e u r e x *circular 98/99*

To: All Eurex members and vendors

Date: Frankfurt, 29th September 1999

Year 2000 Project: Information and Questionnaire on Year Change

Dear Sir or Madam,

This circular is to inform Eurex Central Coordinators of the communication issued recently by the Year 2000 project of the Deutsche Boerse Group to all members' Year 2000 Coordinators.

Attached you will find a description of the planning of the Year 2000 project team regarding the year change. Following a description of the test activities over the millennium weekend you will find a six-page Fax reply questionnaire. Please agree the issues of the questionnaire with your Year 2000 Coordinator if applicable, and return the Fax reply to the Year 2000 project team (Fax: +49 (0)69-2101-3301). Please note that if your Year 2000 Coordinator has already returned the questionnaire, there is no need to send another.

If you have any questions, you may contact the "Year 2000 Coordination Center" at Tel. +49 (0)69-2101-3300, or the Eurex Member Readiness Team at Tel. +49 (0)69-2101-1700.

Yours sincerely,

Jörg Franke

Karsten Sommer

Attachments

Eurex Deutschland
Börsenplatz 7 - 11
D-60313 Frankfurt am Main
www.eurexchange.com

Eurex Member Readiness
Tel. +49 (0)69-2101-1700
Fax +49 (0)69-2101-1701

Alternative
Tel. +49 (0)69-9770-1700
Fax +49 (0)69-9770-1701

Executive Board:
Dr. Jörg Franke, Otto E. Nägeli
Andreas Preuß, Jürg Spillmann

Deutsche Börse

Deutsche Börse AG

Year 2000 Coordination Ce

Börsenplatz 7-11
60313 Frankfurt am Main

Postal mailing address
60284 Frankfurt am Main

Phone
+49-(0)69-2101 3300
+49-(0)69-9770 3300

Fax
+49-(0)69-2101 3301

Internet
http://www.y2k..exchange.c

Deutsche Börse Group / Eurex Date Change September 20, 1999

Ladies and Gentlemen,

In preparation for the year end transition, please find attached the detailed schedule of the Deutsche Börse Group / Eurex. It is designed to provide you with an overview of the planned test activities at the millennium weekend. We also attach a questionnaire, which needs to be filled out by yourselves, to ensure a flawless communication at the millennium weekend.

The test activities on the millennium weekend are essential to determine the technical capability of Deutsche Börse Group / Eurex and our business partners in the year 2000. This will be the first opportunity to test the availability of the clearing and trading platforms under real Year 2000 date-change conditions. Therefore, we urgently request that you plan in the necessary personnel capacity for this weekend and take an active part in the tests.

We also ask that you check our information against your own planning schedule for the millennium transition and return the completed questionnaire to us by **Thursday, 09/30/99**.

Sincerely,

Wolfgang Leiendecker

Enclosures

Chairman of the
Supervisory Board:
Dr.Rolf -E.Breuer

Executive Board:
Dr.Werner G.Seifert
(CEO)
Dr.Reto Francioni
(Deputy CEO)
Jürgen Blitz
Dr.Jörg Franke
Dr.-Ing. Michael Kuhn
Christoph Lammersdorf

Aktiengesellschaft
mit Sitz in
Frankfurt am Main
HRB Nr. 32232
Amtsgericht
Frankfurt am Main

Appendix 1:
Planning Schedule of the Deutsche Börse Group/Eurex for Connection Tests on January 1 and January 2, 2000

Here are details of the planning schedule that was sent to you with our letter dated July 19, 1999.

1. Connection Tests

General

Before starting any tests with the Deutsche Börse Group / Eurex as of 9:00 a.m. on January 1, 2000, please check the long-distance network connection between our firms.
If you cannot establish a connection with the mainframes of Deutsche Börse Group / Eurex, please advise us immediately. Our Section Networks will be available from 1:00 a.m. to 8:00 a.m. on January 1, 2000 to take your calls directly by phone at +49(0)69/2101-7000 and/or +49(0)69/9770-7000.

