X-pand into the Future

e u r e x *circular 090/03*

Date: Frankfurt, August 20, 2003
Recipients: All Eurex members and vendors
Authorized by: Jürg Spillmann

Extension of Connection Alternatives

Related Eurex Circulars: 020/01, 115/02, 059/03

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Content may be most important for:	**Attachments:**
➲ All Departments	1. Updates to Technical Implementation Regulations of Eurex Deutschland and Eurex Zürich 2. Updates to Fee Regulations of Eurex Deutschland 3. Access Order Form

On July 16, 2003, the Management Boards of Eurex Deutschland and Eurex Zürich took the decision to extend the choice of alternatives for technical connection to the Eurex exchanges.

Currently, members can choose between leased lines or Internet connections (or a combination of both) with a standard bandwidth of 64 kbps. In the future, members may also connect via so-called “Multi-Channel-Connections” to the Eurex exchanges.

Multi-Channel-Connections offer members more network capacity by configuring four single channels with 64 kbps bandwidth each. Using router technology, data is distributed across these four channels employing a round robin procedure. Thus, up to four times of the data volume that can be processed via the currently available connection alternatives can be handled via a Multi-Channel-Connection. Since each single network package is processed via a 64 kbps channel, the speed of data transmission via a Multi-Channel-Connection is the same as via a single 64 kbps leased line or Internet connection.

Multi-Channel-Connections are therefore primarily targeted at high-volume customers whose data volumes exceed the capacity of single 64 kbps connection alternatives. The installation of Multi-Channel-Connections allows these members to reduce operating and hardware costs for their front-end installations as all channels will be connected to the same MISS group. The monthly fee for one Multi-Channel-Connection is set to EUR 2,000. The attachments contain the updated versions of the Technical Implementation Regulation of Eurex Deutschland and Eurex Zürich and the Fee Regulations of Eurex Deutschland as well as a line order form. The new regulations will come into effect from September 1, 2003. The technical implementation of Multi-Channel-Connections will be possible from October 2003 onwards.

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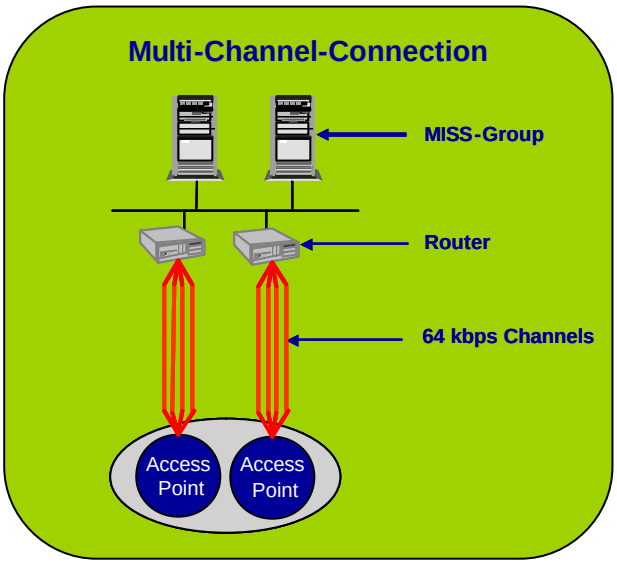
Management Board:
Rudolf Ferscha (CEO), Jürg Spillmann,
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Extension of Connection Alternatives

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Multi-Channel-Connections offer members more network capacity by configuring four single channels with 64 kbps bandwidth each. Using router technology, data is distributed across these four channels by a round robin procedure. For a Multi-Channel-Connection a further Multi-Channel-Connection as a redundant connection is required in all cases.



Thus, up to four times of the data volume that can be processed via the currently available connection alternatives can be handled by means of a Multi-Channel-Connection. Since each single network package is processed via a 64 kbps channel, the speed of data transmission via a Multi-Channel-Connection is the same as via the currently existing connection alternatives. For example, an order entered via a front-end connected to a Multi-Channel-Connection is transferred as fast as an order transmitted via a single 64 kbps leased line or Internet connection. Consequently, an equal level playing field for all exchange members is maintained.

