X-pand into the Future

e u r e x *circular 231/06*

Date: Frankfurt, November 20, 2006
Recipients: All Eurex Clearing Members and vendors
Authorized by: Daniel Gisler

⚠ **Action Required** ⚠ **High Priority**

Eurex Product Range: Extension

Related Eurex Circular: 228/06

Contact: Customer Support, tel. +49-69-211-1 17 00

Content may be most important for:

- ➲ Middle + Back Office
- ➲ IT / System Administration
- ➲ Auditing / Security Coordination

Attachments:

1. Customer Roadmap - Expansion of Product Scope into US Dollar denominated Products

Forms - functional:

2. Application for Set up/Deletion/Change of CCP Settlement Accounts
3. Information Memorandum as to Application for Set up/Deletion/Change of CCP Settlement Accounts
4. Application for Set up/Deletion/Change of Clearer Accounts
5. Request for Settlement Account Settings for Eurex Derivatives Deliveries (Previous CSD-Selection)
6. SWIFT Address / BIC for Cash Settlement of Trades at Eurex Exchanges
7. Request for Set up of Users in the CCP system (@X-PERT)
8. Request for Deletion/Modification/Password Reset of CCP User IDs in the CCP system (@Xpert)
9. Reference Data Sheet

Summary:

In the context of extending the services provided by Eurex Clearing AG to include products of the British and Irish markets, we are sending you enclosed further functional application forms, as announced in our communication calendar.

In order to guarantee smooth settlement of the new products from the British and Irish markets, it is essential that abovementioned forms together with the necessary power of attorney declarations for your accounts held at payment banks are submitted to Eurex Clearing AG for receipt before **January 19, 2007**.

Eurex Deutschland and Eurex Zürich are planning to introduce products denominated in US-Dollar (USD). It is therefore necessary that Clearing Members set up a payment infrastructure in USD in order to enable settlement of payments and credit notes. The new infrastructure will be based on a number of USD settlement banks, at which Clearing Members can in future hold their USD account and settle payments. Against this background we are providing today the document “Customer Roadmap - Expansion of Product Scope into US Dollar denominated Products”.

In order to guarantee smooth payment transactions prior to the introduction of foreign currency USD, it is essential that the forms “Application for Set Up/Deletion/Change of Clearer Accounts” and “SWIFT Address / BIC for Cash Settlement of Trades at the Eurex Exchanges” together with the necessary power of attorney declarations are submitted to Eurex Clearing AG for receipt before **January 19, 2007**.

Eurex Product Range: Extension

1. Introduction of Products from the British and Irish Markets

In the context of extending the services provided by Eurex Clearing AG to include products of the British and Irish markets, we are sending you enclosed further functional application forms, as announced in our communication calendar.

- **Application for Set up/Deletion/Change of CCP Settlement Accounts**

To guarantee settlement of abovementioned products, a Clearing Member must have the status of "CREST Settlement Participant" and "CREST Clearing Member Undertaking", or alternatively provide evidence of a relationship to a third party fulfilling these requirements. In addition, details of a GBP account held at a payment bank as well as the default settings required for the settlement account have to be provided.

- **Information Memorandum as to Application for Set up/Deletion/Change of CCP Settlement Accounts**

This memorandum contains detailed information that guides you through filling out the corresponding application form.

- **Application for Set up/Deletion/Change of Clearer Accounts**

A Clearing Member who participates in clearing of trades concluded at Eurex Deutschland and Eurex Zürich must provide proof of an account held at a payment bank in order to enable settlement of cash differences in British Pounds (GBP) resulting from the Buy-in procedure for the GBP currency and for regulation of payments and credit notes originating form trades in GBP-denominated Eurex products. Please refer to the document „Customer Roadmap - Expansion of Product Scope into UK and Irish Products" for a list of supported payment banks. This document was published with Eurex Circular 228/06.

- **Request for Settlement Account Settings for Eurex Derivatives Deliveries (Previous CSD-Selection**)

This form allows Clearing Members to assign agent and proprietary transactions, a currency or an associated trading member to a particular settlement account or central securities depository.

The Clearing Member must define at least one default account for himself and his associated trading members for settlement of instruments traded in EUR or GBP with issuing country UK or Ireland (CRESTCo Ltd): Default Settlement Account per Settlement Currency.

The following information is required for setting up the CREST settlement account:

- CREST Settlement Participant ID: member ID assigned to the CREST settlement participant.
- CREST Clearing Member ID (CM Undertaking): CREST ID for a Clearing Member.
- CREST Default Member Account ID: CREST account used for settlement of transactions in instruments traded in EUR or GBP with issuing country UK or Ireland.

- **SWIFT Address / BIC for Cash Settlement of Trades at Eurex Exchanges**

Eurex Clearing AG generates and distributes cash instructions out of cash payments (margins, fees etc.) from Eurex deliveries on behalf and for the CCP member's cash account at a payment bank. For this purpose, Eurex Clearing AG requires the S.W.I.F.T. address and bank identifier code (BIC) of that member (Clearing Member and settlement participant) for identification. Submission is only necessary where Eurex Clearing AG has not yet received these.

Eurex Clearing AG
D-60485 Frankfurt am Main
www.eurexchange.com

Customer Support
Tel. +49-69-211-1 17 00
Fax +49-69-211-1 17 01

Executive Board:
Andreas Preuß (CEO),
Jürg Spillmann, Daniel Gisler,
Thomas Lenz, Michael Peters,
Peter Reitz

- **Request for Set up of Users in the CCP system (@X-PERT)**

This form is to be used for assignment (initial set-up) of read or write permissions in the CCP system and assignment of settlement accounts on member/user level. Users associated to a particular member may only be assigned settlement accounts that were previously assigned to this member. Dependent on member roles, authorization to perform Gross Delivery Management actions and further system functions (log-in, selection of reports etc.) is granted.

This form has to be submitted if new users need to be set up for the CREST account.

- **Request for Deletion/Modification/Password Reset of CCP User IDs in the CCP system (@Xpert)**

This form serves to apply for deletion or modification of assigned read or write permission in the CCP system. It is also used for addition, modification and deletion of settlement accounts on member/user level.

This form needs to be handed in if the CREST account is to be assigned to users already set up.

- **Reference Data Sheet**

In connection with expanding the CCP services to products from the British and Irish markets, we ask you to nominate your contact persons from the operational departments from the fields of activity mentioned in this form (e.g. contact for settlement at CRESTCo Ltd).

