



Eurex Clearing

Clearing Web GUI Access Guide

Access to Clearing Web GUI Systems

C7 Clearing GUI EurexOTC Clear GUI Prisma Margin Calculator GUI Securities Clearing GUI

Version 3.6

Date

20th May 2022





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1. Introduction

This document describes the required technical measures to be able to login and use the Eurex Clearing GUIs.

- C7 Clearing GUI
- EurexOTC Clear GUI
- Prisma Margin Calculator GUI
- Securities Clearing GUI

1.1 Intended Audience

For a successful setup the Member's IT department should take note of this document.

1.2 Connectivity

Basically, access to the Eurex Clearing GUIs is available via

- Internet
- GUI channel on leased lines

To access the C7 Clearing GUI it is necessary to request access to C7 beforehand.

All details (Technical access / Interfaces to Eurex Clearing / Clearing system usage fees) can be found on the Eurex Clearing webpage: <u>http://www.eurexclearing.com</u> > Tech > Connectivity alternatives

1.3 Enhanced security features

For the Clearing Web GUI a two factor authentication is implemented:

- 1st factor: Certificate authentication used to secure the connection between the Member and Eurex Clearing on a Business Unit level (MemberID level). The certificates are also used to distinguish between the simulation and production environments.
- 2nd factor: Username and password authentication used to login to the Eurex Clearing Web GUI Services (UserID level).

For the C7 Service, a third factor is implemented as well, which is based on network addresses.

1.3.1 Network security

The feature is currently completely configurable and activated only for the C7 Clearing GUI.

For the connection via Internet the Member can choose one of the following options:

- Allow Internet access without restrictions (default)
- Completely disable Internet access
- Allow Internet access for certain IP addresses given by the Member

Internet access: Per default any user of the given Member is permitted to access the application from any IP address. If the Member wants to restrict the default access, a list of IP addresses can be entered in the Member Section. Then it will only be possible to access the

Eurex Clearing	a - Clearing	GUIs

Introduction

application from these explicitly stated IP addresses. Internet access for the given Member can be completely blocked by setting the corresponding radio-button.

Network Configuration User ID Configuration				
Eurex Clearing GUIs Network Configuration				
Internet Access: enabled O disabled				
Network Data				
Add Row Remove Row				
IP Address				
10.131.8.137				
10.239.13.52				
10.239.14.38				

Leased Line access: Eurex Clearing allows access from the Member leased line networks and from any associated Service Provider respectively.

For the connection via leased line the GUI is checking the incoming IP address against the known network information for the corresponding Member.

In case a Member connects through a Service Provider, the IP address is also checked against the known networks of the Service Provider.

This implies that any Service Provider relationship needs to be maintained correctly in the Member Section if the Member is accessing any of the Eurex Clearing GUIs via the network of a Service Provider.

The relationships can be registered via "Technical Connection / Requests & Configuration / New Request / Provider / New Provider / GUI Channel".

1.4 Browser requirements

In order to connect successfully to the Eurex Clearing GUIs and to ensure a smooth service it is recommended to use one of the following web browsers to connect to the GUI:

- Firefox Latest ESR (extended support release). Usage of Firefox is strongly recommended.
- Google Chrome
- Microsoft Edge

The required browser settings are:

- Enable session cookie handling
- Allow JavaScript execution
- Support security protocol TLS 1.2
- IT-Security Patches are highly recommended.

Note: See "Appendix D – Browser setup details" for further information about browser settings.

Note: The network proxy settings must be configured according to the local network rules given at the Member-side.