Multi-Channel-Connections are therefore primarily targeted at high-volume customers with data volumes exceeding the capacity of single 64 kbps connection alternatives. The installation of Multi-Channel-Connections allows these members to reduce operating and hardware costs for their front-end installations, as all channels will be connected to the same MISS group. The monthly fee for one Multi-Channel-Connection is set to EUR 2,000, which results in the following adapted fee model for the technical connection:

Connection component	**Fee**	
Multi-Channel-Connection (4 x 64 kbps)	*2,000 €*	*per Installation and month*
Leased line with 64 kbps bandwidth	1,000 €	per Installation and month
64 kbps Internet connection	500 €	per Installation and month

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The monthly charges for connection will consist of the number of connection components installed at Member locations multiplied by the corresponding price. Eurex will, however, waive the first EUR 1,000 of connection charges per month for each member with a Multi-Channel-Connection.

Attachment 1 contains the updated version of the Technical Implementation Regulation of Eurex Deutschland and Eurex Zürich. An updated version of the Fee Regulations of Eurex Deutschland is provided with Attachment 2. The new regulations will come into effect from September 1, 2003. The Access Order Form (Attachment 3) will help interested participants order the installation of Multi-Channel-Connections. The technical implementation, however, will only be available **as of October 2003**.

Frankfurt, August 20, 2003

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Implementation Regulations of Eurex Deutschland and Eurex Zürich Concerning Technical Equipment

1 Definitions

1.1 Network of the Eurex Exchanges

The Network of Eurex Deutschland and Eurex Zürich (the "Eurex Exchanges") includes the entirety of all hardware elements combined in each Network node as well as all necessary components for the connection of the Network nodes (dedicated lines for telecommunications, etc.) which form the technical basis for the implementation of trading and clearing on the Eurex Exchanges. The Network is constructed in a radial form and contains, as Network nodes in particular, the central host node of the Eurex Exchanges, the access points of the Eurex Exchanges and components of Participant Front End Installations.

If Exchange Participants choose as access to the EDP system of the Eurex Exchanges a connection alternative (section 1.3) which is exclusively based on the Internet or on a combination of dedicated line and Internet, the Network of the Eurex Exchanges does not include the Internet connections.

1.2 EDP System

The EDP system of the Eurex Exchanges includes both the Network of the Eurex Exchanges and the operation-ready installed application of the Eurex Exchanges.

1.3 Connection Alternatives

Exchange Participants may choose to be connected to the Eurex system either via dedicated lines with a bandwidth of 64 kbps or via the Internet (or by using a connection combining dedicated lines and Internet). If the Exchange Participant is connected to the Eurex system via the Internet, the data throughput of such connection shall be restricted to 64 kbps. A connection to the Eurex system via a dedicated line may also be a Multi-Channel-Connection. A Multi-Channel-Connection consists of four individual data lines, each such individual line having a bandwidth of 64 kbps. ~~A connection via a dedicated line requires an additional backup connection either via an additional dedicated line or via the Internet.~~

Exchange Participants with the clearing status of a Direct Clearing Member (DCM) and General Clearing Member (GCM) must be connected to the Eurex system via at least one dedicated line and one backup connection.

[…]

7 Transmission Alternatives for Telecommunication

7.1 Dedicated Lines

7.1.1 Control of Dedicated Lines

The Eurex Exchanges shall control the dedicated lines for the entire physical Network of the Eurex Exchanges. Installation and operation of the dedicated lines for telecommunications which are necessary for the connection between the Participant Front End Installation and the Eurex Exchanges shall be carried out by the Eurex Exchanges or may be contracted out by the Eurex Exchanges.

7.1.2 Range of Dedicated Lines

The Eurex Exchanges shall make available a connection to the location of the Exchange Participant. The precondition for this is that the transmission paths and types of connection supported by the Eurex Exchanges are available for an Exchange Participant and, under normal conditions and adequate expense, able to be established and operated while ensuring that the security and quality standards set forth by the Eurex Exchanges are attained.

7.1.3 Integration into Network of the Eurex Exchanges

The Eurex Exchanges decide to which access point a dedicated line shall be connected.

7.1.4 Security Against Failure

In order to improve security against failure, locations connected to the Eurex system via a dedicated line must have at least one backup connection via an additional dedicated line or via the Internet. Locations connected to the Eurex system via a dedicated line with the configuration of a Multi-Channel-Connection must also have one backup connection via an additional dedicated line with the configuration of a Multi-Channel-Connection.

