

j1 Part: General Provisions

2 Part: General Trading Rules

2.1 ...

2.2 ...

2.3 Cross Trades and Pre-arranged Trades

(1) Orders and quotes relating to the same contract or to a system-supported combination of contracts may, in case they could immediately be executed against each other, neither be entered knowingly by an Exchange Participant (a cross trade) nor pursuant to a prior understanding by two different Exchange Participants (a pre-arranged trade), unless the conditions according to paragraph ~~(2)~~3 have been fulfilled. The same shall apply for the entry of orders as part of a quote.

(2) An Exchange Participant may submit a description of his internal and external links to the EDP system of the Eurex Exchanges to the Market Surveillance Office of Eurex Germany or to the Surveillance and Enforcement Office of Eurex Zürich, with a view to a decision on whether the Exchange Participant acted knowingly within the meaning of paragraph 1. The details of the specifications of the description of the IT linkage pursuant to sentence 1 shall be determined by the Surveillance Offices of Eurex Germany and Eurex Zürich in agreement with the Boards of Management of the Eurex Exchanges. The specifications shall be subject to publication. Disclosure of said specifications to one of the two Surveillance Offices named above shall be deemed to be disclosure to both Eurex Surveillance Offices.

~~(2)~~(3) A cross trade or a pre-arranged trade is admissible if the purchaser/ a participant in a cross-trade or a pre-arranged trade, prior to entering his order or quote, enters a cross request. The purchasing Exchange Participant shall bear responsibility for the compliance of the entry of the cross request. The purchasing participant and the selling participant must enter the order or quote giving rise to the cross trade or pre-arranged trade five seconds at the earliest and 65 seconds at the latest after having entered the cross request. The order or quote hereby must refer to at least a minimum size of contracts. ...

~~(3)~~(4) Paragraphs (1) and ~~(2)~~3 shall not apply to transactions consummated during the netting process in the opening period (subsection 1.3 paragraph (2)).

(5) Paragraph 1 shall apply mutatis mutandis to other behaviour constituting evasion of this regulation.

2.4 ...

2.5 ...

2.6 **Binding Nature of Transactions / Erroneous Entries**

(1) ...

(2) ...

(3) ...

(4) All entries into the EDP system of the Eurex Exchanges which occur via other entry systems and / or EDP systems, especially order routing systems, shall be considered to be those of the Exchange Participant concerned.

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