Chapter I of the Clearing Conditions of Eurex Clearing AG

General Provisions

As of 03.08.2026

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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED;

DELETIONS ARE CROSSED OUT

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[…]

Part 1 General Clearing Provisions

[…]

2 Clearing Members

[…]

2.6 Specific provisions and additional continuing obligations for U.S. Clearing Members with respect to Repo Transactions

An applicant legally organized and with its principal place of business in the United States of America (or any state thereof) intending to clear Repo Transactions needs to comply with the following additional requirements:

(i) An U.S. Clearing Member admitted for trading at Eurex Repo intending to clear Repo Transactions in non-US domiciled securities shall provide Eurex Clearing AG – without any further request by Eurex Clearing AG – with a copy of Form 1042-S per each fiscal tax reporting period, which the U.S. Clearing Member submits to the U.S. Internal Revenue Service within his tax reporting obligations, by no later than 28 February of each calendar year.

[…]

(iii) An U.S. Clearing Member shall be a broker-dealer registered with the U.S. Securities and Exchange Commission under Section 15 (b) of the U.S. Securities Exchange Act.

(iv) The U.S. domiciled Clearing Member intending to clear Repo Transactions in non-US domiciled securities need to provide Eurex Clearing AG with a valid W-9 form including his U.S Tax Identification Number.

(v) Any Clearing Member intending to clear Repo Transactions in non-US domiciled securities shall immediately notify Eurex Clearing AG in writing if it undergoes a change in circumstances or otherwise knows or has reason to know whether it is not, or will not be, in compliance with this section. Such written notice must be delivered to Eurex Clearing AG no later than within two days of the Clearing Member's knowledge thereof together with a correspondingly amended Form W9, if applicable.

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