7.1.5 Number of Dedicated Lines

Differing from the above regulations, the Boards of Management of the Eurex Exchanges can set a minimum and maximum number in respect of the dedicated lines which an Exchange Participant has applied for to have its Participant Front End Installation connected to the system of the Eurex Exchanges, to the extent that such action is necessary for reasons relating to system performance or for other reasons. No more than one Multi-Channel-Connection plus one backup connection may be installed at each Exchange Participant's location.

7.2 Connection via the Internet

7.2.1 Responsibility of the Exchange Participant

Any connection via the Internet shall be the sole responsibility of the respective Exchange Participant. The Exchange Participant itself is responsible for the selection of the Internet provider

as well as for the realisation of the connection to the Network of the Eurex Exchanges. The Eurex Exchanges do not assume responsibility for availability and performance of ~~the aforementioned~~ Internet connections ~~alternatives~~.

7.2.2 Internet Provider

Exchange Participants are obligated to choose an Internet provider which provides, on the part of the participant, a suitable connection via the Internet to the Network of the Eurex Exchanges.

7.2.3 Connection to the Network of the Eurex Exchanges

If the connection to the Network of the Eurex Exchanges is made via Internet, the Eurex Exchanges will decide to which access point such connection shall be made.

[...]

Fee Regulations for Eurex Deutschland

1 Basic Principles

1.1 The costs of providing the staff and facilities required for operating Eurex Deutschland (German Options and Futures Exchange), including technical equipment, shall be covered by fees.

1.2 Fees are levied for
- admission to participation in options and futures trading,
- participation in options and futures trading,
- participation in the Exchange trader examination.

1.3 In addition, reimbursement of expenses may be demanded, including in particular expenses incurred for determining whether the conditions for admission to trading are fulfilled or have ceased to be fulfilled by an Exchange Participant or whether the technical equipment satisfies the requirements for connection to the system of Eurex Deutschland.

2 Participation Fee

2.1 The annual fee for participation in options and futures trading is determined by the Board of Management (Geschäftsführung) of Eurex Deutschland.

2.2 The participation fee pursuant to section 2.1 shall be increased when transactions entered into the EDP system of Eurex Deutschland by an Exchange Participant exceed for any Exchange day the transaction limits established by the Board of Management of Eurex Deutschland, with respect to the Exchange Participant's total transactions, transactions per product or mass-quote-release transactions. The Board of Management of Eurex Deutschland shall establish the increased fees pursuant to the costs actually incurred as a result of exceeding the transaction limits. Notwithstanding the provisions of section 2.1, the increased fees shall be charged on a monthly basis pursuant to section 6.1.

2.3 Notwithstanding the fees to be paid by the Exchange Participants according to sections 2.1 and 2.2, separate fees shall be charged in the specified amount for the services of Eurex Deutschland listed below:

2.3.1 Communications Fees:

Depending on the chosen connection alternative to the EDP system of Eurex Deutschland and the amount of dedicated lines connected pursuant to the application of an Exchange Participant, the following communication fees shall be charged per month:

For each connection via the Internet	500 Euro
For each connection via a dedicated line	1,000 Euro
For each Multi-Channel-Connection	2,000 Euro

The communication fees charged per Exchange Participant and per month pursuant to section 2.3.1 will be remitted by an amount of up to 1,000 Euro.

2.3.2 Technical Changes

For the technical changes realised by Eurex Deutschland for the Exchange Participant as a result of the removal of an Exchange Participant, a fee of 3,000 Euro per occurrence shall be charged.

2.3.3 Notwithstanding section 2.1, the communication fees detailed in section 2.3.1 shall be charged per month and the fees specified in section 2.3.2 directly after rendering the respective service according to section 6.1.

2.4 For Exchange Participants admitted in the context of a cooperation arrangement which Eurex Deutschland has entered into with another exchange, the Board of Management of Eurex Deutschland may reduce the annual basic fixed fee, provided that the Exchange Participants of Eurex Deutschland wishing, in the context of such cooperation arrangement, to trade on such other exchange are required to pay no or a correspondingly reduced fee to such exchange.

3 Assessment Rate

The annual fee pursuant to section 2 corresponds to an assessment rate of 100%. The Board of Management of Eurex Deutschland may increase or decrease this assessment rate in the course of a calendar year on a quarterly basis in view of the changes in actual costs; in doing so, the Board of Management may set differing rates of assessment.

