by

SET T7 JAVA HOME=C:\Program Files\Java T7

and not by

SET T7 JAVA HOME="C:\Program Files\Java T7"

#### 3.3.4 proxy.txt

The T7 GUI Launcher automatically tries to detect a local proxy server. If the automatic detection fails, the T7 GUI Launcher will prompt for the details (IP address and port) of the local proxy server.

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Once entered, the T7 GUI Launcher will store these details in the file proxy.txt.

#### 3.3.5 launcher.log

Once the T7 GUI Launcher has been started by starting the specific launcher batch file. i.e. EurexTraderLauncher.bat, the launcher will write a log file documenting its progress. The file launcher.log can be used for debugging issues and may be requested by Customer Technical Support.

#### 3.3.6 getdown.txt, digest.txt, digest2.txt

The files getdown.txt, digest.txt and digest2.txt contain details for the T7 GUI Launcher regarding the download of newer versions of the T7 GUIs. digest2.txt contains SHA256 hashes and supersedes digest.txt which contains MD5 hashes. digest.txt with MD5 hashes will be deprecated at some point in the future.

The servers with the GUI binaries are specified here as well as the details and checksums of the individual GUI modules.

These files should not be changed. Changing these files in any way will cause the T7 GUI Launcher mechanism to fail.

#### 3.3.7 Automatic file validity verification

The getdown mechanism used to download and automatically update the T7 GUIs, compares many of the locally installed files with files on Deutsche Börse servers. If a newer file is found on the Deutsche Börse servers, this newer file will be downloaded to the client computer.

If the local file matches the file on Deutsche Börse's servers, it is verified for integrity. A successful comparison and verification is indicated by an empty file (zero bytes) with the same filename as the original file plus the letter "v" added at the end of the filename.

For example:

C:\Program Files\T7-GUI-Launcher\Simu\Eurex-Simu-Internet	Specific package
.\EurexTraderLauncher.bat	Batch script to start a specific GUI
.\EurexTraderLauncher.batv	validation indication
Another example:	

C:\Program Files\T7-GUI-Launcher\Simu\lib	GUI Java files
.\et7guis.jar	actual GUI java file
.\et7guis.jarv	validation indication

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These validation indication files can safely be ignored for daily operations of the T7 GUI Launcher.

#### 3.3.8 Direct call of the gui-launcher.jar

In case the standard configuration of the T7 GUI Launcher causes a conflict with the specific infrastructure in a given client environment, it is possible to bypass the provided mechanisms of Launcher.bat and setenv.bat files and the T7\_JAVA\_HOME variable entirely.

The procedures described in this chapter are only to be considered if the standard installation and usage of the provided Launcher.bat files is not possible. Solid understanding of systems of the local operating system are required.

In such a scenario it is possible to craft a call to the gui-lancher.jar directly and adapt it to most local requirements.

javaw -jar gui-launcher.jar <app\_dir> <app\_id>

where:

<app\_dir> -> specifies the working directory of the launcher. The launcher expects the following files present, readable and writable (!) in the directory specified:

.\digest.txt
.\digest2.txt
.\getdown.txt
.\images\\*.\*

(contains various graphics to display during the GUI launch. Expect 300 – 400 KB per GUI and market.)

<app\_id> -> specifies the type of GUI to be launched. Either one of these:

- admin
- clearer
- trader

The launcher will write the following files and needs write access to

.\launcher.log ..\lib\\*.jar

(downloaded various GUI binary files. Expect about 60 MB per GUI type and market.)

Additionally, the T7 GUI Launcher needs read and write access to all files in its own directory (i.e. digest.txt, digest2.txt, getdown.txt and all Launcher.bat files, as well as gui-launcher.jar itself) to be able to perform updates.

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When calling gui-launcher.jar directly, the desired Java Runtime needs to be specified (either by use of environment variables PATH, or by calling a given path directly).

<u>Please note</u> when calling gui-launcher.jar directly, as described here, neither the environment variable JAVA HOME, nor T7 JAVA HOME play any role any longer.

By using this direct call (instead of the provided Launcher.bat files) it is possible to explicitly specify

- Java runtime of choice
- Install location of the T7 GUI Launcher (gui-launcher.jar)
- Working directory of the T7 GUI Launcher, which defines
  - o download location for the actual T7 GUI binaries (JAR)
  - o location of launcher log and config files

#### Example:

```
"C:\Program Files\Java_T7\bin\javaw" -jar D:\WORKSPACE\T7-GUI-
Launcher\Simu\Eurex-Simu-Internet\gui-launcher.jar D:\WORKSPACE\T7-GUI-
Launcher\Simu\Eurex-Simu-Internet
```

The line above calls a Java Runtime located in C:\Program Files\Java\_T7 (expecting a .\bin directory there with the Java executables). For example, the provided Azul Zulu JRE could be specified if installed.

This designated Java Runtime is asked to execute the gui-launcher.jar which has been installed to D:\WORKSPACE\T7-GUI-Launcher\Simu\Eurex-Simu-Internet in this example.

The T7 GUI Launcher is then asked to take D:\WORKSPACE\T7-GUI-Launcher\Simu\Eurex-Simu-Internet as his working directory (expecting to find files digest.txt, digest2.txt, getdown.txt and an images folder there).

It will download the GUI JARs to D:\WORKSPACE\T7-GUI-Launcher\Simu\lib (actually the path is D:\WORKSPACE\T7-GUI-Launcher\Simu\Eurex-Simu-Internet\..\lib - but that results in the same.)

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## 4. Running the T7 GUIs

## 4.1 T7 GUI Desktop Shortcuts replacing Landing Pages

As the T7 GUI Launcher replaces the deprecated Java Web Start mechanism, new T7 GUI Desktop shortcuts replace the T7 GUI Landing Pages for the purpose of starting the T7 GUIs.

These shortcuts are installed by the T7 GUI launcher package (see 3.2.3) in the location chosen during the installation. Shortcuts can be placed in various locations, i.e. the Windows Start menu, or on the desktop. The T7 GUI Launcher installs an individual icon for each T7 GUI in each environment and market place. These icons can still be changed after the installation by icons of the user's choice.

#### 4.1.1 Type of GUI

Each market consists of a set of desktop shortcuts (T7 Admin GUI, T7 Clearer GUI and T7 Trader GUI). Over all markets and environments, the main pictograms identify the function of the specific T7 GUI:



- The Trader GUI is depicted by a trading chart
- The Clearer GUI is depicted by two interchanging arrows
- The Admin GUI is depicted by a cogwheel

#### 4.1.2 Market Place / Market

A constantly growing number of stock exchanges is hosted on the T7 trading system. These are organised into "market places" consisting of several markets.

Each "market place" has a dedicated set of T7 GUI Icons, which display a distinct logo for the market place.

Example: while the market place "Eurex" hosts the markets "Eurex" and "EEX", the market place "Wiener Börse" hosts five markets: Vienna Stock Exchange and Vienna's partner exchange, which are the Budapest Stock Exchange, Ljubljana Stock Exchange, Prague Stock Exchange and Zagreb Stock Exchange.



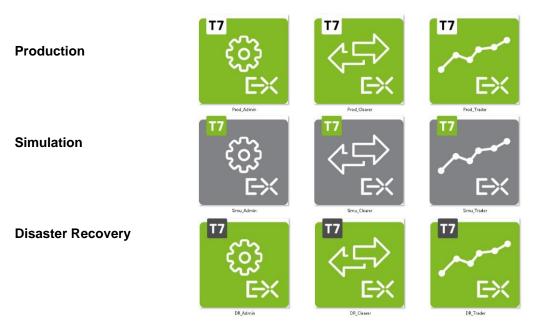
Market Place specific shortcuts (e.g. Xetra Trader)

#### 4.1.3 Type of Environment

Each set of GUI function specific icons exists for three different environments: Production, Simulation and Disaster Recovery.

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#### 4.1.4 Color code and reasoning behind it

The colour concept makes it easier to distinguish between the different environments

Production:	Market specific colour	white T7 logo
<ul> <li>Simulation:</li> </ul>	Grey logo background	T7 logo in the market specific colour
<ul> <li>Disaster Recovery</li> </ul>	: Market specific colour	black T7 logo

The Simulation and Production environments are available at the same time in parallel and are most frequently used by the same users. The lack of colour clearly identifies the Simulation icons and allows for easy association.

The Disaster Recovery and Production environments fulfil the same role as production markets with actual trading. The DR and Production environments will never be active at the same time as DR is only started in case of a fatal disaster on the Production environment. Hence, the DR and Production share the same colour scheme and differ only in the white vs black "T7" logo background.

Users of the T7 GUIs can replace the shortcut icons with icons of their own choice.