Eurex	Clearing	 Clearing 	GUIs

Ordering Certificates

2. Ordering Certificates

On the Member Section, go to Technical Connection / Requests & Configuration / New Request / New Connection:

				My Profile
ECurex clearing	Technical Connection	Admissions & User IDs	Invoices & Fee Reports	Risk & Collater Management
Technical Connection Membership Incident Tickets Requests & Configuration	overview New New req	v Request (Request to	Basket Request Ov	erview Current Cont
Connection Monitors	New Connection	New Session Change	Session Cancel Sess	ion Reset Connectivity
Roundtrip Statistics Values	Location/Selection	on: Please select		▼ Search
TCP/TP Poundtrip	Installations			Components

Choose option "Certificates" and click on "Next Step":

Variant
 Consolidated connections Consolidated connections are available with different bandwidths. This bandwidth can be partitioned for different service Broadcast Solution and Enhanced Transaction Solution).
 Dedicated Leased Line Connections Dedicated Leased Line Connections are not partitioned, but consist of only one bandwidth. Multi-Interface channels are also dedicated leased lines. All Eurex Multi-Interface channels and GUI channels can be ordered here.
O 10 GBit/s cross-connects in co-location Dedicated cross-connects for Enhanced Broadcast Solution, Enhanced Transaction Solution, Eurex Market Data and E
Certificates Internet- and leased line based access to Deutsche Börse Group's trading and clearing systems from any location by m web browser using display technology.
Open Internet
Back Cancel Save Next step

Choose option "Clearing GUI" and click on "Next Step":

Variant	
Clearing GUI Order access to the C7 Derivatives Clearing GUI, the Eurex OTC Clear GUI, the Margin Calculator GUI and Securities Clearing GUI	
O Web Trading	
	rrent

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Ordering Certificates

Select the contact, MemberID, and environment and then click on "Next":

Select Clearing GUI Certificate Contact
Name: Phone:
Email:
Reset contact information Select contact
Select member ID
Member ID:
Select environment
Note: If you click both environments, you will get a separate certificate for each environment.
Market/Service Member IC Production Simulation Eurex Clearing GUI I I Notice: Clearing GUI Certificates will expire after two years.
Back Cancel Save Next Current Configuration

Check the overview and click on "Add to request basket". Please note that this creates only a "draft request". Your request will still need to be approved by someone with approval rights. All Central Coordinators automatically have this right. It can also be assigned to other Member Section users.

Once the certificate has been created by Eurex Clearing, an e-mail notification will be sent. The e-mail will include the password for the certificate, which is needed when you upload the certificate into your user's browser.

On the Member Section, go to Technical Connection / Requests & Configuration / Current Configuration and select "GUI Certificates" from the drop down menu in the Location/Selection area, to view the Eurex Clearing GUI certificate(s):

ECeurex clearing	Technical Connection	Admissions & User IDs	Invoices & Fee Reports	Risk & Collateral Management	Technology	Clearing Resources
Technical Connection Membership Tacidont Tickote Requests & Configuration	Overview New	Request Request B	asket Request Ove	Current Configur	ation	Support Box
Connection Monitors	Location/Selection	n: GUI Certificates		Search		
Values Roundtrip Statistics TCP/IP Roundtrip	• 🍓 GUI Certifi • 😿 Eurex Cle	icates aring GUI		• 😹 CERTIFICA	TE: 1064859-PAKFR_	CLEARPROD_001

In order to find a particular certificate, please click on the actual certificate name in the right-side window. You will then find the "Download" button for the certificate, just like in the screenshot below:

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Ordering Certificates

Location/Selection: GUI	Certificates		•	Search	
• ∰ GUI Certificates • ፼ Eurex Clearing GUI			• Sertificate:	1064859-PAKFR_CLEARPROD_001	
Reset Session Password					
Details Clearing GUI					
Create incident ticket					
User ID:					
Certificate Type:	Clearing G	UI	1	/alid to:	26.09.2018
Environment:	EUREX Pr	oduction	1	lember ID: PAKFR	
Please note that the validity expiration of a certificate does not automatically affect the validity of an account. The invoicing for accounts continues to be performed according to the current price list.:					
Certificate					
Date of Upload		Name of Certifica	te		
26.09.2016		!			Download
LSM					
Connection Type		Market		Member ID	Line ID (Billing ID)
Certificate		Eurex Clearing GUI		PAKFR	170332

Download and save the certificate at a secure location.

