X-pand into the Future

e u r e x *circular 073/01*

Date: Frankfurt, 25 July, 2001
Recipients: All Eurex members and vendors
Authorized by: Rudolf Ferscha

⚠ **Action Required** ⚠ **High Priority**

Mail Receipt Authority

Contact: Jens Meyer (Legal Affairs and Membership): Tel +49 69-2101-7360
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Content may be most important for:	**Attachments:**
➲ Front Office / Trading ➲ Middle + Back Office ➲ Auditing / Security Coordination	Mail Receipt Authority form

We would like to remind Exchange Participants whose institutions or branches are located outside the Federal Republic of Germany or Switzerland, of the need to provide a Mail Receipt Authority.

The submission of a Mail Receipt Authority is evidence of the appointment, by such Participants, of an agent for service of process in the Federal Republic of Germany, required as a condition of admission by section 3.9 of the Exchange Rules for Eurex Deutschland and Eurex Zürich (Eurex Exchange Rules).

This ensures that any service of process from the Exchange Bodies of the Eurex Exchanges, the administrating and operating institutions, or the Supervisory Authorities can be effected, through an agent for service of process, upon Participants whose institutions or branches are located outside the Federal Republic of German or Switzerland, or upon individuals who work for such Participants.

In addition, each institution must ensure that each affected individual, particularly any exchange trader acting on its behalf, has agreed that a corresponding authorization for service of process is granted in their name.

An authorization to accept or receive mail can be granted to any physical or legal person in the Federal Republic of Germany.

In order to satisfy the Eurex Exchange Rules' obligation to provide evidence of compliance with the prerequisites for admission, submission of a duly completed Mail Receipt Authority is the responsibility of the member.

The same is true for the obligation to inform the Eurex Management Board, immediately (i.e. without culpable delay) and in writing, of changes of a factual or legal nature which could lead to the prerequisites for admission ceasing to be met. Therefore, Exchange Participants must make sure that changes relating to the Mail Receipt Authority are communicated to the Eurex Exchanges.

Against that background we are requesting all Exchange Participants whose institutions or branches are located outside the Federal Republic of Germany or Switzerland, to check the current validity of any Mail Receipt Authority submitted to us. Where the authorized mail recipient has changed, please complete and return the attached form to provide us with a new Mail Receipt Authority for our records.

Mail Authority

Eurex
Membership-Unit

D-60485 Frankfurt am Main

Principal

Name of Principal (Exchange Participant)

Address

Name of contact

Phone No. Fax No.

Authorization to Accept or Receive Mail

Herewith, the person / company (must be an address in Germany) named below (agent)

Name

Address

shall be authorized to accept or receive determinate mail (including mail of the Exchange Supervisory Authority and mail to be personally delivered) addressed to our company or any person admitted as an exchange trader on behalf of our company within the framework of the exchange membership at the Eurex Exchanges. The exchange traders acting on behalf of our company duly agreed to this conferring of the authority.

Place and Date Signature of Principal

Note: Confirmation of principal's signature either by
I. submission of a list of authorized signatures; or
II. confirmation by the correspondent bank (General Clearer);

Confirmation of receipt of authority from the above mentioned person/company

We have been informed of the conferring of authority mentioned above, and agree to be the agent:

Place and Date Signature of the agent