## 4.2 Initial setup of the T7 GUI

Clicking on one of the T7 GUI shortcuts (see 4.1) will initiate the T7 GUI Launcher mechanism, using the getdown application, to download the T7 GUI in form of a .jar file from the T7 GUI Launcher server. The T7 GUI Launcher will verify if the current version of the GUI is already present in the local cache of the participant's client computer.

If the latest version has already been downloaded during a previous launch, the cached version will be used, and no further download will be necessary. If a newer version of the GUI is available on the T7 GUI Launcher server, it will be downloaded automatically and stored in the local T7 GUI Launcher cache to be used in the future.

After the download has been completed, the T7 GUI Login Windows appears

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Image: Specific Control       Online Trady       P       Image: Specific Control       Image: Specific Cont	 Market	Trading	Own	Info & Support	
Plass enter your matter account user name.     wessen Planameters	Quote Request Cross Request Time & Sales	Construction and the second se	e Trader-ID that you use	ward Statistics     s Board     Controls	
Info ¥	🔒 Ext. Ticker Une	Master User GDBNATCRBR_GUI Master Password	S Login Login Without Sett Logiut Change Master Pa	version Parameters	
		Info		¥	

For further information about the Network Connection Settings, please refer to chapter 4.4.

#### 4.2.1 Verify local settings

Local settings can easily be verified within the GUI itself. Open a Login view and click on the "Info" bar at the bottom of the window:

Eurex Trader Master Login Please note: You must be the registered owner of use to log on. Functional-Helpdesk Trading: +49	the Trader-ID that you (0)69-211-11210	Xetra Trader Master Login         Please note:         You must be the registered owner of the Trader-ID that you use to log on.         Market Supervision Cash Trading: +49 (0)69-211-11400         Please enter your master account user name.			
Master User	<ul> <li>Login</li> <li>Login Without Settings</li> <li>Logout</li> <li>Change Master Password</li> <li>Close</li> </ul>	Master User GDBXXITCRBR_GUI Master Password Private Client Key Password	<ul> <li>Login</li> <li>Login Without Settings</li> <li>Logout</li> <li>Change Master Password</li> <li>Close</li> </ul>		
Network Connection Settings Info PIN	* * *	Network Connection Settings Info PIN	* * *		

The window will expand and display a list of the settings currently used by the GUI store and read files in the client computer's file system. The information displayed varies depending on the state of your login (after a successful login more information is displayed, e.g. Business Unit and T7 Access Point)

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## 4.3 Local files – T7 GUI

All T7 GUIs store setup information and log files on the local client computer. The log files for the different T7 GUIs have distinguishable filenames like "Eurex Trader.log", "Xetra Trader Vienna.log", "Xetra Admin.log".

The GUI setup information has similarly distinguishable filenames: "eurex-trader.properties", or "xetra-admin.properties".

The default location is in the operating system user's home directory in a sub folder "gmc" (working directory)

C:\users\<user>\gmc

This folder contains sub folders for production, simulation and DR. Each of the before mentioned directories includes another folder "etc" for the GUI configuration (settings directory) and a folder "var\log" for the GUI log files (log directory).

All directory paths stated above can easily be changed using operating system environment variables.

<u>*Important*</u>: Avoid using a network directory here, since any kind of network directory will impact the application performance significantly!

Directory	Environment Variable	Default
Working directory	GMC_WORKING_DIR	~\gmc\ <environment></environment>
Settings directory	GMC_SETTINGS_DIR	~\ <gmc_working_dir>\<environment>\etc</environment></gmc_working_dir>
Log directory	GMC_LOG_DIR	~\ <gmc_working_dir>\<environment>\log</environment></gmc_working_dir>

Example, MS Windows:

SET GMC\_WORKING\_DIR=N:\home\gmc\

ECHO %GMC\_WORKING\_DIR%

Please see chapter 3.3 for the local files created by the T7 GUI Launcher and the location of the actual T7 GUI binaries.

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## 4.4 Network

The connection to the T7 trading system can be made through the Internet, with or without a local proxy server (with or without authentication) or over a leased line (dedicated network connection to the Deutsche Börse Group back end network).

#### 4.4.1 Bandwidth requirements

Per concurrent T7 Trader GUI bandwidth consumption approximately 330 Kbit/s has to be expected. Bandwidth usage is mainly driven by market data updates and depends on the selected instrument profile. As the T7 Admin GUI and the T7 Clearer GUI do not receive any market data the bandwidth requirement of the T7 Admin-, or Clearer GUI is considerably lower, with the T7 Clearer GUI bandwidth depending on the number of trades reported.

Currently offered bandwidth options for GUI channels on leased lines:

1 Mbit	~	estimated for 3 T7 Trader GUIs
3 Mbit	~	estimated for 10 T7 Trader GUIs
5 Mbit	~	estimated for 15 T7 Trader GUIs (Eurex only)
10 Mbit	~	estimated for 30 T7 Trader GUIs
40 Mbit	~	estimated for 120 T7 Trader GUIs

<u>Please note</u>: during startup, the T7 GUI will load a set of reference data. This may consume higher bandwidths, if available, for a brief time.

#### 4.4.2 IP addresses, FQDN URLs and DNS Servers

The old launching mechanism (landing page > download JNLP file > Java Web Start) relies mostly on configuration files, which specified network resources via IP addresses.

In few cases, trading participants may require the T7 GUI to run without static IP addresses, but rather the fully qualified domain names (FQDN) to specify the various network resources. Therefore, Deutsche Börse Group offers a FQDN version of the T7 GUI Landing Pages. On these pages the configuration files specify network resources only by their fully qualified domain names.

The differentiation between FQDN based configuration and IP based configuration affects only users of the old launching mechanism (landing page > download JNLP file > Java Web Start).

The T7 GUI Launcher and T7 GUIs started by the <u>T7 GUI launcher always specify network resources</u> with their fully qualified domain names only. All fully qualified domain names are resolvable via public DNS servers.

Client computers running the T7 GUIs via T7 GUI Launcher need to be able to reach public DNS servers, or must be able to resolve the following FQDNs by other, local, means (i.e. hosts files).

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## T7 GUI Launcher & Landing Pages

FQDN	IP	Markets	Environment	Connectivity
t7gui.production.eurex.com	193.29.90.182	Derivatives markets	Production	Internet
t7gui.simulation.eurex.com	193.29.90.183	Derivatives markets	Simulation	Internet
t7gui.emergency.eurex.com	193.29.90.181	Derivatives markets	Disaster Rec	Internet
t7gui.production.vpn.eurex.com	193.29.93.169	Derivatives markets	Production	Leased Line
t7gui.simulation.vpn.eurex.com	193.29.93.170	Derivatives markets	Simulation	Leased Line
t7gui.emergency.vpn.eurex.com	193.29.93.168	Derivatives markets	Disaster Rec	Leased Line
t7gui.production.xetra.com	193.29.90.179	Cash markets	Production	Internet
t7gui.simulation.xetra.com	193.29.90.180	Cash markets	Simulation	Internet
t7gui.emergency.xetra.com	193.29.90.178	Cash markets	Disaster Rec	Internet
t7gui.production.vpn.xetra.com	193.29.93.163	Cash markets	Production	Leased Line
t7gui.simulation.vpn.xetra.com	193.29.93.167	Cash markets	Simulation	Leased Line
t7gui.emergency.vpn.xetra.com	193.29.93.162	Cash markets	Disaster Rec	Leased Line

## T7 GUI "Crypto Proxies"

FQDN	IP	Markets	Environment	Connectivity
guiproxyp1.eurexchange.com	193.29.90.203	Eurex / EEX	Production	Internet
guiproxyp2.eurexchange.com	193.29.90.204	Eurex / EEX	Production	Internet
guiproxyp1.vpn.eurexchange.com	193.29.91.225	Eurex / EEX	Production	Leased Line A
guiproxyp2.vpn.eurexchange.com	193.29.93.225	Eurex / EEX	Production	Leased Line B
guiproxys1.eurexchange.com	193.29.90.235	Eurex / EEX	Simulation	Internet
guiproxys2.eurexchange.com	193.29.90.236	Eurex / EEX	Simulation	Internet
guiproxys1.vpn.eurexchange.com	193.29.89.225	Eurex / EEX	Simulation	Leased Line A
guiproxys2.vpn.eurexchange.com	193.29.95.225	Eurex / EEX	Simulation	Leased Line B
guiproxyp1.xetra.com	193.29.90.217	Cash markets	Production	Internet
guiproxyp2.xetra.com	193.29.90.218	Cash markets	Production	Internet
guiproxyp1.vpn.xetra.com	185.102.253.161	Cash markets	Production	Leased Line A
guiproxyp2.vpn.xetra.com	185.102.253.177	Cash markets	Production	Leased Line B
guiproxys1.xetra.com	193.29.90.233	Cash markets	Simulation	Internet
guiproxys2.xetra.com	193.29.90.234	Cash markets	Simulation	Internet
guiproxys1.vpn.xetra.com	193.29.94.225	Cash markets	Simulation	Leased Line A
guiproxys2.vpn.xetra.com	193.29.94.233	Cash markets	Simulation	Leased Line B
guiproxyp1.boerse-frankfurt.de	193.29.90.215	Boerse Frankfurt	Production	Internet
guiproxyp2.boerse-frankfurt.de	193.29.90.216	Boerse Frankfurt	Production	Internet
guiproxyp1.vpn.boerse-frankfurt.de	185.102.253.162	Boerse Frankfurt	Production	Leased Line A
guiproxyp2.vpn.boerse-frankfurt.de	185.102.253.178	Boerse Frankfurt	Production	Leased Line B