Clearing Systems

To check the file transfer function, please send us a CASCADE/LIMA data carrier between 9:00 a.m. and 6:00 p.m. on January 1 or January 2, 2000. This data carrier should not contain any real data, the leader and trailer record are sufficient. This data carrier will not be processed. If you normally receive a reply file from us as a routine matter, this will also be delivered to you. In addition, you also have the possibility to verify the exchange of information online via the KVNI transaction.

Xetra® and Eurex® Trading Systems

Starting at 1:00 a.m. on January 1, 2000, the participant connections to the Xetra® and Eurex® trading systems will be tested by our Section Networks. Your network routers will have to be switched on for this and one employee from your institution should be available to answer questions and take care of problems.
If you have any problems, please call the number above.

2. Log-On Test

General
To test the availability of your systems, we kindly request that you conduct a log-on test. This will enable only data queries in all systems – it will not be possible to enter to change any data.
As of 9:00 a.m. on January 1, 2000, you will be able to log on to all systems. In the clearing and floor-trading systems, you have a selection of query transactions available, which are listed below.
In the Xetra® and Eurex® trading systems, certain resources will be assigned to you in the "Start" system mode. A description of these resources is listed below, a detailed list of these resources will be delivered to you in a separate letter.

Clearing Systems
For the test, please build up a session as usual. The following query transactions will be available:
CASCADE: KVAI, KVEI, KVDI, KVLI, KVNI, KVGI, KVBA, KVDU
OLGA: AKPD, AKSD, AKRD, ODDI, ODAN, OKAN
KUSTA: KAST

Floor-Trading Systems
For the test, please build up a session as usual. The following query transactions will be available:
BOSS/BOEGA: BCHI, BCMA, BCAG, BCIN
EK-neu, TRICE: BCEK, TRIN

Xetra® and Eurex® Trading Systems
For the test, please start the applications as usual. The system will be open into “START” mode. Public broadcast messages will be made available. Queries can be made on the system in line with the assigned resources.
As soon as the trading systems are in “START” mode, queries regarding orders, transactions, postitions (only Eurex) can be made in addition to the default queries. This system mode ends on January 3 with the begin of the pretrading phase (Xetra 06:00 o’clock, Eurex 07:00 o’clock).
Please make sure that the persons who are to run the log on test have the necessary user accounts.

3. General Availability of the Systems and Availability of the Deutsche Börse Group/ Eurex

In addition to the tests mentioned, the clearing and floor-trading systems will be available to you from 9:00 a.m. to 6:00 p.m. on both January 1 and January 2, 2000, as you were advised in the this circular.
The Xetra® and Eurex® systems will be open for query purposes from 9:00 a.m. on January 1, 2000 to 6:00 a.m. on January 3, 2000.

If you have any questions about the details of the connection test, please contact

Year 2000 Communication Center of the Deutsche Börse Group

Phone: +49-(0)69-21 01-33 00
+49-(0)69-97 70-33 00

Fax: +49-(0)69-21 01-33 01
+49-(0)69-97 70-33 01

Enclosure 2:
Questionnaire for the Connection Tests on January 1 and January 2, 2000 with Deutsche Börse Group/Eurex

Please complete the attached questionnaire and send it back to us at the indicated address.

Fax-Antwort
Fax Reply

Deutsche Börse

Gruppe Deutsche Börse / Eurex - Fragebogen Jahresende / Questionnaire Millenium Weekend

Telefon/*Phone*: **+49–(0)69–2101–3300 oder 9770-3300**
Telefax/*Fax*: **+49–(0)69–2101–3301 oder 9770-3301**
Email: **Year2000@exchange.de**

Gruppe Deutsche Börse / Eurex

Erreichbarkeit am Milleniumwochenende / Millenium Weekend Availability

Unternehmen
Company: _____

Adresse
Address: _____

_____

Bitte **senden Sie den ausgefüllten Fragebogen zurück an unser ...**
*Please **send back the completed questionnaire to our ...***

Year 2000 Coordination Center:

Fax: +49 - 69 - 2101 – 3301
Fax: +49 - 69 - 9770 – 3301

Email: YEAR2000@EXCHANGE.DE

Post: Deutsche Börse AG
Year 2000 Coordination Center
60284 Frankfurt am Main
Germany

Fax-Antwort
Fax Reply

Gruppe Deutsche Börse / Eurex - Fragebogen Jahresende / Questionnaire Millenium Weekend

1. Generelle Erreichbarkeit / General Availability

1.1 **Bitte nennen Sie uns die zentralen Kontaktpersonen in Ihrem Hause, die für Y2K-Fragen bezüglich der Handels- und Clearing-Systeme am 1.1. und 2.1.2000 erreichbar sind.**
Please provide us with the names of the *central contact persons for Y2K questions regarding the Trading- and* ***Clearing*** *systems at your company who are available on 1./2. January, 2000.*

Name 1: _____ Mobile phone: _____

Telefon/ *Phone*: _____ E-Mail: _____

Name 2: _____ Mobile phone: _____

Telefon/ *Phone*: _____ Telefax/ *Fax*: _____

1.2 **Bitte nennen Sie uns Ihre zentralen Kommunikationseinrichtungen am 1.1. und 2.1.2000.**
Please provide us with the *central communication means on 1./2. January, 2000.*

Zentrale / *Central* Email: _____ Telefax/ *Fax*: _____

Andere Erreichbarkeit */Other means* (Internet, ...): _____

1.3 **Zu welchen Uhrzeiten sind diese Personen / Stelle erreichbar ?**
When is this person / institution reachable ?

Am 1.1. von... / *At 1. January from ...* _____ bis / *until*... _____

Am 2.1. von... / *At 2. January from ...* _____ *bis / until...* _____

Fax-Antwort
Fax Reply

Gruppe Deutsche Börse / Eurex - Fragebogen Jahresende / Questionnaire Millenium Weekend

2. Verbindungstests / Connection Tests

Wir möchten die Verbindung zwischen unseren Häusern ab dem 1.1.2000 überprüfen.
Für die Clearingsysteme möchten wir einen Filetransfer mit Ihnen am 1.1. oder 2.1.2000 testen.
Die Teilnehmeranbindungen an die Xetra ®- und Eurex®-Handelssysteme werden wir ab dem 1.1.2000, 1:00 Uhr überprüfen. Dazu müssen Ihre Netzwerk-Router eingeschaltet sein und ein Mitarbeiter sollte zur Verfügung stehen. Bitte planen Sie Ihre frühzeitige Teilnahme ein.

We would like to conduct connection tests between our companies starting 1 january, 2000.
For the Clearing Systems a filetransfer will be tested on 1 or 2 january, 2000.
On 1 january, 2000 starting at 1 am member connections to the Xetra® and Eurex® *trading systems will be monitored. Therefore your network routers need to be switched on and a responsible person should be on reach. Please plan for participation at an early stage.*

Abwicklung / Clearing

2.1 Wann planen Sie, den CASCADE/LIMA-Datenträger an uns zu transferieren ?
When do you plan to have your CASCADE/LIMA-file transfered ?

um / *at* ... _____ Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

2.2 Wer ist die Kontaktperson für den Filetransfertest und zu welchen Zeiten ist sie verfügbar ?
Who is the responsible for the filetransfer test, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*: _____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / Available on 1. January from ... _____ bis / until... _____

Erreichbar am 2.1. von... / Available on 2. January from ... _____ bis / until... _____

Xetra

2.3 Ab wann planen Sie, Ihre Systeme verfügbar zu haben, so dass die Überprüfung der Anbindung stattfinden kann ?
When do you plan to have your systems ready to be monitored ?

um / *at* ... _____ Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

Fax-Antwort
Fax Reply

Gruppe Deutsche Börse / Eurex - Fragebogen Jahresende / Questionnaire Millenium Weekend