Upload the certificate into the browser of the GUI user. For example, if you are using Internet Explorer, you would go to Settings / Internet options / Content / Certificates / Import. Please note that you will be prompted to enter the password that you have received in the beforementioned e-mail.

Once you have uploaded the certificate into the browser, you can log into the respective GUI.

3. Appendix A – URL overview

Service	Internet	Leased Line
C7 Clearing GUI Simulation	https://simulation.eurexclearing.com: 9443/C7_GUI/	https://simulation.vpn.eurexclearing.com: 9443/C7_GUI/
C7 Clearing GUI Production	https://production.eurexclearing.com: 8443/C7_GUI/	https://production.vpn.eurexclearing.com: 8443/C7_GUI/
EurexOTC Clear GUI	https://simulation.eurexclearing.com:	https://simulation.vpn.eurexclearing.com:
Simulation	9443/OTC_GUI/	9443/OTC_GUI/
EurexOTC Clear GUI	https://production.eurexclearing.com:	https://production.vpn.eurexclearing.com:
Production	8443/OTC_GUI/	8443/OTC_GUI/
Prisma Margin Calculator	https://simulation.eurexclearing.com:	https://simulation.vpn.eurexclearing.com:
GUI Simulation	9443/Margin_Calculator/	9443/Margin_Calculator/
Prisma Margin Calculator	https://production.eurexclearing.com:	https://production.vpn.eurexclearing.com:
GUI Production	8443/Margin_Calculator/	8443/Margin_Calculator/
Securities Clearing GUI	https://simulation.eurexclearing.com:	https://simulation.vpn.eurexclearing.com:
Simulation	9443/LOGIN_GUI/	9443/LOGIN_GUI/
Securities Clearing GUI	https://production.eurexclearing.com:	https://production.vpn.eurexclearing.com:
Production	8443/LOGIN_GUI/	8443/LOGIN_GUI/

Note: IP-addresses

- Production/Leased Line access IP-address 193.29.93.171
- Simulation/Leased Line access IP-address 193.29.93.172
- Production/Internet Line access IP-address 193.29.90.161
- Simulation/Internet Line access IP-address 193.29.90.162

More details about the networks and the IP-addresses is to be found in "Eurex Exchange and Eurex Clearing Network Access Manual". The corresponding manual for C7 can be found at www.eurexclearing.com \rightarrow Tech \rightarrow C7 \rightarrow System documentation.

Appendix B – Support information

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4. Appendix B – Support information

Member Section

Technical support is available under: Phone: +49 (0) 69- 21 1 –1 78 88 E-Mail: <u>member.section@eurex.com</u>

Customer Technical Support

Support for all technical issues is available under: Phone: +49 (0) 69- 21 1 – <TKAM VIP Number per Client> Fax: +49 (0) 69- 21 1 11201 E-Mail: <u>cts@deutsche-boerse.com</u>

C7 Clearing GUI

For C7 Clearing GUI related questions a hotline is available under: Phone: +49(0)69-211-1 12 50 Fax: +49 (0) 69-211- 1 43 34 E-Mail: <u>clearing@eurex.com</u>

EurexOTC Clear GUI

For general OTC IRS related questions the EurexOTC Clear Hotline is available under: Phone: +49(0)69-211-1 28 28 Fax: +49 (0) 69-211-61 28 28 E-Mail: <u>OTCClear@eurex.com</u>

For General Simulation related questions the OTCClear Simulation Hotline is available. OTCClear Hotline (Simulation) Service times: 8:00 - 18:00 CET (Monday - Friday). Phone: +49(0)69-211-1 25 25 Fax: +49 (0) 69-211-61 25 25 E-Mail: <u>OTCClear.simulation@eurex.com</u> Appendix B – Support information

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Prisma Margin Calculator GUI

For general Margin Calculator related questions the EurexOTC Clear Hotline is available.