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guiproxys1.boerse-frankfurt.de	193.29.90.254	Boerse Frankfurt	Simulation	Internet
guiproxys2.boerse-frankfurt.de	193.29.90.253	Boerse Frankfurt	Simulation	Internet
guiproxys1.vpn.boerse-frankfurt.de	193.29.94.227	Boerse Frankfurt	Simulation	Leased Line A
guiproxys2.vpn.boerse-frankfurt.de	193.29.94.235	Boerse Frankfurt	Simulation	Leased Line B

#### 4.4.3 Network details, T7 GUI Launcher

Transferring the T7 GUI in form of a JAR file via T7 GUI Launcher mechanism is based on TCP/IP on port 443, SSL <u>only</u>. To serve that purpose, two sets of getdown servers are used: One for Internet and one for leased line connections.

Connection option	URL of getdown Servers	Ports	Protocol
Eurex T7, & EEX T7			
Internet	t7gui.production.eurex.com	443	TCP/IP
Internet	t7gui.simulation.eurex.com	443	TCP/IP
Internet	t7gui.emergency.eurex.com	443	TCP/IP
Leased line	t7gui.production.vpn.eurex.com	443	TCP/IP
Leased line	t7gui.simulation.vpn.eurex.com	443	TCP/IP
Leased line	t7gui.emergency.vpn.eurex.com	443	TCP/IP
Xetra T7 & Xetra Vier	nna T7 (including Partner Exchanges)		
Internet	t7gui.production.xetra.com	443	TCP/IP
Internet	t7gui.simulation.xetra.com	443	TCP/IP
Internet	t7gui.emergency.xetra.com	443	TCP/IP
Leased Line	t7gui.production.vpn.xetra.com	443	TCP/IP
Leased Line	t7gui.simulation.vpn.xetra.com	443	TCP/IP
Leased Line	t7gui.emergency.vpn.xetra.com	443	TCP/IP

These are the same servers which host the T7 GUI Landing Pages.

As soon as the download of the JAR file has been completed or the most recent version has been found on the local hard drive, it will be started by the local Java Runtime Environment (JRE).

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Once the T7 GUI application has been started within the local Java Virtual Machine the network communication between the client computer and the receiving proxy servers within the T7 trading architecture will be done on the ports listed below.

### Eurex T7 & EEX T7

Environment	Connection option	Host (FQDN)	Ports: Trader GUI	Ports: Admin GUI	Ports: Clearer GUI	Protocol
Production	Internet	guiproxyp1.eurexchange.com guiproxyp2.eurexchange.com	80	80	80	TCP/IP
	Leased line A Leased line B	guiproxyp1.vpn.eurexchange.com guiproxyp2.vpn.eurexchange.com	8089	80	8089	TCP/IP
	Internet	guiproxys1.eurexchange.com guiproxys2.eurexchange.com	80	80	80	TCP/IP
	Leased line A Leased line B	guiproxys1.vpn.eurexchange.com guiproxys2.vpn.eurexchange.com	8089	80	8089	TCP/IP

#### Xetra T7 & Xetra Vienna T7 (including Partner Exchanges)

Environ-ment	Connection option	IP addresses	Ports: Trader GUI	Ports: Admin GUI	Ports: Clearer GUI	Protocol
Production	Internet	guiproxyp1.xetra.com guiproxyp2.xetra.com	80	80	80	TCP/IP
	Leased line A Leased line B	guiproxyp1.vpn.xetra.com guiproxyp2.vpn.xetra.com	8089	80	8089	TCP/IP
Simulation	Internet	guiproxys1.xetra.com guiproxys2.xetra.com	80	80	80	TCP/IP
	Leased line A Leased line B	guiproxys1.vpn.xetra.com guiproxys2.vpn.xetra.com	8089	80	8089	TCP/IP

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#### **Börse Frankfurt**

Environ-ment	Connection option	IP addresses	Ports: Trader GUI	Ports: Admin GUI	Ports: Clearer GUI	Protocol
Production	Internet	guiproxyp1.boerse-frankfurt.de guiproxyp2.boerse-frankfurt.de	82	82	82	TCP/IP
	Leased line A Leased line B	guiproxyp1.vpn.boerse-frankfurt.de guiproxyp2.vpn.boerse-frankfurt.de	8091	82	8091	TCP/IP
Lea	Internet	guiproxys1.boerse-frankfurt.de guiproxys2.boerse-frankfurt.de	82	82	82	TCP/IP
	Leased line A Leased line B	guiproxys1.vpn.boerse-frankfurt.de guiproxys2.vpn.boerse-frankfurt.de	8091	82	8091	TCP/IP

If a firewall is in place, the ports listed above need to be configured. All connections will be opened only from the client side to the Deutsche Börse Group servers. Please note that traffic over the Internet will be encrypted. Although port 80/81 or 8089/8090 is being used, firewalls will not be able to read or inspect the content (payload) of the TCP/IP packets sent on this port.

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#### 4.4.4 Leased line network aspects

For leased lines connections the outbound TCP/IP address for T7 GUI connections is expected to be within the assigned member LAN.

The technical implementation can be performed by placing a proxy server in the member LAN, taking on one side the connections to the trading desks and on the other side residing in the assigned member LAN.

Alternatively, network address translation (NAT) protocols (static or dynamic) can be implemented in the trading participant's network to shield the in-house network addresses and translate them to addresses in the assigned member LAN.

Routes to the leased line networks listed in the table in the previous chapter need to be present on the client computer. Please note that these should point to the leased lines and not to the Internet.

If present, local Internet proxy servers should implement an exception to access the leased line networks. This can be configured in .pac files of most proxy servers by commanding direct connections to the specified network/host:

if ( isInNet( host, "t7gui.production.vpn.eurex.com", "255.255.255.255" ))
{return "DIRECT";}

For leased line connections, SSH authentication is not required or possible.

#### 4.4.5 Internet connections aspects, public key pair authentication

GUI connections established over the Internet require an additional security level for encryption and authentication, which is not present for leased line connections.

An SSH-2 key pair must be created, and the public key has to be uploaded to Deutsche Börse Group via the web portal in the Member Section <u>https://membersection.deutsche-boerse.com/</u>. Please see chapter 5 for detailed instructions on how to create an SSH key pair and how to upload the public key.

The SSH key pair is used just for authentication. The T7 GUI solutions do not establish an SSH tunnel but encrypt the payload of the network packets on application level.

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#### 4.4.6 Local proxy servers

Customers may deploy their own proxy servers for connection via Internet or via leased lines.

#### T7 GUI Launcher

Clicking on any of the presented T7 GUI shortcuts will trigger the T7 GUI Launcher process. The T7 GUI Launcher will determine local proxy settings automatically. If for any reason the proxy cannot be determined, a dialogue box will appear were you can create the entries manually.

T7 Eurex GUIs	_		×
We were unable to connect to our servers to download the app	lication	data.	
<ul> <li>If Windows Firewall or Norton Internet Security was in javaw.exe we cannot download the application. You v javaw.exe to access the internet. You can try running again, but you may need to clear javaw.exe from your (Start -&gt; Control Panel -&gt; Windows Firewall).</li> </ul>	vill need the app	to allow lication	,
Your computer may access the Internet through a proxy and we automatically detect your proxy settings. If you know your prox enter them below.			
Proxy IP			
Proxy port			
If you are sure that you don't use a proxy then perhaps there is outage that is preventing us from communicating with the serv can cancel and try installing again later. OK Cancel	-	-	

The information entered here will be stored in the file "proxy.txt" in the T7 GUI Launcher directory:

host = 1.2.3.4port = 8080

#### <u> T7 GUIs</u>

If present, specify a local proxy server in the T7 GUI on the 2<sup>nd</sup> tab of the Network Connection Settings dialog (see chapters 6.2 and 6.5). If your proxy server requires authentication, please specify username and password for your proxy authentication too.