All documents mentioned above have additionally been posted on the Eurex website for downloading. Please follow the links

www.eurexchange.com > Member Section > Releases > CCP 3.1 > Manuals

In order to guarantee smooth settlement of the new products from the British and Irish markets, it is essential that abovementioned forms together with the necessary power of attorney declarations for your accounts held at payment banks are submitted to Eurex Clearing AG for receipt before **January 19, 2007** to the following address:

Eurex Clearing AG
Markets Services (Room 05.01.004)
60485 Frankfurt am Main
Germany

2. Introduction of US-Dollar denominated products on the Eurex Exchanges

Eurex Deutschland and Eurex Zürich (Eurex Exchanges) are planning to introduce products denominated in US-Dollar (USD) in the future. It is therefore necessary that Clearing Members set up a payment infrastructure in USD in order to enable settlement of payments and credit notes. The new infrastructure will be based on a number of USD settlement banks, at which Clearing Members can in future hold their USD account and settle payments. Against this background we are providing today the following document:

- **Customer Roadmap - Expansion of Product Scope into US Dollar denominated Products**

This document lists recommended activities in connection with the introduction of cash-settled and USD-denominated products. Necessary activities are mentioned in order to support members in their preparation efforts. To download your personal copy, please visit

www.eurexchange.com > Member Section > Releases > Eurex Release 9.0 > Manuals > Functional Manuals

In order to guarantee smooth payment transactions prior to the introduction of foreign currency USD, it is essential that the forms mentioned in section 1.

- Application for Set Up/Deletion/Change of Clearer Accounts and
- SWIFT Address / BIC for Cash Settlement of Trades at the Eurex Exchanges

together with the necessary power of attorney declarations, available from the payment banks concerned, for the specified accounts are submitted to Eurex Clearing AG for receipt before **January 19, 2007**. Please send your documents to the postal address mentioned on page 2.

Frankfurt, November 20, 2006

Eurex Clearing AG
D-60485 Frankfurt am Main
www.eurexchange.com

Customer Support
Tel. +49-69-211-1 17 00
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Executive Board:
Andreas Preuß (CEO),
Jürg Spillmann, Daniel Gisler,
Thomas Lenz, Michael Peters,
Peter Reitz

Expansion of Product Scope into US Dollar denominated Products

Customer Roadmap

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1 Introduction

Eurex Deutschland and Eurex Zürich (Eurex Exchanges) plan to expand the product range currently into cash settled futures contracts (e.g. index futures contracts) that are denominated in US Dollars (USD). The product offering may be also extended to option contracts in the future if applicable. As with other cash settled futures contracts at Eurex these contracts will also be marked-to-market which results in variation margin payments (including the final cash settlement on the last trading day) and therefore are accordingly settled in cash. The transaction fees resulting from trades and transactions performed in these new contracts will be charged in USD. In addition, cash amounts in USD that need to be paid as a result of an Intraday Margin Call are also subject to this document as the new infrastructure will allow Eurex Clearing AG to automatically debit and credit the clearing members' cash accounts in USD at its respective Settlement Bank.

Therefore the clearing member which is responsible for trades and transactions resulting from the Eurex Exchanges needs to establish an additional cash account in order to receive and pay cash amounts in US Dollar.

Please note that all information in this document concerning this expansion is preliminary.

The purpose of this document is:

- To provide an overview of the functional enhancements (given in chap. 2).
- To provide an overview of the major member impacts (given in chap. 3).
- To provide a roadmap to the introduction of USD products in production (given in chap. 4).
- To provide an overview about the accompanying documentation (given in chap. 5).
- To provide an overview of the current list of settlement banks (given in chap. 6).
- To announce the Helpdesks/Teams that will provide support for members during the introduction of USD products (given in chap. 7).

2 Functional Enhancements for the Introduction of US Dollar Products

An overview is provided in the subsequent chapters to describe the additional steps that are necessary prior to the introduction of USD denominated products.

2.1 Instruments and Settlement Currencies

The instruments supported by Eurex Release 9.0 will be expanded by USD denominated futures contracts which are settled in cash by a daily mark-to-market evaluation process (variation margin payments). In the future additional products as for example share settled options and futures contracts may be introduced. Besides variation margin payments also other cash only payments will be settled in USD (also see 2.4).

2.2 Intraday Margin Calls in US Dollar

The new infrastructure allows Eurex Clearing AG to automatically issue debit and credit payment instructions to the clearing members' Settlement Bank resulting from Intraday Margin Calls that are issued through Eurex Clearing AG if a clearing member wishes to provide USD as cash collateral (also refer to Eurex circular 217/05) besides to the before mentioned variation margin payments. This eases the process on the clearing members' side as currently they have to manually initiate payment instructions in USD after an Intraday Margin Call has been issued. Additionally interest earned through cash deposits will be automatically credited into the Clearing Member's USD cash account.

2.3 Customer Setup US Dollar Market

The following list provides an overview of the used notations:

- Each Eurex Clearing Member needs to have a cash account at a settlement bank (directly or via a cash correspondent) in order to settle debit and credit payments resulting from trades and open positions.
- Settlement Bank:
    - Cash only payments (Variation Margin, Position Transfer with Cash, Interest Payments and Transaction Fees) in USD are debited or credited via an account at a settlement bank. Currently the following settlement banks offer this service: Bank of America, Citibank NA, Deutsche Bank Trust Company Americas, HSBC Bank USA, J.P. Morgan AG

3 Impact on Customer Side

The above mentioned changes (chapter 2) have effects on legal documentation.

3.1 Legal Amendments

New or rather changed forms which are to be filled out will be published in November 2006. The relevant forms are shown on the following list nevertheless additional forms might be necessary and will be published in the future.

- SWIFT Address / BIC for Cash Settlement of Trades at the Eurex Exchanges: In order to generate and distribute cash instructions resulting from cash payments on behalf of a Clearing Member, Eurex Clearing AG requires the S.W.I.F.T. address and Bank Identifier Code (BIC) of that Clearing Member for identification and the corresponding cash account including the location.
- Application for setup/deletion/change of clearer accounts: The Clearing Member needs to fill in this form with its designated settlement bank and account number in order for Eurex Clearing AG to settle (i) Intraday Margin Calls provided in USD, (ii) cash payments resulting from trades and open positions, (iii) position transfers with cash, (iv) interest payments and (v) transaction fees.
- Power of Attorney for the debiting of the settlement bank accounts or respectively agreement between the clearing member and a correspondence bank (Forms can be requested at the settlement banks.)
- Associated changes of the Clearing Conditions for Eurex Clearing AG will be reflected in due course and communicated prior to the specific product offering.

4 Simulation and Production Roadmap

4.1 Simulation

In the first quarter of 2007 a simulation phase for the USD products will be available prior to the introduction of the respective products in order to ensure a smooth implementation. The associated communication with regard to the simulation will take place with the product announcement via circular.