EurexOTC Clear Hotline (**Production**)

Service times: 08:00 - 22:00 CET (Monday – Friday)

Phone: +49 (0) 69-211-1 28 28

Fax: +49 (0) 69-211-61 28 28

E-Mail: OTCClear@eurex.com

For General Simulation related questions the OTCClear Simulation Hotline is available.

OTCClear Hotline (Simulation) Service times: 8:00 - 18:00 CET (Monday - Friday).

Phone: +49 (0) 69-211-1 25 25

Fax: +49 (0) 69-211-61 25 25

E-Mail: OTCClear.simulation@eurex.com

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zurex	Cleaning -	Cleaning	GUIS

5. Appendix D – Browser setup details

5.1 Microsoft Edge

Enable session cookie handling:

- 1. Open the browser settings
- 2. Go to the Cookies and site permissions section
- 3. Click on **Manage and delete cookies and site data** You can also go there directly via this URL: edge://settings/content/cookies
- 4. Activate the option to Allow sites to save and read cookie data (recommended)

■ 123 Settings × +	🗖 🕸 Settings 🛛 🗙 +			
\leftarrow \rightarrow C $\widehat{\alpha}$ Edge edge://s	ettings/content/cookies			
Settings	Cookies and data stored / Cookies and site data			
Q Search settings	Allow sites to save and read cookie data (recommended)			
Profiles				
Privacy, search, and services	Block third-party cookies)		
Appearance	When on, sites can't use cookies that track you across the web. Heatures on some sites may break.			
🔄 Start, home, and new tabs	Preload pages for faster browsing and searching	2		
🖄 Share, copy and paste	Uses cookies to remember your preferences, even if you don't visit those pages			
Cookies and site permissions	See all cookies and site data	>		
Default browser		\equiv		
↓ Downloads	Block Add			
答 Family safety	You've prevented the following sites from saving and reading cookies on your device.			
A ^t Languages	No sites added			
Printers				
□ System	Allow	וה		
C Reset settings	The following sites can save cookies on your device. To prevent these cookies from being cleared on exit, go to Clear browsing data on	- 1		
Phone and other devices		-		
8 Accessibility	No sites added			
About Microsoft Edge		_		

Allow JavaScript execution

- 1. Open the browser settings
- 2. Go to the Cookies and site permissions section
- 3. Under **Site Permissions** select **JavaScript** You can also go there directly via this URL: edge://settings/content/javascript

🗖 🕄 Settings X +			
← → C A edge edge://settings/content/javascript			
Settings	Site permissions / JavaScript		
Search settings Profiles	Allowed (recommended)		
Privacy, search, and services Appearance	Block	Add	
Start, home, and new tabs	No sites added		
Gookies and site permissions	Allow	Add	
G Default browser ↓ Downloads	No sites added		
答 Family safety			

Note: To allow JavaScript for the Eurex Clearing GUIs only and to leave it disabled for other sites, add eurexclearing.com to the Allowed sites.

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Support security protocol TLS 1.2

The below settings can be set as follows.

- 1. In the Windows start menu search box, search for Internet options and open it
- 2. On the Advanced tab, scroll down to the Security section
- 3. Check the Use TLS 1.2 checkbox

Internet Options	?	×			
General Security Privacy Content Connections Programs	Adv	anced			
Settings		-			
 Enable Integrated Windows Authentication* Enable native XMLHTTP support Enable SmartScreen Filter Enable Strict P3P Validation* Send Do Not Track requests to sites you visit in Internet E Use SSL 2.0 Use SSL 3.0 Use TLS 1.0 Use TLS 1.1 Use TLS 1.2 Warn if changing between secure and not secure mode Warn if POST submittal is redirected to a zone that does n 					
*Takes effect after you restart your computer					
Reset Internet Explorer settings Resets Internet Explorer's settings to their default condition. You should only use this if your browser is in an unusable state.					
Some <u>settings</u> are managed by your system administrator.					
OK Cancel	A	oply			

- 4. Click OK
- 5. Restart the browser

5.2 Firefox

Enable session cookie handling

Cookies are enabled by default and only restricted if this is explicitly entered for a particular site. Please make sure that eurexclearing.com is not listed for blocking cookies.