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Network Connection Settings		*
O Direct Connection		
Use OS Settings		
O Use Proxy Server		
Address		
Port User	Password	
48		
🏂 Detect Proxy	2	▶ N
Network Proxy Security		
Info		*
PIN		*

<u>Please note</u>: detailed proxy settings can be specified within this dialog, by activating "Use Proxy Server". Alternatively, proxy settings can be copied automatically from the operating system (Control Panel  $\rightarrow$  Network and Internet  $\rightarrow$  Internet Options  $\rightarrow$  Connections  $\rightarrow$  LAN Settings ) by activating "Use OS Settings". When no local proxy is used at all for the T7 GUI connection, "Direct Connection" has to be selected.

The following general proxy server requirements are needed for running the T7 Admin-, T7 Trader-, or T7 Clearer GUI via proxy:

- proxy must accept "HTTP 1.1"
- proxy must understand header "Proxy-Connection" and "Connect" with value "keep-alive"
- proxy must understand header "Transfer-Encoding" with value "chunked"

Known proxy server versions and limitations

- commercial proxy **BlueCoat** 
  - Version SGOS 4.3, 5.4, 5.5 and 6.x known to be good
  - Version SGOS 4.2 known to be <u>not</u> working (End of live was 2010 according to BlueCoat)
- Open Source proxy Squid
  - Version 3.2.x or newer known to be good (4.1 being most recent as of writing of this document)
  - o Incoming port must be configured for http in squid.conf

To guarantee maximum performance and most problem-free operation of the T7 GUIs, the following general recommendations can be made:

• T7 GUIs encrypt all traffic from and to the exchange. Due to this, the traffic cannot be inspected by firewalls. Firewalls sensitive to this need to be configured to allow encrypted

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traffic from and to the T7 IP addresses and ports. Content scan for the T7 GUI traffic needs to be disabled.

- The protocols used by the T7 GUI can be sensitive to connection interruptions. It is recommended to configure traffic to the T7 GUI IP addresses and ports with an increased priority.
- In certain scenarios, proxy servers requiring authentication themselves can cause problems in the T7 GUI communication (http 407 error). If not absolutely required by local security policy, it is recommended to run the T7 GUIs via proxies that do not require authentication.

In general, the main method of communication of the T7 GUI, once they are downloaded and running on the client computer is the protocol "WebSocket" (see RFC 6455 and 7936 for details). WebSocket allows near real-time communication between the GUI application and the exchange servers.

WebSocket replaced the "Long Polling" (see RFC 6202 for details) communication method which was used by earlier versions of the T7 GUIs (Release 1.0 - 3.0) but can still be used by the T7 GUIs as a fall-back option.

#### 4.5 Disaster Recovery

Dedicated desktop shortcuts exist which must be used in case of a disaster recovery scenario. These shortcuts are to be used in case the regular T7 trading back end becomes fully unavailable and Deutsche Börse Group must run the markets from a disaster recovery data center. See the document "T7 Disaster Recovery Concept" for further details about the disaster scenario. The document "T7 Disaster Recovery Concept" can be found on the Eurex and Xetra homepages under "System documentation > Network Access".

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#### 5. SSH key pair generation

The following chapter applies only for customers who intend to run the T7 Trader GUI, T7 Admin GUI, or the T7 Clearer GUI via the native Internet. T7 GUI connections over leased lines do not require any SSH key pair authentication.

To generate an SSH-2 public/private key various freeware tools are available for download in the Internet, for instance:

- PuTTYgen.exe (available for MS Windows),
- ssh-keygen from <a href="http://www.openssh.org">http://www.openssh.org</a> for various operating systems.

The key generation process will produce both the public and the private key.

Key pairs can be created either for an entire business unit or for individual traders. Key generation for both options is identical. The use of the keys (business unit vs. individual traders) is determined during upload into the Member Section as outlined in chapter 5.3.

To help associate keys with business unit or individual member IDs it is suggested to use corresponding filenames as indicated in the examples below.

**IMPORTANT**: Each key private must be protected with a passphrase. This is mandatory for the T7 simulation and for production environments.

#### 5.1 Private and public key with OpenSSH (ssh-keygen)

After installing OpenSSH type the following command on the command line to create both, a public and a private key (in this example with a length of 3060 bytes):

```
$ ssh-keygen -t rsa -b 3060 -N <passphrase> -f /home/username/.ssh/
MEMBRTRD001
Generating public/private rsa key pair.
Your identification has been saved in /home/username/.ssh/ MEMBRTRD001.
Your public key has been saved in /home/username/.ssh/ MEMBRTRD001.pub.
The key fingerprint is:
f8:e9:1a:ee:a0:d7:2b:4a:8e:fa:4d:80:43:e0:96:1a username@host.domain.de
The key's randomart image is:
+--[ RSA 3060]----+
|.
0.
|E=
|+0
       .
|+ . . S
| . .
       . .
| . 0.. 0
| + +00.0
|+.=0.0=0.
                  T
+----+
```

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The following keys will be created:

\$ ls -al MEMBR\*

-rw----- 1 username group 1675 Jul 17 16:28 MEMBRTRD001 -rw-r--r-- 1 username group 405 Jul 17 16:28 MEMBRTRD001.pub

The public key can be identified by the file extension ".pub", while the private key has no file extension. Please note the different file access rules for both keys.

The private key file must be accessible from the GUI user's workstation but should never be distributed further.

Alternatively, the tool ssh-keygen can be used in an interactive session:

```
$ ssh-keygen -t rsa -b 3060
Generating public/private rsa key pair.
Enter file in which to save the key (/home/username/.ssh/id rsa):
/home/username/.ssh/MEMBRTRD002
Enter passphrase (empty for no passphrase):
Enter same passphrase again:
Your identification has been saved in /home/username/.ssh/MEMBRTRD002.
Your public key has been saved in /home/username/.ssh/MEMBRTRD002.pub.
The key fingerprint is:
17:0f:1c:7b:67:bc:34:6f:a6:52:7c:4b:7e:d7:16:8e username@host.domain.de
The key's randomart image is:
+--[ RSA 3060]----+
.
          . 0 .
= . * |
            = = + |
S . . +o=|
L
              .==+|
          .
             .E.+=|
T
. .0|
```

The following keys will be created:

\_\_\_\_\_+

+-

```
$ ls -al MEMBR*
-rw----- 1 username group 1743 Jul 17 16:30 MEMBRTRD002
-rw-r--r- 1 username group 405 Jul 17 16:30 MEMBRTRD002.pub
```

The public key can be identified by the file extension ".pub", while the private key has no file extension. Please note the different file access rules for both keys.

The private key file must be accessible from the GUI user's workstation but should never be distributed further.

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#### 5.2 Key generation with puttygen

The following chapter describes the key generation procedure with puttygen.exe under MS Windows. After starting PuTTY Key Generator (run "puttygen.exe") there will be an almost empty PuTTY Key Generator screen visible.

S	•								?	x
Fi	ile	Key	Conversions	Help						
	Key No	/ key.								
	Act	tions								
	Ge	nerate	a public/private	key pair			[	<u>G</u> ene	erate	
	Loa	ad an e	xisting private k	ey file			[	<u>L</u> o:	ad	
	Sa	ve the	generated key			Save	p <u>u</u> blic key	Save priv	vate key	
	Par	rameter	s							
C	۲	<u>R</u> SA	to generate: <u>D</u> SA		○ <u>e</u> cds	A	○ ED <u>2</u> 5519	) ssi 3060	H- <u>1</u> (RS)	A)

Parameters:

- Type of key to be generated:
   RSA
- Number of bits in a generated key: **3060** (up to 16384)

Once the parameters are set, click on "Generate" and move your mouse pointer for a while over the blank area. The key pair will be generated.

**IMPORTANT**: Each key private must be protected with a passphrase. This is mandatory for both the T7 simulation and for production environments.

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F	ile	Key	Conv	ersion	s Help				
	Key								
	Pub		for page	sting in	to OpenSS	H authoriz	ed_keys	file:	
-	AA	AAB3N							hR9V9ba/t8/jvVI
	jlQq	ijggHD	)gOwOx	tLUc0	gLuXtp41F	P7qÖZMNZ	(RXJ1pg	gMwTaykN73gl	NurebNYFtFp/Tg M3lcDXgDmjWE9t
	FLU	Np9pE	1vwU	66BW	JLZ8hsMiza				~
	Key	fingen	orint:		sshirsa 30	6 <del>8 90:05:0</del>	e:46fdf	1.52.au.au.70:90	1:48:a8:df:7b:ee
	Key	<u>c</u> omm	ent:		rsa-key-20	180813			
	Key	p <u>a</u> ssp	hrase:						
	Con	fim pa	issphra	se:					
	Actio	ons							
	Gen	erate	a public	c/priva	te key pair				Generate
	Loa	d an e	vietina	nivate	key file				Load
	Sav	e the g	generat	ted key	/		Sav	e p <u>u</u> blic key	Save private key
	Para	meter	3						
-		e of ke RSA	ey to ge	0 -	: SA		SΔ	O ED25519	O SSH-1 (RSA)
			bits in	$\leftarrow$	erated key:		54	-	→ 3060
			2.00.01	- 3011				Check!	