4.2 Production

The new products as mentioned in chapter 2 will come into effect in the end of the first/beginning of second quarter 2007.

The following table describes all necessary legal activities in connection with the introduction of USD Products into Eurex production. The legal basis is the Rules and Regulations of Eurex Clearing AG. An updated version of the Rules and Regulations will be published during the preparation of the introduction.

The following checkpoints should be taken into consideration:

Focus / Activity	Description	Target Group	Deadline	Chk-pnt
Sign and send the relevant forms (as mentioned in Chapter 3.1) to Eurex Clearing AG	• Complete relevant documents • Sign relevant documents • Return documents to Eurex Clearing AG, Secondary Markets Services • proof of signature authorization has to be provided if not already available at Eurex Clearing AG	Manage-ment (CMs)	6 weeks before Prod.-Start	

5 Accompanying Documentation

All documents published in connection with the introduction of USD denominated products will be available via Internet on the Eurex website www.eurexchange.com. Central Circular Management will give notification of each publication by means of an Eurex circular. We strongly recommend downloading all documents from the Internet.

Please find listed below the documents which will be published for the introduction of the products denominated in USD.

Customer Roadmap
Contents: Information of all necessary activities on customer side, to ensure a successful introduction
Target Group(s): Project Coordinators, Clearing Contacts, Back Office, System Administrators

Legal Forms
Contents: Adjusted forms for Clearing Members
Target Group(s): Project Coordinators, Clearing Contacts

Eurex Clearing Conditions
Contents: Update of the Clearing Conditions
Target Group(s): Project Coordinators, Clearing Contacts

6 List of Settlement Banks

Financial institution	Contact Person	Address	Phone #	Email
Bank of America	Michael J. Aragona Senior Vice President Financial Institutions Global Treasury Services Sales Europe, Middle East & Africa	26 Elmfield Road Bromley Kent BR1 1WA United Kingdom	+44 (0) 20 8313 2798	michael.j.aragona@bankofamerica.com
Citibank N.A.	Sharron Khela	Citigroup Centre Canada Square Canary Wharf London E14 5LB	+44 (0)20 7986 2106	sharronjeet.khela@citigroup.com
Deutsche Bank Trust Company Americas (DBTCA)	Wolfgang Dummert CIB/GTB Global Cash Management	Taunusanlage 12 60325 Frankfurt am Main	+49 (0)69 910 43721	wolfgang.dummert@db.com
HSBC Bank USA	Andrew D. Gibson Senior Sales Consultant Global Transaction Banking	HSBC Tower, 21st Floor 452 Fifth Avenue New York, NY 10018	+1-212-525-3673	andrew.d.gibson@us.hsbc.com
J.P. Morgan AG	Sabine Ruhm-Abramink Assistant Vice President Treasury Services	Junghofstrasse 14 60311 Frankfurt am Main	+49 (0) 69 7124 4465	sabine.ruhm.abramink@jpmorgan.com

7 Eurex Clearing AG Support

Eurex Clearing AG will provide intensive support for customer preparations during the introduction of the new instruments. The following teams may be contacted concerning any questions related to the preparation and participation in the simulation and introduction.

Project Support

Eurex Secondary Markets Services

Telephone: ++49 (69) 21 1 – 1 17 00

Fax: ++49 (69) 21 1 – 1 17 01

Availability: 09:00 a.m. - 06:00 p.m. CET (on exchange trading days)

eMail: customer.support@deutsche-boerse.com

Internet pages: www.eurexchange.com, www.deutsche-boerse.com

Production Support

Eurex Clearing Functional Helpdesk

Telephone: ++49 (69) 21 1 – 1 12 50

Fax: ++49 (69) 21 1 – 1 43 34

Availability: 07:00 a.m. - 10:30 p.m. CET (on exchange trading days)

eMail: msfunctionalhelpdesk@deutsche-boerse.com

Internet pages: www.eurexchange.com, www.deutsche-boerse.com

Antrag auf Einrichtung/Löschung/Änderung von CCP Settlement Konten
Application for Set up/Deletion/Change of CCP Settlement Accounts

Eurex Clearing AG
Member Services & Admission
60485 Frankfurt am Main

Fax: +49-(0)69-211-1 641

Antragsteller (Clearing Mitglied) /*Applicant (Clearing Member)*

Name des antragstellenden Unternehmens/*Company name of Applicant*

Anschrift/*Address*

Vorname, Name des Ansprechpartners/ *First Name, surname of contact person*

Telefon-Nr./*Phone-No.* Fax-Nr./*Fax-No.*

o Einrichtung per /
Set up effective from T T M M J J

o Löschung per /
Deletion effective from T T M M J J nach Handeslschluss

o Änderung per /
Change effective from T T M M J J nach Handelsschluss

CCP-Clearing-Mitglied-Kürzel
CCP-Clearing-Member-ID

Settlement Konto für/*Settlement account for* **o** Eurex Deutschland* **o** Eurex Bonds **o** Eurex Repo **o** FWB

* Sofern Ihr Unternehmen auch an der Eurex Zürich zugelassen ist, gilt dieser Antrag entsprechend / Provided that your company is admitted at Eurex Zürich, the application applies to Eurex Zürich as well.

I. CBF

Wertpapier-abwicklungs-stelle *Settlement Account Location*	**Konto Nr. (Zentralkonto)** *Account No. (Headquarter Account)*	**Kontoinhaber** *Account Holder*	**Geldverrechnungs-stelle** *Cash Settlement Location* **Zentralkonto** *Central Account*	**Geldkonto** *Cash account*	**Kontoinhaber** *Account Holder*	**Konto Nr. (Filialkonto)** Account *(Branch Account* No.)	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* **Filialkonto** *Branch Account*	**Geldkonto** *Cash account*	**Kontoinhaber** *Account Holder*
CBF			BuBa **For Eurex Deutschland only:** SNB (Verrechnendes SIC-Konto / *SIC-settlement-account*)					BuBa **For Eurex Deutschland only:** SNB (Verrechnendes SIC-Konto / *SIC-settlement-account*)		

For Eurex Deutschland and FWB only: Verknüpftes CBF-Creation-Konto *Linked CBF-Creation-Account*		**Kontoinhaber** *Account Holder*	

Default Processing Method	□ gross □ net
Euro GC Pooling Default Processing Method	□ gross □ net

