X-pand into the Future

e u r e x *information*

Date: Frankfurt, 30 May, 2001
Recipients: All Eurex Clearing members and vendors
Authorized by: Gregor Althoff

Equity Central Counterparty – CCP Information 18/01

Contact: Functional Member Readiness: Tel. +49-69-2101-1700

Content may be most important for:	**Attachments:**
➲ Equity Central Counterparty Coordinators	1. CCP Information 18/01 and Fax reply (English/Deutsch)

Please find attached a copy of the Equity Central Counterparty information 18/01 sent to Xetra and FWB members and vendors on May 29, 2001.

It contains a further request to provide information on intended clearing status and clearing relationships, and is forwarded to Eurex Clearing members and vendors for completeness.

Eurex Deutschland
D-60485 Frankfurt am Main
www.eurexchange.com

Functional Member Readiness
Tel. +49-69-2101-1700
Fax +49-69-2101-1701

Alternative
Tel. +49-69-9770-1700
Fax +49-69-9770-1701

Management Board:
Rudolf Ferscha, Jürg Spillmann,
Thomas Lenz, Michael Widmer
Daniel Gisler

CCP Information 18/01

Deutsche Börse

To the FWB Members, Xetra® Members and Vendors

Recipients: General, Trading Contacts, Back Office, System Administrator, Project Coordinator

FWB
Frankfurter
Wertpapierbörse

Management

Neue Börsenstraße 1
60487 Frankfurt am Main

Mailing Address
60485 Frankfurt am Main

Telephone
+49(0)69-21 01-16 40
+49(0)69-97 70-16 40

Telefax
+49(0)69-21 01-16 41
+49(0)69-97 70-16 41

Internet
www.deutsche-boerse.com

Central Counterparty – CCP
Selection of a Clearing Member by Non-Clearing Members

May 29, 2001

Dear Sir or Madam,

Associated with the introduction of a Central Counterparty (CCP) for trades in selected securities in the Xetra trading system as well as on the Floor of the Frankfurt Stock Exchange (FWB), we asked you in several CCP Information circulars to give a first estimation of your intended future clearing status or the clearer you selected.

In order to be able to provide optimum support during the further introduction of the CCP and the first simulation phase, we would ask you to use the enclosed fax reply to verify or revise the information you provided, if necessary.

Please fill out the fax reply thoroughly and return it before June 6, 2001 to the fax number stated on the form.

XETRA

Xetra Central Circular Management
Tel.: +49-69-21 01-16 40, Fax: +49-69-21 01-16 41
Tel.: +49-69-97 70-16 40, Fax: +49-69-97 70-16 41
E-Mail: circular_xetra@deutsche-boerse.com, Internet: www.xetra.de

General Managers:

Eward Backes
Dr. Heiko Beck
Rudolf Ferscha
Frank Gerstenschläger
Volker Potthoff

Should you have further questions or need additional information, please do not hesitate to contact the Xetra Change Management Team at

telephone: +49(0)69-21 01-16 40
fax: +49(0)69-21 01-16 41.

Yours faithfully
On behalf of the Management

Enrico Isceri Jens Hachmeister

Enclosure

Faxback
CCP

Please return before **June 6, 2001** to:

Deutsche Börse AG
Xetra Secondary Markets, Marketing & Sales
Xetra Change Management Team Telefax +49 - (0)69 - 21 01- **16 41**

Returned by:

To be filled out only by potential Non-Clearing Members.

Company / Member ID _____ _____

_____

Name _____

Function _____

Telephone _____

Place/Date _____

Please give the following information with regard to the introduction of the Equity Central Counterparty (CCP):

1. You decided to take on the clearing status of a Non-Clearing Member (NCM).
   Did you already select a Clearing Member (GCM/DCM)**?**

   Yes ☐ **No** ☐

   If yes, which institution?
   Name of the potential clearer GCM:

   _____

   Name of the potential clearer DCM:

   _____

   If no:
   Did you already start the process or did you contact potential clearers?

   Yes ☐ **, when are you planning to finish this process ?** _____

   No ☐ **, when are you planning to start this process ?** _____

II. **Who is the coordinator of this project in your company or responsible for the selection of a clearer?**

Name _____

Function _____

Telephone _____

III. **Are the back office and other relevant departments in your company involved in this project?**

Yes ☐ **No** ☐

Contact person: _____

Comments: _____

_____

Thank you for your cooperation

_____
Signature