**Public key**: Copy the key and paste it into a text editor (e.g. Notepad), change the ending to .pub and save it on your computer.

To save the public key, select the key in the textbox with the mouse. Make sure that you have selected the full key, which may be longer than the window can display at once. This may require scrolling. Copy the selected key to the clipboard (CTRL-C). Open a text editor, i.e. "Notepad" or "Editor" and paste (CTRL-V) the key into the editor. Save the file as the public key.

The public key needs to be uploaded to the Member Section of the Eurex or Xetra website. The process is described in the next chapter.

**<u>Privat key</u>**: To save the private key, click on "Conversions" in the menu bar of PuTTYgen and select "Export OpenSSH key" as shown in the screenshot below.

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	5	PuTTY Key Generator	? X
	File Key Conversions	s Help	
Import key			
Export OpenSSH key		OpenSSH authorized_keys file:	
Export OpenSSH key (for Export ssh.com key		ABJQAAAX8JV/jH0WPcwV7XNwYgsScY9s 5zZFp1Q69E4iVT/D83cFfM 1DMOgxYv14eGx0drAHenT GFUF9KUtU3fN3trdKDcC4lkhz0LmFJQhqEh8	
		ssh-rsa 3060 1d.fb:ce:15:c7:e7:45.f6:0e:30:46	
	Key comment:	rsa-key-20180813	
	Key p <u>a</u> ssphrase:		
	Confirm passphrase:		
	Actions		
	Generate a public/privat	te key pair	<u>G</u> enerate
	Load an existing private	key file	Load
	Save the generated key	Save p <u>u</u> blic key	Save private key
	Parameters		
	Type of key to generate ● <u>R</u> SA ○ D		○ SSH- <u>1</u> (RSA)
	Number of <u>b</u> its in a gene	rated key:	3060

Both keys are required to run any T7 GUI over the internet.

**<u>Please note</u>**: Both key files must be accessible from the T7 GUI user's workstation but should never be distributed further.

#### 5.3 Public key – Member Section upload

The public key created as outlined above needs to be uploaded to the Member Section at <a href="https://membersection.deutsche-boerse.com/">https://membersection.deutsche-boerse.com/</a>. The user uploading public keys needs to be a registered "Technical User Administrator".

From the Member Section the key will be transferred to the proxy servers for T7 GUI usage via Internet. An uploaded key will be usable within one hour after successful upload.

Keys can be created either for the entire business unit or for individual traders. Uploaded keys are valid for one market only. Customers trading on several markets need to upload keys for each market.

**<u>Please note</u>**: Uploading a new key for a trader or a business unit overwrites any previously uploaded key.

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Follow the path below to reach the T7 GUI key upload page.

## DBAG Member Section-> Technical Connection-> Requests & Configuration-> Self Service Certificates > T7 GUI Keys

DEUTSCHE BÖRSE GROUP			Logged In as		8
≡ 🍙				٩	DE
ିର୍ଦ୍ଧ Technical Connection Tech	nnical Connection / Requests & Configuration / Self Service Cert	ificates			
C Resources					
	T7 GUI Keys	T7 Web App	Eurex Repo F7 GUI/API		
	Create and maintain technical Participant and	Create and maintain technical accounts	Create and maintain technical accounts		
	Trader Keys	Create and maintain technical accounts	Create and maintain technical accounts		
			8		
	•				
	Eurex Repo F7 FIX	Buy-In Agent Accounts	FIXML Account		
	Create and maintain technical accounts	Create and maintain technical accounts	Create and maintain technical accounts		
		-			
			<u> </u>		
			-		
	EurexOTC Clear FpML Account	Margin Calculator API Account	Report Engine User		
	Create and maintain technical accounts	Create and maintain technical accounts	Create and maintain technical accounts		

The path above will take you to the T7 GUI Keys page:

DEUTSCHE BÖRSE GROUP						Logged In as	- 10 · · · · · · · · · · · · · · · · · ·	8
≡ ⋒							Q, DE	☆ ②
a Technical Connection	< T7 GUI Keys							
	Search	9 C					Create Ke	<u>۷</u> >
	Кеу Туре	Market	Business ID	Environment	Trader ID	Valid to	Description	
	Participant Key	Eurex	SIMAF	Simulation		Oct 31, 2020	Key2048	>
	Participant Key	Börse Frankfurt	TC1IN	Simulation		Dec 31, 2099		>
	Participant Key	Börse Frankfurt	TC1IN	Production		Dec 31, 2099		>
	Participant Key	Xetra	TC1IN	Simulation		Dec 31, 2099		>
	Participant Key	Xetra	TC2IN	Simulation		Dec 31, 2099		>
	Participant Key	Xetra	TC3IN	Simulation		Dec 31, 2099		>
	Participant Key	Eurex	TCAIN	Simulation		Dec 31, 2030		>
	Participant Key	Eurex	TCBIN	Simulation		Dec 31, 2030		>
	Participant Key	Eurex	TCCIN	Simulation		Dec 31, 2030		>
	Participant Key	Eurex	TCDIN	Simulation		Dec 31, 2030		>
Once the certificate is uploaded, trading participants can access GUIs by using the following links: T7 GUIs for Eurex: https://webpui.eurexchange.com/ T7 GUIs for Eurex: https://webpui.eurexchange.com/ T7 GUIs for Xeter: https://webpui.eurexchange.com/ T7 GUIs for Xeter: https://webpui.eurexchange.com/ T7 GUIs for Xeter: https://webpui.eurexchange.com/ T7 GUIs for Xeter: https://webpui.eurexchange.com/ T7 GUIs for Vienna Stock Exchange: https://webpui.eure.com/ DBAG Contacts       IP     DBAG Contacts       IP     User Guides       IP     User Guides								
🖓 User Guides		our login for T7 GUIs for EE)						

Public keys can be uploaded and registered either for a whole business unit where every trader uses the same key (described in the chapter 5.3.1 New Participant Key) or individually for every trader individually (described in the chapter 5.3.2 New Trader Key).

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#### 5.3.1 New Participant Key

Click on "Create Key" and select "Participant Key" for "Key Type" to upload a key which will be used by all traders of a given business unit. Select the Member ID/Business unit, the desired market (Eurex, EEX, Xetra, Börse Frankfurt, Vienna, etc.), the environment (production/simulation) and choose an expiration date.

Expired keys will be deleted at the expiry date. Expiry dates can be modified at any time prior to expiry. Additionally, an optional text description can be added.

Create Key

Key Information				
*Key Type:	Participant Key	~		
*Market:	Eurex	~		
*Environment:	Simulation	~		
*Business ID:	GDBXX	~		
*Valid to:	31.12.2022	<b></b>		
Description:	My new participant key			
*Public Key:	Add Public	Key		
Key Data:				
			Save	Ca

Then click on the "Add Public Key" and then on the "Upload Public Key" button. In the popup window navigate to the public key file you have created previously.

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Add Cancel

	Add Certificate
Upload Public Key Keypair Generator	
Key Data:	
	Add Cancel
	Add Certificate
Upload Public Key Keypair Generator	)
A/ A/	h-rsa AAAB3NzaC1yc2EAAAABJQAAAX8IUcyc6GjLd92tplTtNCeX5c7 kgU66k3hT0WIHrJq7sBgb69Lah8bbp4/QMaaQYwxFdcvh3kkxL jjSw/ziXqpBZ02yKe3RrKL/6KLIDxsSKgzNo39r5u9Cg4n+Bb8kC 5E5AtXkkscv9r3QzLiySE6i5J4/jjM8b7Dd85Ij8pVh23bwg43qcp

Once the key is selected click on "Add" to close the popup window.

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Create	Key
Create	ney

Key Information			
*Key Type:	Participant Key	~	
*Market:	Xetra	~	
*Environment:	Simulation	~	
*Business ID:	GDBXX	~	
*Valid to:	31.12.2022	<b></b>	
Description:	My new participant key		
*Public Key:	LAUNCHER_KEY_TEST.pub	Add Public Key	
Key Data:	ssh-rsa AAAAB3NzaC1yc2EAAAABJQA d92tplTtNCeX5c7MkgU66k3hT 69Lah8bbp4/QMaaQYwxFdcvh3 qpBZ02yKe3RrKL/6KLIDxsSKg	OWIHrJq7sBgb 3kkxLaqjSw/ziX	
			Save Cance

Click on "Save" to complete the key upload. The screen will return to show a list of all already uploaded keys.