Default Netting Model	**Release Method**	**Cash Deferral**	**Netting Unit**	**For Eurex Deutschland only: Derivatives/Equity Separation**	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation**
□ Single Instruction Netting	negative	not applicable	Standard	not applicable	no
□ Dual Instruction Netting	□ positive □ negative	□ yes □ no	□ Std. □ Std. + Acc.Type □ Std. + Acc.Type + NCM	□ yes □ no	□ yes □ no
□ Euro GC Pooling Single Instruction Netting	negative	not applicable	Standard	not applicable	yes
□ Euro GC Pooling Dual Instruction Netting	□ positive □ negative	□ yes □ no	Std. + Acc.Type + NCM	not applicable	not applicable

Unternehmensname des Bruttoliefermanagers/*Company name of gross delivery manager*	

II. 6-Series Account (CBF-Creation-Konto *CBF Creation Account*)

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No.*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* (BuBa, 6-Series Account/CBF-Creation Account)	**Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*	
6-Series Account (CBF-Creation-Account)					Euro		
					SEK		

Default Processing Method	□ gross □ net				
Default Netting Model	**Release Method**	**Cash Deferral**	**Netting Unit**	**For Eurex Deutschland only: Derivatives/Equity Separation**	**Eurex Bonds/Eurex Repo only: Bonds/Repo Separation**
□ Single Instruction Netting	negative	not applicable	Standard	not applicable	no
□ Dual Instruction Netting	□ positive □ negative	□ yes □ no	□ Std. □ Std. + Acc.Type □ Std. + Acc.Type + NCM	□ yes □ no	□ yes □ no

Unternehmensname des Bruttoliefermanagers/*Company name of gross delivery manager*	

III. SIS

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto** *Account (BP-ID)*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* **Konto** *Account*	**Geldkonto Nr.** *Cash account No.*	**Kontoinhaber** *Account Holder*	
SIS			BuBa			
			For Eurex Deutschland only: SNB (Verrechnendes SIC-Konto / *SIC-settlement-account*)			

Default Processing Method	□ gross □ net				
Default Netting Model	**Release Method**	**Cash Deferral**	**Netting Unit**	**For Eurex Deutschland only: Derivatives/Equity Separation**	**For Eurex Bonds/Eurex Repo only: Bonds/Repo Separation**
□ Single Instruction Netting	negative	not applicable	Standard	not applicable	no
□ Dual Instruction Netting	□ positive □ negative	□ yes □ no	□ Std. □ Std. + Acc.Type □ Std. + Acc.Type + NCM	□ yes □ no	□ yes □ no

Unternehmensname des Bruttoliefermanagers/*Company name of gross delivery manager*	

IV. Euroclear

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No.*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* **Konto** *Account* (BuBa, Euroclear)	**Geldkonto Nr.** *Cash account No.*	**Kontoinhaber** *Account Holder*	
Euroclear						

Default Processing Method	□ gross □ net			
Default Netting Model	**Release Method**	**Cash Deferral**	**Netting Unit**	**For Eurex Bonds/Eurex Repo only: Bonds/ Repo Separation**
□ Single Instruction Netting	negative	not applicable	Standard	no
□ Dual Instruction Netting	□ positive □ negative	□ yes □ no	□ Std. □ Std. + Acc.Type □ Std. + Acc.Type + NCM	□ yes □ no

Unternehmensname des Bruttoliefermanagers/*Company name of gross delivery manager*

V. CBL

Wertpapier-abwicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No.*	**Kontoinhaber** *Account Holder*	**Geldverrechnungsstelle** *Cash Settlement Location* **Konto** *Account* (CBL, BuBa)	**Geldkonto Nr.** *Cash account No.*	**Kontoinhaber** *Account Holder*
CBL					

Default Processing Method	□ gross □ net
Euro GC Pooling Default Processing Method	□ gross □ net

Default Netting Model	**Release Method**	**Cash Deferral**	**Netting Unit**	**For Eurex Bonds/Eurex Repo only: Bonds/ Repo Separation**
□ Single Instruction Netting	negative	not applicable	Standard	no
□ Dual Instruction Netting	□ positive □ negative	□ yes □ no	□ Std. □ Std. + Acc.Type □ Std. + Acc.Type + NCM	□ yes □ no
Euro GC Pooling Single Instruction Netting	negative	not applicable	Standard	yes
□ Euro GC Pooling Dual Instruction Netting	□ positive □ negative	□ yes □ no	Std. + Acc.Type + NCM	not applicable

Unternehmensname des Bruttoliefermanagers/*Company name of gross delivery manager*

VI. Crest

Wertpapierab-wicklungsstelle *Settlement Account Location*	**Konto Nr.** *Account No (Crest Default Member Account ID)*	**Kontoinhaber (Crest ID und Unternehmensname des Abwicklungs-teilnehmers)** *Account Holder (Crest Settlement Participant ID andCcompany Name)*	**Geldverrechnungs-stelle** Cash Settlement Location (BuBa, Barclays Bank plc,Citibank N.A., Deutsche Bank London Branch, HSBC Bank plc, The Royal Bank of Scotlandplc)	**Sort Code + Geldkonto Nr.** *Cash account No.*	**Währung** *Currency*	**Kontoinhaber** *Account Holder*	**Crest Clearing Member ID** *Crest Clearing Member ID* (CM Undertaking/Sponsored CM Undertaking)
Crest					EUR		
					GBP		

Default Processing Method	gross				
Default Netting Model	**Release Method**	**Cash Deferral**	**Netting Unit**	**Contract type separation**	**Origin type separation**
Dual Instruction Netting	negative	no	Standard	No	No

Unternehmensname des Bruttoliefermanagers/*Company name of gross delivery manager*

Ort und Datum
Place and Date

Firmenstempel und Unterschrift des Clearing Mitglieds/
Company Stamp and authorized Signature of Clearing Member
(Name in Druckbuchstaben)/ *(Name in block letters)*

Enclosure to: Application for Set up/Deletion/Change of CCP Settlement Accounts,
Application for Setup/Deletion/Change of Clearer Accounts

SWIFT Address / BIC for Cash Settlement of Trades at the Eurex Exchanges

(For the Launch of Eurex Products in GBP and USD only!)

Eurex Clearing AG
Member Services & Admission

D-60485 Frankfurt am Main

Fax: +49-69-211-1 1641

Applicant (Clearing Member)

Company Name

Street or Post Box

Country, Postal Code and Town

Phone *Fax*

E-mail

Clearing Member ID
(Assigned by Eurex Clearing AG)

Application for

☐ **Setup** / ☐ **Change** → ☐ asap / ☐ as of: _____

☐ **Production** / ☐ **Simulation**

In order to generate and send cash instructions resulting from the clearing and settlement processing of trades at Eurex Deutschland and Eurex Zürich on behalf of a Clearing Member and its associated settlement institution and/or correspondent bank, Eurex Clearing AG requires the BIC/SWIFT address and the sort code (GBP only) and settlement location of the respective account holder for identification reasons. The accounts in questions are the accounts which are stated in the forms “Application for Set up/Deletion/Change of CCP Settlement Accounts” and “Application for Setup/Deletion/Change of Clearer Accounts” for GBP and USD cash accounts.