4 Admission Fee

4.1 Each Exchange Participant shall be required to pay a one-time admission fee on the occasion of its admission to options and futures trading on Eurex Deutschland. The one-time admission fee shall be determined by the Board of Management of Eurex Deutschland.

4.2 If admission is granted in the context of a cooperation arrangement pursuant to section 2.4, the Board of Management of Eurex Deutschland may in appropriate circumstances set a lower admission fee or completely waive the fee.

5 Examination Fee

For participation in the examination to determine the professional qualification to act as Exchange trader, a fee in the amount of 200 EUR shall be levied. Further details shall be specified in the Examination Regulations.

6 Due Dates

Eurex - Zugangsformular: Bestellung/Kündigung

- Access Order Form: Order/Cancellation

Expand into the Future

To describe briefly the hardware configuration, Internet Router, etc. please use the System Configuration Questionnaire.

Falls nicht identisch mit der 1. Anbindung / *If not identical with the 1st connection*
Standort der Endeinrichtung / *Location of End Facility*

Name des Unternehmens / *Name of Company*

Straße / *Street*

Land, Postleitzahl und Ort / *Country, Postal Code and Town*

Gebäudeteil, Etage und Raum-Nr., Racknr. / *Part of Building, Floor and Room No., Rack No.*

☐ Router und Endeinrichtung befinden sich im selben Raum
Router and end facility are located in the same room

☐ Router und Endeinrichtung befinden sich in unterschiedlichen Räumen
Router and end facility are located in different rooms

☐ Tischgerät / *Desktop Device*

☐ Einschubtechnik / *Rack-mountable*

☐ Andere (wie DNAE, ZDA3, SIMUX o.ä.) – weitere Klärung erforderlich !
Other technologies (like DNAE, ZDA3, SIMUX etc…) – please specify !

Lokation der Hauseinführung bzw. des Übergabepunktes (Bezeichnung durch den lokalen Netzwerk-Provider)
Location of Building Entry or of the Separate Cable Entry (Description by the Local Network Provider)

Beschreibung der Hauseinführung / *Description of Building Entry*

Falls nicht identisch: Technischer Ansprechpartner vor Ort / *if not identical: Technical Contact Person at location*

Vorname und Name / *First Name and Name*	Vertreter Vorname und Name / *Deputy: First name und Name*
Telefon / *Phone* Fax	Telefon / *Phone* Fax
E-Mail	E-Mail

Zur näheren Beschreibung der Hardwarekonfiguration, Internet Router etc. verwenden Sie bitte den System Configuration Questionnaire.

To describe briefly the hardware configuration, Internet Router, etc. please use the System Configuration Questionnaire.

Mit Unterzeichnung dieses Zugangsformulars verpflichtet sich der Auftraggeber, sämtliche Kosten für die Installation und Bereitstellung der Anbindungen zu tragen, sofern

- der Antrag auf Zulassung als Börsenteilnehmer bzw. Registrierung als Multi-Member Service Provider an der Eurex Deutschland und/oder Eurex Zürich vom Auftraggeber zurückgezogen wird;
- die Bestellung von Anbindungen vom Auftraggeber zurückgezogen wird;
- die Zulassung bzw. Registrierung von der Eurex Deutschland und/oder Zürich nicht innerhalb von vier Monaten nach Beauftragung erteilt wird und diese Nichterteilung auf ein Verschulden des Auftraggebers zurückzuführen ist.

Für die oben genannten Fälle werden je Standleitung monatlich EUR 1.000, je Internet-Anbindung monatlich EUR 500, je Multi-Channel-Anbindung EUR 2.000 ab Beauftragung bis Eintritt eines der vorstehenden Ereignisse, sowie unabhängig von der gewählten Anbindungsalternative einmalig ein pauschalierter Aufwendungsersatz von EUR 3.000 in Rechnung gestellt. Angebrochene Monate werden dabei voll berechnet.

With the signing of this access order form all costs for the installation and supply of the connections have to be carried by the applicant if

- *the application for admission as exchange participant or registration as multi-member service provider at Eurex Deutschland and/or Eurex Zürich is cancelled by the applicant;*
- *the connection order is cancelled by the applicant;*
- *Eurex Deutschland and/or Eurex Zürich does not grant admission or registration within four months after the connection order and the fault for such non-admission or non-registration is on the side of the applicant.*

For the cases specified above, EUR 1,000 per leased line per month, Euro 500 per Internet access per month, EUR 2.000 per Multi-Channel-Connection per month and a fixed service fee independent of the chosen connection alternative of EUR 3.000 per month will be charged from the application date until the date of occurrence of one of the events specified above. Started months will be fully billed.