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Technical Connection Resources								☆ (
							Q DE	¥ (
Resources								
	< T7 GUI Keys							
	Search	9 C					Create Key	>
	Кеу Туре	Market	Business ID	Environment	Trader ID	Valid to	Description	
	Participant Key	Eurex	SIMAF	Simulation		Oct 31, 2020	Key2048	3
	Participant Key	Börse Frankfurt	TC1IN	Simulation		Dec 31, 2099		3
	Participant Key	Börse Frankfurt	TC1IN	Production		Dec 31, 2099		1
	Participant Key	Xetra	TC1 IN	Simulation		Dec 31, 2099		
	Participant Key	Xetra	TC2IN	Simulation		Dec 31, 2099		
	Participant Key	Xetra	TC3IN	Simulation		Dec 31, 2099		
	Participant Key	Eurex	TCAIN	Simulation		Dec 31, 2030		
	Participant Key	Eurex	TCBIN	Simulation		Dec 31, 2030		
	Participant Key	Eurex	TCCIN	Simulation		Dec 31, 2030		
	Participant Key	Eurex	TCDIN	Simulation		Dec 31, 2030		

Uploaded keys will be activated and ready to be used within one hour after the upload.

#### 5.3.2 New Trader Key

Click on Create Key" and select "Trader Key" for "Key Type" to upload a key which is used by one individual trader. Select the Member ID/Business unit, the desired market (Eurex, Xetra, etc.), the environment (production/simulation) and choose an expiration date.

Expired keys will be deleted at the expiry date. Expiry dates can be modified at any time. Additionally, an optional text description can be added.

Then click on the "Add Public Key" and then on the "Upload Public Key" button. In the popup window navigate to the public key file you have created previously.

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Create Key

Key Information				
*Key Type:	Trader Key	~		
*Market:	Xetra Vienna	~		
*Environment:	Simulation	~		
*Business ID:	GDBXX	~		
*Trader ID:	TRD001			
*Valid to:	31.12.2022			
Description:	My new trader key			
*Public Key:		Add Public Key		
Key Data:				
			Save	Cancel
	Add Co	rtificato		



Upload Public Key Keypair Generator							
Key Data:							

Add Cancel

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Add Certificate					
Upload Public Key Keypair Gener	ator				
Key Data:	ssh-rsa AAAAB3NzaC1yc2EAAAABJQAAAX8IUcyc6GjLd92tplTtNCeX5c7 MkgU66k3hT0WIHrJq7sBgb69Lah8bbp4/QMaaQYwxFdcvh3kkxL aqjSw/ziXqpBZ02yKe3RrKL/6KLIDxsSKgzNo39r5u9Cg4n+Bb8kC td5E5AtXkkscv9r3QzLiySE6i5J4/jjM8b7Dd85lj8pVh23bwg43qcp				
	Add Cancel				

Once the key is selected click on "Add" to close the popup window.

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Create	Key
--------	-----

Key Information					
*Key Type:	Trader Key	~	]		
*Market:	Xetra Vienna	~			
*Environment:	Simulation	~	]		
*Business ID:	GDBXX	~	]		
*Trader ID:	TRD001		]		
*Valid to:	31.12.2022		]		
Description:	My new trader key		]		
*Public Key:	LAUNCHER_KEY_TEST.pub	Add Public Key	]		
	AAAAB3NzaC1yc2EAAAABJQA d92tplTtNCeX5c7MkgU66k3h 69Lah8bbp4/QMaaQYwxFdcvh qpBZ02yKe3RrKL/6KLIDxsSKg	FOWIHrJq7sBgb 3kkxLaqjSw/ziX			
				Save	Car

Click on "Save" to complete the key upload. The screen will return to show a list of all already uploaded keys.

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SUI Keys       Q     Q       Market       nt Key     Eurex	Business ID	Environment	Trader ID Valid to	Q Dé	
Q C Market		Environment	Trader ID Valid to		(ey >
Q C Market		Environment	Trader ID Valid to		(ey )
Market		Environment	Trader ID Valid to		(ey )
		Environment	Trader ID Valid to		
nt Key Eurex			Valia LU	Description	
	SIMAF	Simulation	Oct 31, 2020	Key2048	3
nt Key Börse Frankfu	furt TC1IN	Simulation	Dec 31, 2099		3
nt Key Börse Frankfr	furt TC1IN	Production	Dec 31, 2099		
nt Key Xetra	TC1IN	Simulation	Dec 31, 2099		
nt Key Xetra	TC2IN	Simulation	Dec 31, 2099		
nt Key Xetra	TC3IN	Simulation	Dec 31, 2099		
nt Key Eurex	TCAIN	Simulation	Dec 31, 2030		
nt Key Eurex	TCBIN	Simulation	Dec 31, 2030		
nt Key Eurex	TCCIN	Simulation	Dec 31, 2030		
nt Key Eurex	TCDIN	Simulation	Dec 31, 2030		
	Int Key Xetra Int Key Xetra Int Key Xetra Int Key Eurex Int Key Eurex Int Key Eurex ertificate is uploaded, trading part Furex: https://webgui.eurexchan	Int Key         Xetra         TC1IN           Int Key         Xetra         TC2IN           Int Key         Xetra         TC3IN           Int Key         Eurex         TCAIN           Int Key         Eurex         TCBIN           Int Key         Eurex         TCCIN           Int Key         Eurex         TCCIN           Int Key         Eurex         TCDIN	Int Key Xetra TC1IN Simulation Int Key Xetra TC2IN Simulation Int Key Xetra TC3IN Simulation Int Key Eurex TC3IN Simulation Int Key Eurex TCBIN Simulation Int Key Eurex TCCIN Simulation Int Key Eurex TCCIN Simulation Int Key Eurex TCCIN Simulation etrificate is uploaded, trading participants can access GUIs by using the following links: Etriene: https://beguisurexchange.com/	Key         Xetra         TC1IN         Simulation         Dec 31, 2099           Int Key         Xetra         TC2IN         Simulation         Dec 31, 2099           Int Key         Xetra         TC3IN         Simulation         Dec 31, 2099           Int Key         Ketra         TC3IN         Simulation         Dec 31, 2099           Int Key         Eurex         TCAIN         Simulation         Dec 31, 2030           Int Key         Eurex         TCBIN         Simulation         Dec 31, 2030           Int Key         Eurex         TCCIN         Simulation         Dec 31, 2030           Int Key         Eurex         TCDIN         Simulation         Dec 31, 2030           ertificate is uploaded, trading participants can access GUIs by using the following links:         Freize: htts://webui.surexchange.com/         Simulation	Key         Xetra         TC1IN         Simulation         Dec 31, 2099           int Key         Xetra         TC2IN         Simulation         Dec 31, 2099           int Key         Xetra         TC2IN         Simulation         Dec 31, 2099           int Key         Xetra         TC3IN         Simulation         Dec 31, 2099           int Key         Eurex         TCAIN         Simulation         Dec 31, 2030           int Key         Eurex         TCBIN         Simulation         Dec 31, 2030           int Key         Eurex         TCCIN         Simulation         Dec 31, 2030           int Key         Eurex         TCCIN         Simulation         Dec 31, 2030           etrificate is upleaded, trading participants can access GUIs by using the following links:         Etriex: httm3//Webui survexthamps.com/         TCDIN

Uploaded keys will be activated and ready to be used within one hour after the upload.

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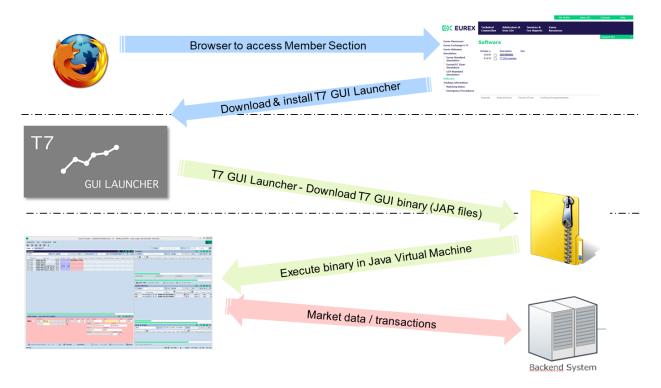
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### 6. Troubleshooting

Although the setup of the T7 Admin GUI, T7 Trader GUI and/or T7 Clearer GUI is straight forward and relatively simple, the following chapters give some advice on troubleshooting in case connectivity cannot established in the first place.