Currency	Cash Account Location	Cash Account	BIC-Code of Account Holder	SWIFT-Address (if other than BIC)	Sort Code (GBP only)
EUR*					
GBP*					
USD*					

* The list of acceptable payment banks (EUR, GBP) and settlement banks (USD) can be found in the respective customer roadmap document.

Place and date

Company stamp and signature of applicant (Name in capitals)

INFORMATION MEMORANDUM
as to Setup/Deletion/Change
of CCP Settlement Accounts

Information Memorandum as to Setup/Deletion/Change of CCP Settlement Account

Setup, deletion or change of CCP settlement accounts has to be applied for by the Clearing Member for the markets of Eurex Bonds, Eurex Deutschland*, Eurex Repo and Frankfurt Stock Exchange; these have to be ticked on the first page. Please find in the following information on how to fill in the application form. The different chapter headings correspond to the tick boxes, which need to be completed or ticked on the form.

1. Settlement Account Location

The application form contains one large tick box for each Settlement Account Location. The tick boxes are numbered from Roman I to VI. Please note that there are certain restrictions when selecting the Settlement Account Location for the different markets. In the following you find a list of Settlement Account Locations supported per market.

a) Eurex Bonds:

- CBF (Clearstream Banking Frankfurt)
- CBL (Clearstream Banking Luxemburg)
- Euroclear
- SIS (SegaIntersettle)

b) Eurex Deutschland:

- CBF (Clearstream Banking Frankfurt)
- Crest (CRESTCO Ltd.)
- SIS (SegaInterSettle)

c) Eurex Repo:

- CBF (Clearstream Banking Frankfurt)
- CBL (Clearstream Banking Luxemburg)
- Euroclear (Euroclear Bank SA/NV)
- SIS (SegaIntersettle)

d) Frankfurt Stock Exchange:

- CBF (Clearstream Banking Frankfurt)

2. Account

Account specifies the “account number” at a Settlement Account Location, which the participant wishes to use for settling its transactions.

When indicating the account please note the following particularities with regard to some Settlement Account Locations and/or markets:

* If your company is also admitted to Eurex Zürich, this application will apply respectively

a) CBF

When specifying a CBF account as Securities Settlement Location, it is imperative to specify a 6-series account (CBF Creation Account) for the markets of Eurex and Frankfurt Stock Exchange. This is necessary for settling deliveries out of corporate actions that lead to securities or rights in safe custody type “securities clearing”.

Besides, in relation to CBF Eurex Clearing AG offers the option of “settlement via third-party” (“Reg-über” relationship). In such a case, a branch office account and a headquarter account have to be specified.

b) Crest

When specifying a Crest account as Securities Settlement Location, it is imperative to indicate the ID of the Crest Clearing Member (CM Undertaking/Sponsored CM Undertaking) as well.

c) Eurex Bonds and Eurex Repo

Each Clearing Member must have at least one account at an international Central Securities Depository (ICSD) for clearing foreign bonds.

Eurex Clearing AG supports the following valid ICSD accounts:

- CBL Account
- Euroclear Account
- „6-SeriesAccount“ (CBF Creation Account at CBF)

d) Euro GC Pooling

For Clearing Members, which participate in clearing for Euro GC Pooling it is imperative to hold either a CBF account or a CBL account.

3. Cash Settlement Location

Each registered account must be specified together with a Cash Settlement Location or a Cash Settlement Account, respectively.

Please note that there are restrictions when selecting the Cash Settlement Location for the markets of Eurex Bonds, Eurex Deutschland, Eurex Repo and Frankfurt Stock Exchange.

Please find below a list of the Cash Settlement Locations supported per market.

a) Eurex Bonds

- Buba (Deutsche Bundesbank – German Central Bank)
- CBF (Clearstream Banking Frankfurt, but only „6-SeriesAccount“ CBF Creation Account)
- CBL (Clearstream Banking Luxemburg)
- Euroclear (Euroclear Bank SA/NV)

b) Eurex Deutschland:

- Buba (Deutsche Bundesbank – German Central Bank)
- CBF (Clearstream Banking Frankfurt, but only „6-SeriesAccount“ CBF Creation Account)
- Payment banks for GBP (Barclays Bank plc., Citibank N.A., Deutsche Bank AG London Branch, HSBC Bank plc, The Royal Banc of Scotland plc.)
- SNB (Schweizerische Nationalbank – Swiss National Bank)

For CBF and SIS Settlement Locations please note:

Euro payments will be settled via the German Central Bank, payments in Swiss Francs via the Swiss National Bank. For this reason it is imperative to indicate both accounts.

For the Crest Settlement Location please note:

Settlement of GBP payments can only be made via a payment bank account. For this reason, it is imperative to specify a payment bank account for GBP in case you hold a Crest account. In addition to the account number please fill in the corresponding sort code (in front of the account number). Settlement of euro payments out of the CCP system can also be made via an account at the German Central Bank.

c) Eurex Repo

- Buba (Deutsche Bundesbank – German Central Bank)
- CBF (Clearstream Banking Frankfurt, but only “6-SeriesAccount” CBF Creation account)
- CBL (Clearstream Banking Luxemburg)
- Euroclear (Euroclear Bank SA/NV)

d) Frankfurt Stock Exchange

- Buba (Deutsche Bundesbank– German Central Bank)

4. Gross Delivery Management

In the scope of transactions clearing, Eurex Clearing AG offers an automated service, which permits gross delivery management. The function of the gross delivery manager can be performed by the Clearing Member itself, by the owner of a Security Settlement Account (settlement institution) or a third-party institution. If the Clearing Member does not perform gross delivery management itself, we recommend to coordinate each application for setup, deletion, change of CCP Settlement Accounts explicitly with the gross delivery manager in order to avoid any discrepancies in settlement.

5. Settlement Parameters

For each registered account certain settlement parameters have to be specified. Some of these settlement parameters are required for CCP on principle, others are market-specific.

Settlement parameters to be specified on principle must always be the same, if the account is used for settlement in various markets.
Market-specific parameters are marked accordingly on the form sheet (e.g. Eurex Deutschland only: Derivatives Equity Separation).

In some combinations, settlement parameters are specified by default. In these cases, they are pre-printed on the form on a grey shading.

Euro GC Pooling participants may optionally tick separate parameters for Euro GC Pooling, even though the account is used for other markets and the settlement parameters indicated for this purpose are not the same.

