eurex*bonds* circular 29/02

Recipients: Eurex Bonds Members and Vendors
Date: August 2, 2002
Authorized by: Christian Klapproth

Content may be most appropriate for System Administrators, Security Administrators, Trading Contacts and Back-Office Staff

⚠ Action Required **⚠ High Priority**

Eurex Bonds procedures for Xetra ® Release 7.0 production launch on August 19, 2002

Dear Sir or Madam,

On August 19, 2002 Xetra Release 7.0 will be introduced, which has an impact on Eurex Bonds as well.
In preparation of the upcoming production start of the new Xetra Release, we would like to inform you about the following necessary activities prior to and during the Xetra Release 7.0 introduction:

- Distribution of the Xetra Release 7.0 and GATE 3.1 production kits
- Installation of the Xetra Release 7.0 and GATE 3.1 production kits
- Important information regarding the migration from Xetra Release 6.0/6.5 to Xetra Release 7.0
- Time schedule prior to the release start
- Fallback procedure

Distribution of the Xetra Release 7.0 and GATE 3.1 production kits

Starting the evening of August 09, 2002, we will distribute both the Xetra Release 7.0 and the GATE 3.1 production kits via file transfer over the Xetra network to the report nodes. Forwarding the production kits to further MISSes and workstations lies within the responsibility of the Members.

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The transfer directories are as follows:

SUN: /opt/gate/transferdir/release51/sun/
Windows: <ftp-root directory>\release51\win

Please refer to the 'Installation Notes (readme file)' for the file names. The 'Installation Notes' will be sent together with the Xetra Release 7.0 production kit.

We would ask you to observe the following points in order to ensure a smooth production kit distribution:

To enable the storing of the production kits, please make sure that at least 90 MB storage space are available in the transfer directory of the MISSes in your production environment.

Deutsche Börse will monitor the successful distribution of the production kit. Should transmission problems occur, a second distribution would be launched automatically on the following day.

Therefore, please ensure that the MISSes of your production environment will be up and running without interruption from Friday evening, August 09, 2002 until Wednesday morning, August 14, 2002 to enable the data transfer. Please also make sure that the read and write permissions for files and folders are set correctly and that the Xetra Front End architecture and the applications of your production environment are closed down in the evening, as usual.

In addition, the production kits for Xetra Release 7.0 will be posted on the Eurex Bonds website www.eurex-bonds.com, from where they may be downloaded. On the Eurex Bonds website, Members should follow the links *Members Only/ Software/ Production.*

Installation of the Xetra Release 7.0 and GATE 3.1 production kits

Installation of the Xetra Release 7.0 and GATE 3.1 production software is mandatory for all Members, since trading on Xetra will be possible exclusively with Xetra Release 7.0. In order to ensure a smooth release start, we would ask you to perform the necessary activities in due time. The last trading day of Xetra Release 6.5 will finish on Friday, August 16, 2002, at around 08:30pm CET, after the post-trading period. Installation of the Xetra Release 7.0 and GATE 3.1 production kits or the @Xetra Release 7.0 production kit must not be performed before the end of the batch run and the dispatch of reports on the last trading day.

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The installation of the GATE 3.1 production kit on all MISSes and workstations prior to the installation of the Xetra Release 7.0 production kit is mandatory. @Xetra Members do not need to install the GATE 3.1 production kit.

Please note that after the introduction of Xetra Release 7.0 and GATE 3.1, Deutsche Börse AG will only support the operating systems Microsoft Windows 2000 (Service Pack 2), Windows XP Professional (workstations only) and Sun Solaris (hardware revision 10/00, 04/01 or higher). As well, please be aware that from October 28, 2002, hardware revision 02/02 will become the minimum requirement for Sun Solaris (see circular 123/02). With the start of Xetra Release 7.0, Deutsche Börse will discontinue the support of Windows NT for Xetra.

Please refer to the 'Front End Installation Guide Xetra Release 7.0' and/or the 'Front End Installation Guide GATE 3.1', respectively, for detailed information. These documents were published on June 7, 2002.

Important information regarding the migration from Xetra Release 6.0/6.5 to Xetra Release 7.0

Migration to Xetra Release 7.0 is possible from both Xetra Release 6.5 and Xetra Release 6.0. The GATE 3.1 production kit supports the migration from GATE 3.0 to GATE 3.1, as well as the direct migration from GATE 2.1 to GATE 3.1.