#### 6.1 The tiers of T7 GUI communication

The whole process of starting and running the T7 GUI, including automatic download of the latest version of the GUI binaries consists of two tiers, preceded by the one time step of downloading and installing the T7 GUI Launcher:



#### 6.1.1 Tier I: Downloading and installing the T7 GUI Launcher (to be done once)

Download the desired T7 GUI Launcher package(s) from the Member Section of eurexchange.com xetra.com. Install the desired connection option (Internet, leased line) as described in chapter 3. This whole process is a one-time process. Once the packages are installed running and updating the actual T7 GUIs will be done from the installed T7 GUI Launcher, which itself will be accessible via a number of T7 GUI shortcuts on the desktop or within the Windows start menu.

#### 6.1.2 Tier II: T7 GUI Launcher > JAR File delivery

Clicking one of the T7 GUI shortcuts will initiate the T7 GUI Launcher mechanism using getdown to download the T7 GUI in form of a JAR file from the T7 GUI Launcher server. Every time a new GUI version is available on the T7 GUI Launch server, this new version will be downloaded to the client computer. If there is no newer version on the T7 GUI Launch server, the previously downloaded version will be started.

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#### 6.1.3 Tier III: Running GUI -> T7 (crypto) proxies

The T7 GUI itself, once running, will open a connection to one of a set of so called "T7 (crypto) proxies). These T7 (crypto) proxies handle incoming T7 GUI communication and forward the communication to the T7 back end. On Internet connections, the T7 (crypto) proxies handle the x.501 GUI key handshake – hence the "crypto" in the name. All available T7 (crypto) proxies are defined in the JNLP files.

The T7 GUI will pick one of the T7 (crypto) proxies listed in the JNLP file to open a communication channel which is then used further on for communication from the running GUI to the T7 back end.

Example Eurex T7 (see chapter 4.4 for other network addresses):

Leased line Simulation: 193.29.89.224/28, 193.29.95.224/28 Production: 193.29.91.224/28, 193.29.93.224/28

Internet Simulation: 193.29.90.224/27 Production: 193.29.90.192/27

#### 6.2 T7 GUI Launcher - Frequently asked questions

How can I access the Java Control Panel?

• The Java Control Panel was only relevant for Java Web Start and Java Applets. Since both are not part of open source Java versions, there is no Java Control Panel in the JRE provided by Deutsche Börse.

Where do I set up my own proxy server if I can only access the Internet via a proxy?

• T7 GUI Launcher tries to determine the proxy settings automatically. If the proxy could not be determined, a dialog pops up for proxy input.

Where could I adjust my Java Cache Size or delete the cache if I wanted to?

• Due to the lack of Web Start and Control Panel there is no Java Cache anymore. The files are copied directly to disk.

#### 6.3 T7 GUI connection error messages

Beginning with T7 release 8.0 the T7 GUIs (the actual T7 GUIs, not the T7 GUI Launcher) can present one of the following error codes while trying to establish a connection to the exchange back end.

#### Login failed: CryptoProxy connect failed [Connection refused: connect]

The CryptoProxy not reachable at the exchange is cannot be reached via network. Possible firewall or routing issue. See the following chapters (6.3 and 6.5) on how to verify basic network connectivity.

#### Login failed: Connect failed [WS: WS\_PING\_FAILED LP: Timeout]

The GUI connection fails when trying to reach servers deeper in the exchange network. WebSocket (WS) and/or LongPolling (LP) connection attempts failed. Possible mixup of Internet and Leased Line settings. Prepare GUI logfiles (see chapter 4.3) and contact technical support.

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## Login failed: Connect failed [WS: UT000122: CONNECT attempt failed as target proxy returned 503 LP: Timeout]

In the GUI dialog "Network Connection Settings" ("Proxy" tab) either a Proxy server has been configured, or "Use OS Setting" is selected with a default proxy configured for the Operating System. The proxy server defined by either one of this means cannot be reached.

## Login failed: Connect failed [WS: Could not open public key on server side!]

Affects only Internet connections. The public SSH key on server (exchange) side could not be opened. This can happen when a SSH key is configured in the GUI dialog "Network Connection Settings" ("Security" tab) but the public key for this hasn't been uploaded to the member section yet (see chapter 5.3), or it hasn't been transferred from the member section to the exchange back end yet (wait 30 minutes).

#### Login failed: Connect failed [WS: Could not open public key file.]

Affects only Internet connections. Could not open public SSH key on client side. A SSH key is configured in the GUI dialog "Network Connection Settings" ("Security" tab) but the public key specified cannot be read (or found) by the local file system.

#### Login failed: Connect failed [WS: Could not open private key file.]

Affects only Internet connections. Could not open private SSH key on client side. A SSH key is configured in the GUI dialog "Network Connection Settings" ("Security" tab) but the private key specified cannot be read (or found) by the local file system.

#### Login failed: Connect failed [WS: Wrong private key password!]

Affects only Internet connections. Wrong private key password.

A SSH key is configured in the GUI dialog "Network Connection Settings" ("Security" tab) but the private key specified cannot be accessed with the passphrase specified in the field "Private Client Key Password" in the login mask.

Apart from the technically error messages, all regular login errors about the entered trader/user ID and the entered password will be displayed as "Wrong user or password" in the login window. In this case, verify that the user ID is spelled correctly, and the password is still valid and has been typed correctly (check keyboard language settings).

#### 6.4 Verify connectivity, Internet

The selected T7 GUI will start after the T7 GUI Launcher process has been completed. In the login screen, open network settings and select Internet.

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nstallation Manual for T7 Trader-, Admin-, & Clearer GUI	Version 10.1.0		
Network Connection Settings	*		
Leased Line			
Internet			

If present, specify a local proxy server on the 2<sup>nd</sup> tab. If your proxy server requires authentication, please specify username and password for your proxy authentication too.

Network Proxy Security

> Next

Network Connection Settings	*
O Direct Connection	
Use OS Settings	
O Use Proxy Server	
Address	
Port User Passw	ord
🏂 Detect Proxy	▶ N
Network Proxy Security	
Info	*
PIN	*

<u>Please note</u>: Detailed proxy settings can be specified within this dialog, by activating "Use Proxy Server". When no local proxy is used at all for the T7 GUI connection, "Direct Connection" has to be selected.

On the third tab point to your public **and** private SSH key, matching the previously uploaded public key.

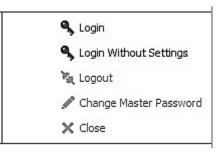
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Network Connection Settings	*
Public Client Key	
N:\T7\key_examples\GDBXXSIM010.pub	
Private Client Key	
N:\T7\key_examples\GDBXXSIM010.key	
	> Next
letwork Proxy Security	

After the required configuration is complete, the Login button becomes active. Clicking on the login button connects the T7 GUI with the exchange. If not, verify the data entered in the network settings of the T7 GUI.



Alternatively test the network connectivity from the client computer to the Deutsche Börse Servers by the following commands (if netcat is present. Alternatively use telnet):

#### **Xetra Production**

nc -z -w5 guiproxyp1.xetra.com 80 nc -z -w5 guiproxyp2.xetra.com 80

Xetra Simulation

nc -z -w5 guiproxys1.xetra.com 80 nc -z -w5 guiproxys2.xetra.com 80

#### **Eurex Production**

nc -z -w5 guiproxyp1.eurexchange.com 80 nc -z -w5 guiproxyp2.eurexchange.com 80

#### **Eurex Simulation**

nc -z -w5 guiproxys1.eurexchange.com 80 nc -z -w5 guiproxys2.eurexchange.com 80

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#### 6.5 Verify connectivity, leased line

#### 6.5.1 Simple checklist for simulation network configuration test via leased line

<u>Please note</u>: IP addresses below are an <u>example for Eurex simulation only</u>. See chapter 4.3 for other markets and for production addresses.

- Find the correct member LAN to connect from. In the member portal, go to "Request & Configuration". Get an X-Con for ETI and/or GUI channel. Take note of the "MEMLAN IPnet". This is the network from which you should connect:
- 2.) Find the router assignment to back end half "A" and "B" in the same X-Con
- 3.) For initial testing put a computer into the assigned member LAN.
- 4.) Add three static routes to this computer

route 193.29.89.224 255.255.255.240	-> pointing to router for BE half "A" (alternative
subnet naming 193.29.89.224/28)	
route 193.29.95.224 255.255.255.240	-> pointing to router for BE half "B" (alternative
subnet naming 193.29.95.224/28)	
route 193.29.93.173 255.255.255.255	-> pointing to either one of the routers or a virtual
HSRP address.	