5.1 Default Processing Method

Should the participant wish to have the respective Security Settlement Account netted by default, it needs to select the option “net“ as Default Processing Method. If it does not wish netting by default, “gross” should be set.

Irrespective of the default setting for the “Default Processing Method“, selection of “Default Netting Model” is imperative as well. The selected Default Netting adjustments will be applied, if the Clearing Member selects “net” settlement in the @xtract Clearing GUI on a case-to-case basis.

5.2 Default Netting Model

Eurex Clearing AG offers two netting models:

5.2.1 Single Instruction Netting

In Single Instruction Netting only one instruction type will be generated in case of a surplus after settlement netting: One instruction (or several instructions in case of shaping) “Delivery versus Payment (DvP).
For Single Instruction Netting an additional report “Pending Delivery Instructions” (CE 280) will be supplied, which lists net delivery instructions transmitted to the Central Securities Depository.
The processing option of Single Instruction Netting is available for bond deliveries in euro. If a participant is interested in using this option, the box should be ticked also.

Single Instruction Netting is possible for:

- Eurex Deutschland – Bonds traded on Eurex Bonds and/or Eurex Repo
- Eurex Bonds
- Eurex Repo

Single Instruction Netting cannot be applied for:

- Eurex Deutschland – transactions in stock and bonds which are not traded at Eurex Bonds and/or Eurex Repo
- Frankfurt Stock Exchange transactions.

If you choose the Single Instruction Netting option, use of Gross Delivery Management function will be limited. For details please see the document “Final Release Notes CCP 3.0“, chapter 4.2 „Gross Delivery Management“.

If you opt for alternative Single Instruction Netting, please note that the following adjustments are set automatically:

- Settlement Netting Unit: Standard
- Default Release Method: Negative
- Derivatives - Equity Market Separation: Not applicable
- Bonds/Repo Separation: No

Should you select Single Instruction for an account, which is also used for Frankfurt Stock Exchange, Dual Instruction Netting will be preset automatically for Frankfurt Stock Exchange.

5.2.2 Dual Instruction Netting

In Dual Instruction Netting two instruction types will be generated in case of a surplus after settlement netting: One instruction (or also several instructions in case of shaping) “Delivery versus Payment” (DvP) for the surplus and a mere cash instruction for the “offsetting block”.

With regard to Eurex Deutschland the processing option of Single Instruction Netting is available for bond deliveries in euro, even though Dual Instruction Netting was indicated. If so desired, the participant must tick this additionally.

5.3 Default Release Method

In connection with transactions clearing, Eurex Clearing AG offers to block automated processing by default until being released by the responsible gross delivery manager for further processing.

Per Security Settlement Account it has to be defined via Default Release Method if individual transactions should be released by default via the positive procedure or via the negative procedure.

Positive procedure (indicate “positive”) means that all transactions are blocked on principle and have to be released individually for delivery. Negative procedure (specify “negative”) means that on principle all transactions are released for delivery and will have to be blocked case-by-case.

5.4 Cash Deferral

If cash settlement for blocked transaction in the offsetting block shall not be made on the value date, but only upon release of the blocked sales, the option “yes = Cash Deferral Activated“ has to be selected for each Security Settlement Account. If cash settlement for transactions in the offsetting block shall be made on the value date, irrespective of being blocked or not, “No = Cash Deferral not activated“ must be selected. In this case, the Clearing Member or its settlement institution must hold an internal differences account and will be charged, in case of any cash differences, with resulting costs and expenses. The alternative “Yes= Cash Deferral Activated“ is related with additional costs (see Price List).

5.5 Netting Unit

Eurex Clearing AG offers the selection of the Settlement Netting Unit level, on which settlement netting is to be made. Three options are available:

- **Standard** signifies that netting with be made per ISIN, currency, Clearing Member and settlement account.
- **Standard + Account Type** signifies that all proprietary trades of the Clearing Member on one side, and all agency trades of the Clearing Member on the other side as well as all NCM transactions (agency and proprietary trades) will be netted in common per ISIN, currency, Clearing Member and settlement account.
- **Standard + Account Type + NCM** signifies that all proprietary trades of the Clearing Member and all agency trades of the Clearing Member as well as all NCM transactions will be netted separately per ISIN, currency, Clearing Member and settlement account.

5.6 Derivatives/Equity Separation:

This option permits Clearing Members to select if deliveries out of the markets of Eurex Deutschland and Frankfurt Stock Exchange shall be separated ("yes") and is offered for equity-style products; deliveries out of capital market futures are separated automatically.

5.7 Eurex Bonds/Eurex Repo Separation

With this option Clearing Members may choose if clearing transactions resulting from Eurex Bonds and Eurex Repo trades shall be netted in common or separately when settling Fixed Income transactions.

Application for Set-up/Deletion/Change of Clearer Accounts



Eurex Clearing AG
Member Services & Admission
60485 Frankfurt am Main

Fax: +49-69-211-1 1641

Applicant

Company name of Applicant

Address

First Name, surname of contact

Phone No. Fax-No.

Clearing Member ID

o Set up effective from D D M M J J

o Deletion effective from D D M M J J

o Change effective from D D M M J J

1. As a Clearer we herewith request the following set-up/change/deletion of Clearer cash accounts:

1.1 Cash Account for Margin

For the daily settlement of margins pursuant to Chapter 1 paragraph 2.2 section 4 c) of the Clearing Conditions of Eurex Clearing AG:

☐ Euro (EUR)

Bundesbank Account	

or

☐ Swiss Franc (CHF)

SNB-/SIC Account	

1.2 Cash Account for Intraday Margin Calls in U.S. Dollar (USD)

☐ U.S. Dollar (USD)

Name of Settlement Bank	
Account No. of Settlement Bank	

1.3 Clearer Cash Account

For the settlement of cash differences resulting from the Buy-in process (one account has to be specified per cash differences currency):

☒ Euro (EUR)

Bundesbank Account	

Or optional for Eurex Repo and/or Eurex Bonds Clearer only:

6-Series Konto, CBL-Account or Euroclear Account	

☐ Swiss Franc (CHF) – Information obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich:

SNB-/SIC Account	

☐ British Pound (GBP) – Information* obligatory for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich:

Name of Payment Bank	
Account No. of Payment Bank	

* For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich which trade products settled in GBP. Otherwise, the form „Restriction in Admission to Derivatives Trading“ will have to be submitted.