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Ort, Datum / Place, *Date*

Firmenstempel, Unterschrift des Auftraggebers, Name in Druckbuchstaben
Company stamp, *signature of applicant, name in capitals*

240 PC 1508-03

Eurex - Zugangsformular: Bestellung / Kündigung

- Access Order Form: Order / Cancellation

Expand into the Future

Eurex Frankfurt AG

Customer Support

D-60485 Frankfurt am Main

Fax: +49-69-211-61 05 02

Auftraggeber / *Applicant*

Name des Unternehmens / *Name of Company*

Straße oder Postfach / *Street or Post Box*

Land, PLZ und Ort / *Country, Postal Code and Town*

Telefon / *Phone* Fax

E-Mail

Eurex-Member ID ☐☐☐☐☐

Hiermit erteilen wir den Auftrag zur Anbindung an einen lokalen Access Point der Gruppe Deutsche Börse.

We hereby apply for the connection via a local access point of Deutsche Börse Group.

Anbindungen über Standleitung müssen redundant, entweder durch mindestens eine zusätzliche Standleitung oder einen Internetzugang, ausgelegt sein. Multi-Channel-Anbindungen müssen durch die Installation einer weiteren Multi-Channel-Anbindung ebenfalls redundant ausgelegt sein.

Leased lines must be construed redundant, either by at least one additional leased line or by an Internet Access. For a Multi-Channel-Connection a further Multi-Channel-Connection as a redundant connection is required. .. Die Back-up Anbindung ist mit der gleichen Bandbreite auszustatten. Die Back-up Anbindung ist mit der gleichen Bandbreite auszu Die Back-up Anbindung ist mit der gleichen Bandbreite auszustatten.

☐ Anbindungsbestellung / *Connection Order*

Anbindungskündigung / *Connection Cancellation* ☐

Anzahl / *No.* ____ Multi-Channel-Anbindung(en) (4x64kbits) / Multi-Channel-Connection(s) (4x64 kbit/s)

Anzahl / *No.* ____ 64kbit/s Standleitung(en) / *64kbit/s Leased Connection(s)*

Anzahl / *No.* ____ 64kbit/s Internetanbindung(en) [1] / *64kbit/s Internet Connection(s)* [1]

Wunschtermin (TT.MM.JJJJ) / *Requested Date (DD.MM.YYYY)* ____

☐ Schnellstmöglich / *As soon as possible*

Location

Neu / *New*: ☐ Trading Location ☐ Back Office Location

Bisher / *Existing*: ☐ Trading Location ☐ Back Office Location

☐ Disaster recovery

Bitte mit Hardware-Voraussetzungen abgleichen! / *Please double-check with hardware prerequisites!*

Standort der Endeinrichtung / *Location of End Facility*

Name des Unternehmens / *Name of Company*

Straße / *Street*

Land, Postleitzahl und Ort / *Country, Postal Code and Town*

Gebäudeteil, Etage und Raum-Nr., Racknr. / *Part of Building, Floor and Room No., Rack No.*

☐ Router und Endeinrichtung befinden sich im selben Raum / *Router and end facility are located in the same room*

☐ Router und Endeinrichtung befinden sich in unterschiedlichen Räumen / *Router and end facility are located in different rooms*

☐ Tischgerät / *Desktop Device* ☐ Einschubtechnik / *Rack-mountable*

☐ Andere (wie DNAE, ZDA3, SIMUX o.ä.) – weitere Klärung erforderlich ! / *Other technologies (like DNAE, ZDA3, SIMUX etc...) – please specify !*

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[1] Die Festverbindung zum Internet Service Provider (ISP) wird vom Auftraggeber selbst beauftragt. Die vom ISP bereitgestellte IP-Adresse ist der Eurex Frankfurt AG mitzuteilen.
A dedicated connection between the customer and his Internet Service Provider (ISP) must be ordered by the applicant. The applicant must name Eurex Frankfurt AG the IP-Address which is provided by the ISP.

Eurex - Zugangsformular: Bestellung/Kündigung

- Access Order Form: Order/Cancellation

Expand into the Future

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