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Eurex Repo Functional Information

Adequate compliance measures in connection with the usage of Eurex Repo GmbH's services

Contact: Eurex Repo Corporate Office

Importance: High priority

This Functional Information outlines the importance of adequate compliance measures that are connected to the usage of services of Eurex Repo GmbH.

As part of Eurex Repo GmbH's maintenance and continuous development with regard to compliance and the management of associated risks, Eurex Repo GmbH would hereby like to remind all trading participants of the necessity to apply adequate compliance measures in connection with the usage of Eurex Repo GmbH's services by trading participants and their representatives.

The usage by trading participants, (or their representatives) of the system and the services provided by Eurex Repo GmbH shall not infringe or contribute to the infringement of any criminal laws, including criminal tax laws, applicable to the participant or its representatives. Adequate criminal compliance measures should therefore be applied in connection with the usage of Eurex Repo GmbH's systems and services. Where trading participants offer services to clients, trading members should in addition ensure that their compliance measures adequately avoid a misuse of Eurex Repo GmbH's systems and services by their clients.

Trading participants are further expected to closely follow developments regarding sanctions to ensure full compliance with governmental sanctions and restrictive measures as and when they are imposed. Trading participants are in particular expected not to use the services and systems of Eurex Repo GmbH in a way that would contravene sanctions and or embargos imposed by the relevant authorities.

Best regards,

Your Eurex Repo Team

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