Information required only for Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich (The Bundesbank Account or the SNB-/SIC Account mentioned under 1.1 and the U.S. Account mentioned under 1.2 should not differ from the following account):

1.3 Eurex Core Cash Account

For the regulation of transactions in „Cash-settled“ Eurex products per currency:

☐ Euro (EUR)

Bundesbank Account	

☐ Swiss Franc (CHF)

SNB-/SIC Account	

☐ British Pound (GBP)*

Name of Payment Bank	
Account No. of Payment Bank	

* For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zurich which trade products settled in GBP. Otherwise, the form „Restriction in Admission to Derivatives Trading“ will have to be supplied.

☐ U.S. Dollar (USD)**

Name of Settlement Bank	
Account No. of Settlement Bank	

** For Clearing Members holding a Clearing License for clearing transactions concluded at Eurex Deutschland and Eurex Zürich which trade products settled in USD.

2. As a Clearer we herewith request the following setup/change/deletion of the pledged securities account:

☐ Clearstream Banking AG

Safe Custody Account No.	

or

☐ SegaIntersettle AG

Safe Custody Account No.	

Place and date

Company stamp and authorized signature (name in block letters)

Enclosure to: Application for Set up/Deletion/Change of CCP Settlement Accounts,
Application for Setup/Deletion/Change of Clearer Accounts

SWIFT Address / BIC for Cash Settlement of Trades at the Eurex Exchanges

(For the Launch of Eurex Products in GBP and USD only!)

Eurex Clearing AG
Member Services & Admission

D-60485 Frankfurt am Main

Fax: +49-69-211-1 1641

Applicant (Clearing Member)

Company Name

Street or Post Box

Country, Postal Code and Town

Phone *Fax*

E-mail

Clearing Member ID
(Assigned by Eurex Clearing AG)

Application for

☐ **Setup** / ☐ **Change** → ☐ asap / ☐ as of: _____

☐ **Production** / ☐ **Simulation**

In order to generate and send cash instructions resulting from the clearing and settlement processing of trades at Eurex Deutschland and Eurex Zürich on behalf of a Clearing Member and its associated settlement institution and/or correspondent bank, Eurex Clearing AG requires the BIC/SWIFT address and the sort code (GBP only) and settlement location of the respective account holder for identification reasons. The accounts in questions are the accounts which are stated in the forms “Application for Set up/Deletion/Change of CCP Settlement Accounts” and “Application for Setup/Deletion/Change of Clearer Accounts” for GBP and USD cash accounts.

Currency	Cash Account Location	Cash Account	BIC-Code of Account Holder	SWIFT-Address (if other than BIC)	Sort Code (GBP only)
EUR*					
GBP*					
USD*					

* The list of acceptable payment banks (EUR, GBP) and settlement banks (USD) can be found in the respective customer roadmap document.

Place and date

Company stamp and signature of applicant (Name in capitals)

Request for Settlement Account Settings for EUREX Derivatives Deliveries (Previous CSD-Selection)

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211 14420

Settlement Account Settings:

☐ Setup ☐ Simulation

☐ Change ☐ Production

☐ Delete

effective after end of trading of

Name of CCP Participant:

Please select only one of below tick boxes:

☐ We select for our own legal entity as Clearing Member

Clearing Member ID EUREX: _ _ _ _ _ Clearing Member ID CCP (Crest Settlement Firm ID): _ _ _ _ _

☐ Setting is relevant for all NCMs (Please attach free format list of NCMs)

☐ We select for following affiliated Non Clearing Member

Non Clearing Member ID EUREX: _ _ _ _ _ Non Clearing Member ID CCP (Crest Settlement Firm ID): _ _ _ _ _

Clearing Member Contact			for CREST, if other		
Name	Tel. No.	Fax No.	Name	Tel. No.	Fax No.

1. Account Set Up for CCP 3.1; Default Settlement Account per Settlement Currency (Mandatory)

Account Type (A, P) [1]	For SIS + CBF: Settlement Account / for Crest: Crest Settlement Participant ID [2]	Settlement Account Location (for EUR and CHF -> SIS or CBF)	Settlement Currency	CREST only		
				House / Client[3]	CREST Clearing Member ID (CM Undertaking) [4]	CREST Default Member Account ID [5]
A	_ _ _ _ _	CBF 6-series (CBL)	EUR			
P	_ _ _ _ _	CBF 6-series (CBL)	EUR			
A	_ _ _ _ _	CREST (CCO)	EUR + GBP	C	_ _ _ _ _	_ _ _ _ _ _ _ _
P	_ _ _ _ _	CREST (CCO)	EUR + GBP	H	_ _ _ _ _	_ _ _ _ _ _ _ _
A	_ _ _ _ _ _ _ _	_ _ _	EUR			
P	_ _ _ _ _ _ _ _	_ _ _	EUR			
A	_ _ _ _ _ _ _ _	_ _ _	CHF			
P	_ _ _ _ _ _ _ _	_ _ _	CHF			

2. Specific Settlement Accounts for certain underlyings deviating to Default (Optional[6])

Account Type (A,P)	ISIN	Settlement Account (CBF= 4, CBL = 5, SIS = 8 characters)	Settlement Account Location (CBF, SIS, CBF 6-series-acc.)	Currency

Note: All setup details stated here have to correspond with the setup on the current " Application for Setup/ Deletion/ Change of CCP Settlement Accounts" in addition unless other advice each new Eurex product will automatically be setup with "Default Account" settings.

Date	Company stamp and authorized signature

[1] „P" for principal, „A" for Agency", will be as indicated by member firms in their trading activity on Eurex
[2] This is the Crest Participant ID assigned to the Settlement Party
[3] "House" for trades being cleared on a member's own behalf and "Client" for trades being cleared on behalf of others. Eurex Clearing AG applies a standard mapping (shown above).
[4] This is the Crest Participant ID assigned to the Clearing Member in Crest
[5] This is the Crest Member Account the settlement party will use to settle Eurex transactions. A Crest Default Member Account form is not needed for this service.
[6] Not available for CREST

Request for Set up of Users in the CCP system (@X-PERT)

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211 14420

Name of CCP Member

CCP Member ID:

Set up

☐ as soon as possible

☐ effective from:

☐ Clearing Member (only REA) ☐ Settlement Institution/Gross Delivery Manager (MOD + REA)

☐ Fixed Income / Bonds [3] ☐ Equities [3]

Contact Person

Surname, First Name

Phone number | Fax number

Function	User ID	Surname, First Name	Phone	Signature
☐ LS[1]	M O D			
☐ L[1]	R E A	Authorized to select reports: ☐ yes ☐ no		

User has access to the following accounts [2]: CBF		Euroclear Bank	CBL respectively CBF 6series	SIS	Crest Settlement Participant ID	CCP internal remarks
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	Set-Up (inaktiv):
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	Check & Aktivierung:
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	

Function	User ID	Surname, First Name	Phone	Signature
☐ LS[1]	M O D			
☐ L[1]	R E A	Authorized to select reports ☐ yes ☐ no		

User has access to the following accounts [2]: CBF		Euroclear Bank	CBL respectively CBF 6series	SIS	Crest Settlement Participant ID	CCP internal remarks
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	Set-Up (inaktiv):
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	Check & Aktivierung:
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	

1. LS = read and modify access (MOD) / L = ready only access (REA). Modify access is only possible if the user is accessing all mentioned accounts as Settlement Institution / Gross Delivery Manager. Otherwise another User ID with ready only access is necessary and must be requested.
2. If you want to mention more accounts than possible on this form, please attach a informal list to this fax.
3. Please select, which type of instruments are relevant for the requested User ID(s). It is also possible, to select both instrument types.