Allow JavaScript execution

JavaScript execution is enabled by default. It can be checked by entering "about:config" directly into address bar in the Firefox browser as shown below.

Advanced Preferences	× +	-		×
\leftarrow \rightarrow C \textcircled{a}	Sirefox about:config	☆	\bigtriangledown	≡
Q javascript.enabled		Show only modified	prefere	ences
javascript.enabled	true		⇒	

Then you can search for the JavaScript setting by filtering for "javascript.enabled". This setting should be "true". In case it's not, it can be toggled via the button with the arrows.

Support security protocol TLS 1.2

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In current Firefox versions TLS protocols are used by default.

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5.3 Google Chrome

Enable session cookie handling

Cookies are usually enabled by default in Google Chrome. This can be checked by entering "chrome://settings/cookies" directly into the browser as shown below.



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Allow JavaScript execution

JavaScript is usually enabled by default in Google Chrome. This can be checked by entering "chrome://settings/content/javascript" directly into the browser as shown below.

Settings - JavaScript × +				
$\epsilon \rightarrow C \Delta$ (Chrome chrome://set	\leftarrow \rightarrow C \triangle (S) Chrome chrome://settings/content/javascript \triangle			
Settings	Q Search settings			
You and Google	← JavaScript Q Search			
â Autofill	Sites usually use Javascript to display interactive features, like video games or web forms			
Privacy and security	Default behavior			
Appearance	Sites automatically follow this setting when you visit them			
Q Search engine	● 〈〉 Sites can use Javascript			
Default browser	O 🗞 Don't allow sites to use Javascript			
ப் On startup	Customized behaviors			
Advanced 👻	Sites listed below follow a custom setting instead of the default			
	Not allowed to use Javascript	Add		
🚖 Extensions 🔀	No sites added			
Some About Chrome				
	Allowed to use Javascript	Add		
	No sites added			

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Support security protocol TLS 1.2

The below settings can be set as follows.

- 1. In the Windows start menu search box, search for Internet options and open it
- 2. On the Advanced tab, scroll down to the Security section
- 3. Check the Use TLS 1.2 checkbox

Internet Options	?	×			
General Security Privacy Content Connections Programs	Adv	anced			
Settings		-			
 Enable Integrated Windows Authentication* Enable native XMLHTTP support Enable SmartScreen Filter Enable Strict P3P Validation* Send Do Not Track requests to sites you visit in Internet E Use SSL 2.0 Use SSL 3.0 Use TLS 1.0 Use TLS 1.1 Use TLS 1.2 Warn if changing between secure and not secure mode Warn if POST submittal is redirected to a zone that does n 					
*Takes effect after you restart your computer					
Reset Internet Explorer settings Resets Internet Explorer's settings to their default condition. You should only use this if your browser is in an unusable state.					
Some <u>settings</u> are managed by your system administrator.					
OK Cancel	A	oply			

- 4. Click OK
- 5. Restart the browser

6. Change log

Major changes applied to this document after the last version has been published.

Version	Date	Change
3.2	21.03.2016	IP Address web application
3.3	26.06.2017	C7 release 4.0 update
3.4	28.05.2019	Updated list of browsers
3.5	09.02.2021	Updated document paths on the Eurex website and supported TLS version
3.6	20.05.2022	Updated descriptions in browser setup details. Replaced MS Internet Explorer with Edge in supported browsers.