To those Members, who intend to carry out an operating system upgrade together with the installation of the production software, we strongly recommend performing a 'full backup' of the installed release software including the operating system prior to installation. This allows a quick migration back to the previous status, should an emergency occur. Please make yourself familiar with all installation procedures in good time, and allow enough time for the installations.

Please bear in mind that GATE 3.1 exclusively supports IP Multicast, which requires that all MISSes/workstation LANs support IP Multicast prior to the introduction of Xetra Release 7.0.

Those Members, who will install the Xetra J-Trader for the first time under Xetra Release 7.0, have to re-enter the trader profiles and settings in the Xetra J-Trader after installation of Xetra Release 7.0 production kit, since the trader profiles are used for both the Ticker and the Overview windows.

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Time schedule prior to the release start

Saturday, August 17, 2002 – connectivity test

A connectivity test for Members is scheduled for Saturday, August 17, 2002, between 3:00pm and 07:00pm CET.

The Deutsche Börse Technical Helpdesk Xetra will be available between 3:00pm and 7:00pm CET to answer your questions regarding kit installation and connectivity testing at
Telephone: +49-69-21 01-84 00
Fax: +49-69-21 01-84 01.

Monday, August 19, 2002 – production start

On Monday, August 19, 2002, the Xetra Backend will be started at 6:00am CET. The Eurex Bonds trading day with Xetra Release 7.0 will start on Monday, August 19, 2002, at 8:30am CET, as usual. Trading parameters will not be modified at the production start of Xetra Release 7.0.

Access to historical trades in the Back Office Information Window and the inquiry of Xetra Release 6.0/6.5 reports is not possible, due to the release introduction.

Fallback Procedure

In the unlikely event of trading not being possible with Xetra Release 7.0 after its introduction on August 19, 2002, preparations have been made to continue electronic trading with Xetra Release 6.0/6.5. Should such fallback become necessary intra-day on August 19, 2002, we will inform your central Eurex Bonds coordinator and provide further information. In case you define designated emergency contacts please name them in written form to Eurex Bonds until Friday, August 16, 2002.

For this purpose, please ensure that your central Eurex Bonds coordinator or emergency contacts will be available on Monday, August 19, 2002 between 8:00am and 8:30pm CET. Please also make sure that your emergency contacts will be available on Saturday, August 17, 2002 between 3:00pm and 8:30pm CET and, if necessary, on Sunday, August 18, 2002 between 9:00am and 2:30pm CET, in case a fallback will become necessary already during the connection weekend.

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The relevant Member impact in the event of a fallback is described below:

- The Members will be able to switch back to Xetra Release 6.0/6.5, without installing additional software. The necessary activities are described in detail in the Installation Notes, which will be distributed together with the Xetra Release 7.0 production kit.
  The same information can also be found in the 'Front End Installation Guide Xetra Release 7.0' document. No activity needs to be performed if the Xetra Release 7.0 production kit was not yet installed.

- Members, who performed an operating system upgrade together with the installation of Xetra Release 7.0, are requested to install their 'full backup'.

- The settings of the last trading day (August 16, 2002) of the Xetra Release 6.0/6.5 production will be restored. Therefore, modifications performed by Members in Xetra Release 7.0 production regarding, for example, access authorizations or the definition of window settings, have to be re-entered after the re-opening of Xetra Release 6.0/6.5.

- Trades already generated in Eurex Bonds using Xetra Release 7.0 are transferred to Eurex Clearing AG for clearing and settlement purposes but are not reported in Xetra reports (standard and raw data) due to the necessary fallback. Those trades are reported in the reports provided by Eurex Clearing AG.

Please note that only Members who were already admitted to and set up for trading in Xetra Release 6.0/6.5 will be able to trade directly after the fallback.

Important information relevant for trading is displayed on the Xetra Newsboard, from where it can be retrieved.

Should you have technical questions, please call the Technical Helpdesk at +49(0)69-21 01-84 00. For functional questions, please call the Eurex Bonds Market Supervision at +49(0)69-21 01-38 90.

We are looking forward to your successful participation in Eurex Bonds with Xetra Release 7.0.

Kind regards,
Eurex Bonds

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