Location and date | Company Stamp and two authorized signatures

Request for Set up of Users in the CCP system (@X-PERT)

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211 14420

Name of CCP Member

CCP Member ID:

Set up

☐ as soon as possible

☐ effective from:

☐ Clearing Member (only REA) ☐ Settlement Institution/Gross Delivery Manager (MOD + REA)

☐ Fixed Income / Bonds [3] ☐ Equities [3]

Contact Person

Surname, First Name

Phone number | Fax number

Function	User ID	Surname, First Name	Phone	Signature
☐ LS[1]	M O D			
☐ L[1]	R E A	Authorized to select reports: ☐ yes ☐ no		

User has access to the following accounts [2]: CBF		Euroclear Bank	CBL respectively CBF 6series	SIS	Crest Settlement Participant ID	CCP internal remarks
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	Set-Up (inaktiv):
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	Check & Aktivierung:
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _	

Function	User ID	Surname, First Name	Phone	Signature
☐ LS[1]	M O D			
☐ L[1]	R E A	Authorized to select reports ☐ yes ☐ no		

User has access to the following accounts [2]: CBF		Euroclear Bank	CBL respectively CBF 6series	SIS	Crest Settlement Participant ID	CCP internal remarks
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	Set-Up (inaktiv):
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	Check & Aktivierung:
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	
_ _ _ _	_ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ _	

1. LS = read and modify access (MOD) / L = ready only access (REA). Modify access is only possible if the user is accessing all mentioned accounts as Settlement Institution / Gross Delivery Manager. Otherwise another User ID with ready only access is necessary and must be requested.
2. If you want to mention more accounts than possible on this form, please attach a informal list to this fax.
3. Please select, which type of instruments are relevant for the requested User ID(s). It is also possible, to select both instrument types.

Location and date | Company Stamp and two authorized signatures

Request for deletion / modification / password reset of
User IDs in the CCP system (@Xpert)

EUREX CLEARING AG
60485 Frankfurt

FAX: (069) 211 14420

Execution of the request

☐ as soon as possible

☐ effective from:

Name of CCP Member:

CCP Member ID:

Contact Person

Surname, First Name

Phone number

Fax number

☐ User Deletion

User ID	Surname, First Name	CCP internal remarks:
M O D		Inactivation:
R E A		Deletion:

☐ Modification of User Name

User ID	Surname, First Name (new)	Surname, First Name (old)	CCP internal remarks:
M O D			Modification:
R E A			Check:

☐ Modification of Settlement Accounts

User ID	Surname, First Name
M O D	
R E A	

☐ Add of Settlement Accounts

CBF	Euroclear Bank	CBL respectiv. CBF 6series	SIS	Crest Settlement Participant ID	CCP internal remarks:
_ _ _ _ _ _ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ Set up:
_ _ _ _ _ _ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _
_ _ _ _ _ _ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ Check:

☐ Deletion of Settlement Accounts

CBF	Euroclear Bank	CBL respectiv. CBF 6series	SIS	Crest Settlement Participant ID	CCP internal remarks:
_ _ _ _ _ _ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ Deletion:
_ _ _ _ _ _ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _
_ _ _ _ _ _ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _	_ _ _ _ _ _ _ _	_ _ _ _ _ Check:

Request for deletion / modification/ password reset of
User IDs in the CCP system (@Xpert)

☐ Modification of Resource Access Levels

User ID	Surname, First Name	
M O D		
R E A		CCP internal remarks:
Authorized to select reports	☐yes ☐no	Modification:
Authorized for trades in	☐bonds ☐equities	check:

☐ Reset of password to default password:

User ID	Surname, Fist Name	CCP internal remarks:
M O D		
R E A		Done:

Location and date

Company Stamp and two authorized signatures

Reference Data Sheet for CCP Clearing Members,
Settlement Institutions / Gross Delivery Managers
for FWB Equity and Eurex Derivate Clearing



Eurex Clearing AG

Customer Support

Fax Frankfurt: +49-69-211-1 1701

Fax Paris: +33-(1) 55 27-6750

Fax London: +44-(0) 20 7862-7297

Fax London: +44-(0) 20 7862-7297

CCP Participant

Company name, including the legal form

Address

Contact Person

Phone Fax

CCP-Member ID
(Assigned by Eurex Clearing AG)

Reference Data of the CCP Participant

Contact for Clearing at Eurex Clearing AG (General Questions Clearing, Risk Management, Announcement Buy-in Auctions)

Name Phone: Fax:
E-mail:

Contact for CCP Settlement at Eurex Clearing AG (General Questions Settlement, Late Trades/Buy-in auctions)

Name Phone: Fax:
E-mail:

Contact for Settlement at CRESTCo. Ltd. (General Questions Settlement at CRESTCo. Ltd.)

Name Phone: Fax:
E-mail:

Contact for Corporate Actions at Eurex Clearing AG (General Questions, Problems with Corporate Events, Cancel Re-run)

Name Phone: Fax:
E-mail:

Contact for Corporate Actions at CRESTCo. Ltd. (General Questions, Problems with Corporate Events, Cancel Re-run)

Name Phone: Fax:
E-mail:

Contact for Emergency Procedure at Eurex Clearing AG (CCP Emergencies, Gross Delivery Management, Reports)

Name Phone: Fax:
E-mail:

Contact for Emergency Procedure at CRESTCo Ltd. (Emergencies concerning settlement, Reports, at CRESTCo Ltd.)

Name Phone: Fax:
E-mail:

Contact for CCP User Administration at Eurex Clearing AG (Questions regarding CCP user setups)

Name | Phone: | Fax:
E-mail:

Contact for Order Removal at CREST (Questions regarding order removals in the CREST system)

Name | Phone: | Fax:
E-mail:

Place and Date

Company Stamp and Signature
(Name in Capitals)