2.4 Wer ist die Kontaktperson für die Überprüfung der Leitungsverbindungen und zu welchen Zeiten ist sie verfügbar ?
Who is the responsible for checking the connection, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*:_____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / *Available on 1. January from ...* _____ bis / until..._____

Erreichbar am 2.1. von... / *Available on 2. January from ...* _____ bis / until..._____

Eurex

2.5 Ab wann planen Sie, Ihre Systeme verfügbar zu haben, so dass die Überprüfung der Anbindung stattfinden kann ?
When do you plan to have your systems ready to be monitored ?

um / *at* ... _____ Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

2.6 Wer ist die Kontaktperson für die Überprüfung der Leitungsverbindungen und zu welchen Zeiten ist sie verfügbar ?
Who is the responsible for checking the connection, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*:_____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / *Available on 1. January from ...* _____ bis / until..._____

Erreichbar am 2.1. von... / *Available on 2. January from ...* _____ bis / until..._____

Fax-Antwort
Fax Reply

Gruppe Deutsche Börse / Eurex - Fragebogen Jahresende / Questionnaire Millenium Weekend

3. Anmeldetest / Login Test

Ein betreuter Anmeldetest wird von uns am 1.1. und 2.1.2000 ab 9:00 bis 18:00 angeboten. Dabei werden nur Abfragetransaktionen auf den Systemen möglich sein. Bitte planen Sie Ihre Teilnahme möglichst frühzeitig ein.

A supported login test, limited to data requests will be conducted on 1.1. and 2.1.2000 between 9:00 and 18:00. Please plan for participation at an early stage.

Abwicklung / Clearing

3.1 Wann planen Sie, sich bei den Clearing Systemen anzumelden ?
W*hen do you plan to log into the Clearing systems ?*

um / *at* ... _____Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

3.2 Wer ist die Kontaktperson für den Anmeldetest und zu welchen Zeiten ist sie verfügbar ?
Who is the responsible for the login test, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*:_____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / *Available on 1. January from ...* _____ bis / until... _____

Erreichbar am 2.1. von... / *Available on 2. January from ...* _____ bis / until... _____

Parketthandel / Floor Trading

3.3 Wann planen Sie, sich bei den Parkett-Handelssystemen anzumelden ?
W*hen do you plan to log into the Floor Trading systems ?*

um / *at* ... _____Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

3.4 Wer ist die Kontaktperson für den Anmeldetest und zu welchen Zeiten ist sie verfügbar ?
Who is the responsible for the login test, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*:_____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / *Available on 1. January from ...* _____bis / until... _____

Erreichbar am 2.1. von... / *Available on 2. January from ...* _____bis / until... _____

Fax-Antwort
Fax Reply

Gruppe Deutsche Börse / Eurex - Fragebogen Jahresende / Questionnaire Millenium Weekend

Xetra

3.5 Wann planen Sie, sich beim Xetra®-System anzumelden ?
When do you plan to log into the Xetra®-system ?

um / *at* ... _____ Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

3.6 Wer ist die Kontaktperson für den Anmeldetest und zu welchen Zeiten ist sie verfügbar ?
Who is the person responsible for the login test, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*: _____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / *Available on 1. January from ...* _____ bis / until..._____

Erreichbar am 2.1. von... / *Available on 2. January from ...* _____ bis / until..._____

Eurex

3.7 Wann planen Sie, sich beim Eurex®-System anzumelden ?
When do you plan to log into the Eurex®-system ?

um / *at* ... _____ Uhr / *o'clock* **O** (1.1.2000) **O** (2.1.2000)

3.8 Wer ist die Kontaktperson für den Anmeldetest und zu welchen Zeiten ist sie verfügbar ?
Who is the person responsible for the login test, and at what times is he/she reachable ?

Name: _____ Telefon/*Phone*: _____

Telefax/*Fax*: _____ Mobile phone: _____

Email: _____

Erreichbar am 1.1. von... / *Available on 1. January from ...* _____ bis / until..._____

Erreichbar am 2.1. von... / *Available on 2. January from ...* _____ bis / until..._____