5.) Test basic connectivity using telnet on the test computer

telnet 193.29.93.173 80	-> you should get an open port on a webserver
telnet 193.29.89.225 80	-> you should get an open port
telnet 193.29.95.225 80	-> you should get an open port
(use port 8089 instead of port 80 to test	T7 Trader GUI on a dedicated GUI channel on leased
line)	

6.) Verify with the GUI software (only if the telnet tests above were successful) Open URL <u>http://193.29.93.173</u> in a browser on the test computer Click on the "T7 Admin GUI" link for Simulation. -> The T7 GUI Launcher will initiate, download the GUI and start it. Provide a trader ID (ending with "\_GUI") and a password. Make sure you enter letters in the

Provide a trader ID (ending with "\_GUI") and a password. Make sure you enter letters in the password as **uppercase**. Click on "Login".

After a while, reference data will be downloaded and the Welcome Screen of the Admin GUI will open.

If not, verify that the proxy settings for Web browser, Java and T7 GUI are set according to local requirements on the client computer.

If all above works, add HSRP fail-over for 193.29.93.173 if desired. Add NAT'ing device between Member LAN and trading floor network.

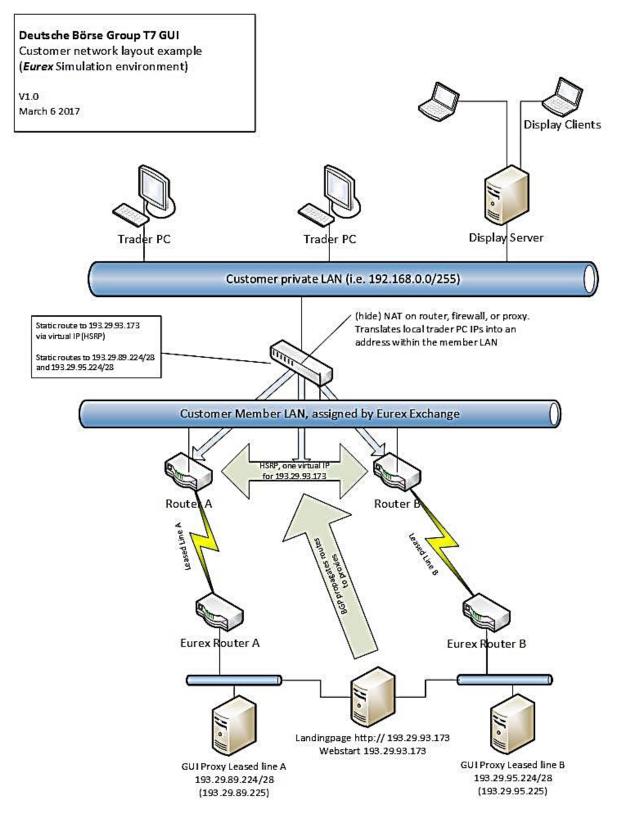
See chapter 4.4 for other markets and for production addresses.

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#### 6.5.2 Example simulation network setup, leased line



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#### 6.5.3 Application test over leased line

Verify that routes to the addresses listed in chapter 4.4.2 are present in your local network, pointing to your leased lines and the resolve the FQDN hostnames listed in chapter 4.4.2 to the IP addresses listed there.

Verify local proxy settings. If a local proxy is present and used by the web browser for accessing the Internet, ensure that an exception for the IP addresses listed for LeasedLine access in chapter 4.4.2 has been created as described in chapter 4.4.4.

Clicking on any of the presented T7 GUI links will trigger the T7 GUI Launcher process. If a local proxy server is present, this should be configured in the T7 GUI. Verify that routes to the IP addresses listed in chapter 4.4.2 are present in your local network, pointing to your leased lines.

The selected T7 GUI will start after the T7 GUI Launcher process completes. In the login screen, open network settings and select leased line.

Clicking on the login button connects the selected T7 GUI with the exchange. If not, verify that routes to the proxy addresses listed in chapter 4.3 are present in your local network, pointing to your leased lines. Note: you need to have routes set to both networks per environment if you use two leased lines for redundancy.

#### 6.6 Bandwidth monitoring

Once connected to the T7 trading architecture, the bandwidth monitor view in the T7 Admin GUI allows online monitoring of bandwidth usage and GUI latency for all users of within a business unit. Use the "Chart" checkbox to create a real time diagram of bandwidth usage per GUI instance:

er en	Eurex Admin - GDBXXITCRBR	_GUI - IT - SIMU	JLATION - Last L	.ogin: 09.10.20	20 08:58:29				×
Application View Action Configuration Help									EX
🗉 🔁 🛧 🗶 i									
New Desk 1 × +					Prof/Prod/Contract	🖍 Edit	* Grp	Trader	6
Bandwidth Monitor							4	) B B I	<u>e</u> x
Alt-Drag Column Header here 🧭 💌						uick Find 🦓	User: 2 Inter	rnet: 0 Leased	dLine:
Con.Type Protocol Chart IP CGateway		Clie	ent OS				IP User	Proxy Set	tings
	ame=Windows Server 2012 R2; os.arch=x86								
.easedLine W5 🛛 📄 10.250.2.174 os.n.	ame=Windows Server 2012 R2; os.arch=x86	; java.runtime.versio	n=1.8.0_171-b11; sur	n.arch.data.model=	32; user.timezone=Eu	rope/Be 010	.250.002.174	2: Direct Con	nectio
▲ ▼									
GDBXXITCRBR_GUI GDBXXITCRBR 09.10.2020	08:58:29								10
									-
Force GUI Shutdown Send Admin Message								<b>5</b>	<u>R</u> eset
andwidth Monitor (1) SUCCESS - 2 rows loaded.					122 / 989 📋	0 kbps 🗸	OnEx 🗸 RD	S 🗸 TES 🗸	νu

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#### 6.7 Related Documents

Documents related to T7 are available on the Eurex website

<u>http://www.eurex.com</u> > Support > Initiatives & Releases > T7 Releases

And on the Xetra website

http://www.xetra.com > Technology > T7 Trading Architecture > System Documentation

The following documents may be of interest for user of the T7 GUIs:

Document	Description
Participant and User Maintenance Manual	<ul> <li>A document assisting service administrators maintaining participant and user related data in Eurex Exchange's T7.</li> </ul>
T7 GUI – Manual	• A document providing a detailed description of the T7 GUI solutions, the T7 Trader GUI, T7 Admin GUI and the T7 Clearer GUI.
T7 Network Access Guide	• A document providing details on the network access options for the various interfaces T7, F7 and Eurex Clearing. It includes detailed technical background information, such as router equipment information and port numbers for the configuration of firewalls.
T7 Disaster Recovery Concept	• A document describing the measures, which need to be taken in the unlikely event of a complete failure of the main T7 data center.
User Manual – Request and Configuration	• A document providing help for the use of the tools available in the Member Section of Deutsche Börse Group. Please note, this document is available in the Member Section.

#### 6.8 Contacts

For any questions, you may have about GUI setup process please contact your Technical Account Manager using your VIP number or e-mail <u>cts@deutsche-boerse.com</u>.

For questions regarding the Member Section please contact the Member Section Team Tel: +49-69-211-1 78 88 or e-mail <u>member.section@deutsche-boerse.com.</u>

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## 7. Change log

The change log serves as a record of the latest major changes.

No	Date	Chapter	Change
1.0	26 July 2012	-	Creation of document
8.0.0	12. August 2019	All	Updated for T7 Release 8.0
		1.3	Landing Pages and Java Web Start (GUI Launcher??) will be offered in parallel for the time being.
		6.3	Description of more detailed GUI error messages (connect/login)
8.1.0	26. March 2020	AI	Updated for T7 Release 8.1
		1.4.1	Replaced Windows 7 with Windows 10 and Windows Server 2012R2 with Windows Server 2016 as supported operating system
8.1.1	27. May 2020	4.4.2, 4.4.3	Added Börse Frankfurt (XFRA) addresses
8.1.2	22. June 2020	4.4.3	Corrected GUI ports for Börse Frankfurt (XFRA)
8.1.3	19. August 2020	4.4.3	Corrected GUI port for Admin GUI Börse Frankfurt (XFRA) Prod over Internet (correct is 82)
9.0.0	20. August 2020	All	Updated for T7 Release 9.0
9.0.1	08. October 2020	All	Updated screenshots for new Eurex branding
9.1.0	09. February 2021	All	Updated for T7 Release 9.1 and eurex.com website refresh
10.0.0	12. July 2021	All	Updated for T7 Release 10.0
10.0.1	07. December 2021	1.2, 3.2.1, 4.4.2, 4.4.3	Removed references to dedicated T7/FX environment
		5.3	Adjusted screenshots and paths to the Member Section update
10.1.0	20. January 2022	All	Updated for Release 10.1
		4.4.2	New T7 GUI Landing Page URLs
		4.4.3	
		4.4.4	